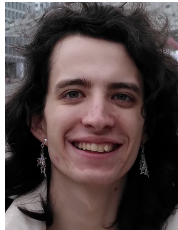


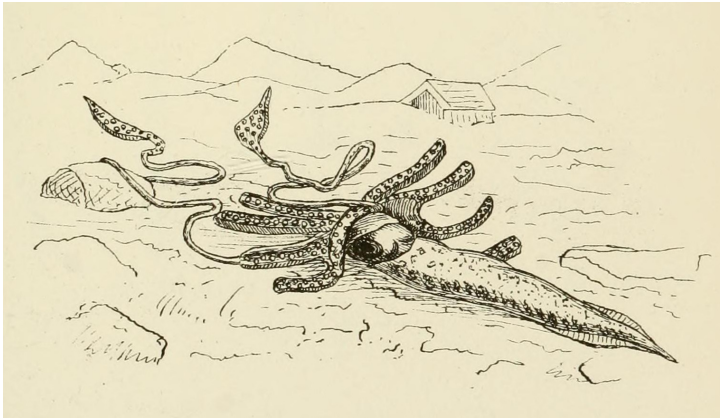
# Reducing shapes

**Gabriel Scherer, Nathanaëlle Courant**

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## A metaphor



G.W. Tryon Jr. (1879)

[https://commons.wikimedia.org/wiki/File:Ommastrephes\\_mouchezi.jpg](https://commons.wikimedia.org/wiki/File:Ommastrephes_mouchezi.jpg)

The giant squid that washed ashore on Île Saint-Paul on 2 November 1874.

In case you wonder where Île Saint-Paul is:

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A field report on the

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strong (by-need) reduction

in the wild, outside proof assistants.

Note: I'm not an expert!

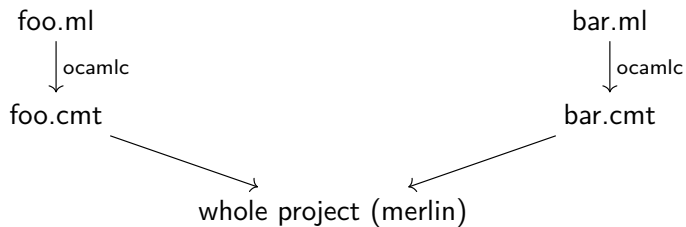
# Shapes

Shapes, as designed by Thomas Refis, Ulysse Gérard and Leo White, are  $\lambda$ -terms representing the shape of OCaml modules – and source files. (no term-level information except source locations)

They extended the OCaml compiler to compute shapes and store them in object files.

Motivation: tooling support: “where is `foo` defined?” (requires normalization)

## Shape computations



Separate compilation: the shape of a module is an **open term**.

Definition lookup inside functors: we want **strong reduction**.



## Problem

A naive implementation of strong reduction does fine in general, but it

## Problem

A naive implementation of strong reduction does fine in general, but it  
explodes  
on some complex functor-using OCaml programs. (Irmin)

## Solution

Ulysse Gérard and Thomas Refis implemented some optimizations; enough for “termination” but still unsatisfying.

Strong call-by-need reduction avoids blowups.

Performance on a problematic source file:

	compilation time	output size
no shapes	0.39s	2538Kio : 2.5Mio
shapes, naive +opts	2.15s	91Mio
shapes, strong cbneed	0.40s	2552Kio : 2.5Mio

## Why the blowup?

Consider:

```
module M = struct  
  let x = A.x  
  let y = A.y  
  let z = A.z  
end
```

With closed reduction, this only reduces when A is a structure/record.

$$\|M\| \leq \|A\|$$

With open reduction, A may be neutral:  $F(X)$ .Bar. Then:

$$\|M\| \simeq 3 * \|A\|$$

Actually a very common pattern:

```
module M = (A : S)
```

## Why the blowup? Intuition

Intuition:

closed, weak reduction has size-exploding examples,  
but strong reduction explodes **more**

More precisely:

some realistic closed programs have small normal forms,  
but their subterms could blow up under strong reduction.

Thanks!

(Bonus slides follow.)

