



# Modular Semantics ▷ (and Meta-theory) for LLVM IR

Cambium Seminar

Joint work with collaborators at...



Irene Yoon  
12 / 04 / 2024

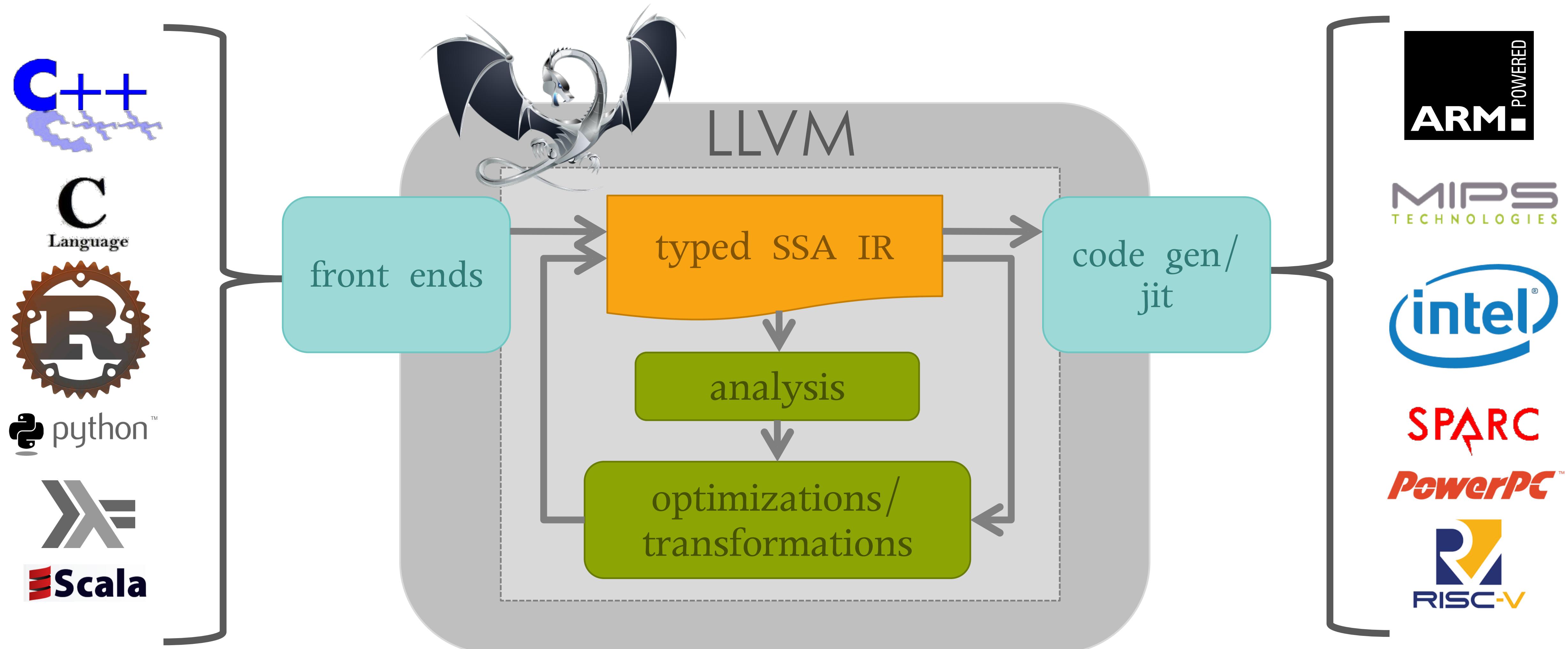


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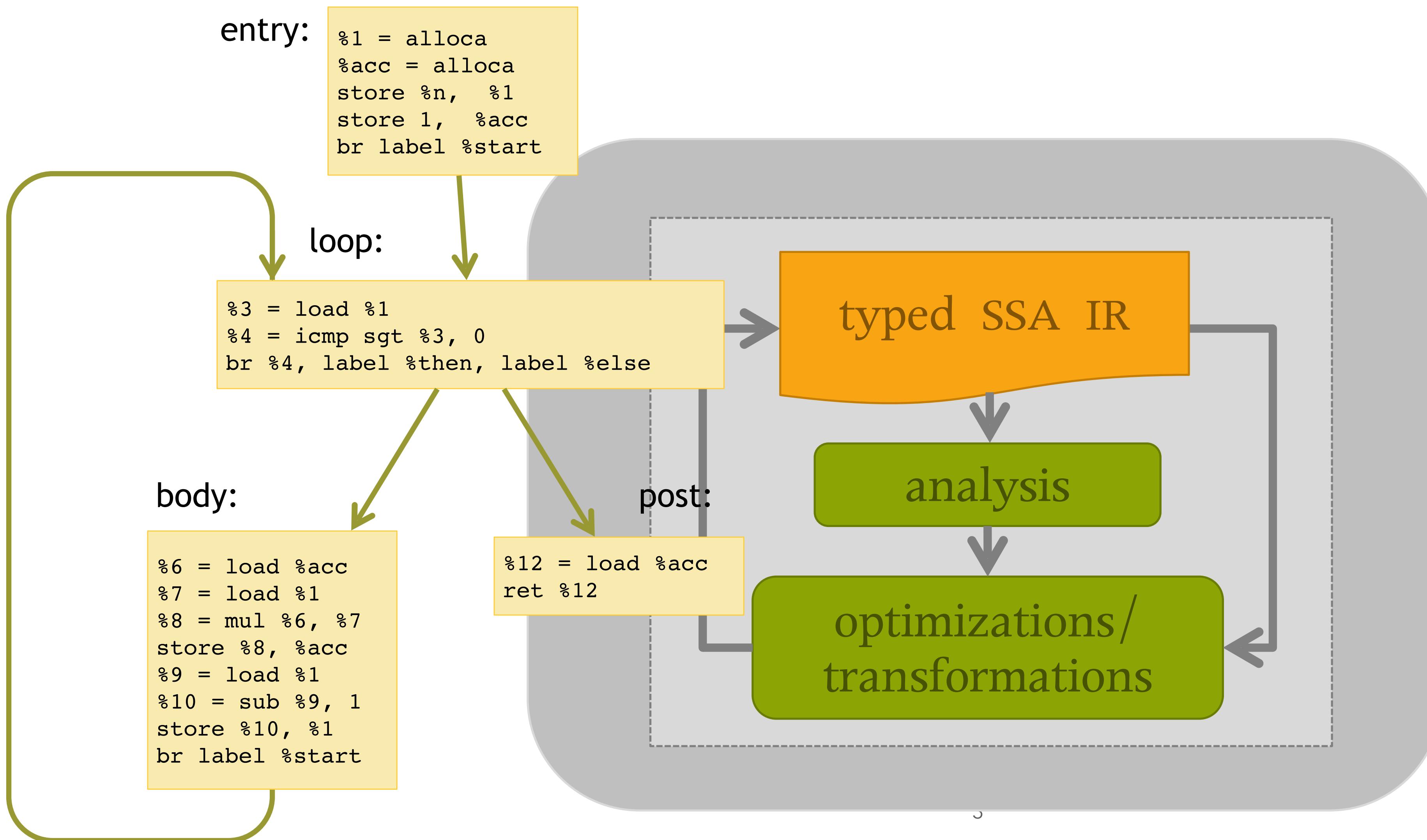
Why LLVM?

# LLVM Compiler Infrastructure [Lattner et al.]

A modular and reusable infrastructure for compiler pipelines