## A terrible implementation

```
let rec eval env : t → t = function  
  | Var x →  
    Ident.find_same x env  
  | Abs (x, t) →  
    Abs (x,  
      let env' = Ident.add x (Var x) env in  
      eval env' t)  
  | App (t, u) →  
    let f, arg = eval env t, eval env u in  
    match f with  
    | (Var _ | App _) as ne → App (ne, arg)  
    | Abs (x, body) →  
      eval (Ident.add x arg env) body
```

## A naive implementation (1): types

```
type nf = (* normal forms *)  
  | Ne of ne  
  | Clos of env * var * t * var * nf  
and ne = (* neutral terms *)  
  | Var of var  
  | App of ne * nf  
  
type open_value =  
  | Val of nf  
  | Free of var
```



## A naive implementation (2): code

```
let rec eval = fun env (t : t) : nf →  
  match t with  
  | Var x → begin match Ident.find_same x env with  
    | Val v → v  
    | Free x → Ne (Var x)  
  end  
  | Abs (x, t) →  
    let y = fresh x in  
    Clos (env, x, t, y,  
      let env' = Ident.add x (Free y) env in  
      eval env' t)  
  | App (t, u) →  
    let f, arg = eval env t, eval env u in  
    match f with  
    | Ne n → Ne (App (n, arg))  
    | Clos (env', x, body, _y, _v) →  
      eval (Ident.add x (Val arg) env') body
```

## A naive implementation (3): memoization

```
let eval = memo_fix_2 @@ fun eval env (t : t) : nf →  
  match t with  
  | Var x → begin match Ident.find_same x env with  
    | Val v → v  
    | Free x → Ne (Var x)  
  end  
  | Abs (x, t) →  
    let y = fresh x in  
    Clos (env, x, t, y,  
      let env' = Ident.add x (Free y) env in  
      eval env' t)  
  | App (t, u) →  
    let f, arg = eval env t, eval env u in  
    match f with  
    | Ne n → Ne (App (n, arg))  
    | Clos (env', x, body, _y, _v) →  
      eval (Ident.add x (Val arg) env') body
```

## A by-need implementation (1)

```
type nf = (* normal forms *)  
  | Ne of ne  
  | Clos of env * var * t * var * dnf  
and dnf = nf Lazy.t  
and ne = (* neutral terms *)  
  | Var of var  
  | App of ne * dnf  
  
let force eval env t = lazy (eval env)  
let delay eval env dv = Lazy.force dv
```

## A naive implementation: reminder

```
let eval = memo_fix_2 @@ fun eval env (t : t) : nf →  
  match t with  
  | Var x → begin match Ident.find_same x env with  
    | Val v → v  
    | Free x → Ne (Var x)  
  end  
  | Abs (x, t) →  
    let y = fresh x in  
    Clos (env, x, t, y,  
      let env' = Ident.add x (Free y) env in  
        eval env' t)  
  | App (t, u) →  
    let f, arg = eval env t, eval env u in  
    match f with  
    | Ne n → Ne (App (n, arg))  
    | Clos (env', x, body, _y, _v) →  
      eval (Ident.add x (Val arg) env') body
```

## A by-need implementation (2)

```
let eval = memo_fix_2 @@ fun eval env (t : t) : nf →  
  match t with  
  | Var x → begin match Ident.find_same x env with  
    | Val v → force eval v  
    | Free x → Ne (Var x)  
  end  
  | Abs (x, t) →  
    let y = fresh x in  
    Clos (env, x, t, y,  
      let env' = Ident.add x (Free y) env in  
      delay eval env' t)  
  | App (t, u) →  
    let f, arg = eval env t, delay eval env u in  
    match f with  
    | Ne n → Ne (App (n, arg))  
    | Clos (env', x, body, _y, _v) →  
      eval (Ident.add x (Val arg) env') body
```

## A by-need implementation (3)

```
type nf = (* normal forms *)  
  | Ne of ne  
  | Clos of env * var * t * var * dnf  
and dnf = Delayed of env * t  
and ne = (* neutral terms *)  
  | Var of var  
  | App of ne * dnf  
  
let force eval (env, t) = eval env t  
let delay eval env t = (env, t)
```

## A by-need implementation (3)

```
type nf = (* normal forms *)  
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let force eval (env, t) = eval env t  
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```

If you squint: a by-need version of iterated weak reduction.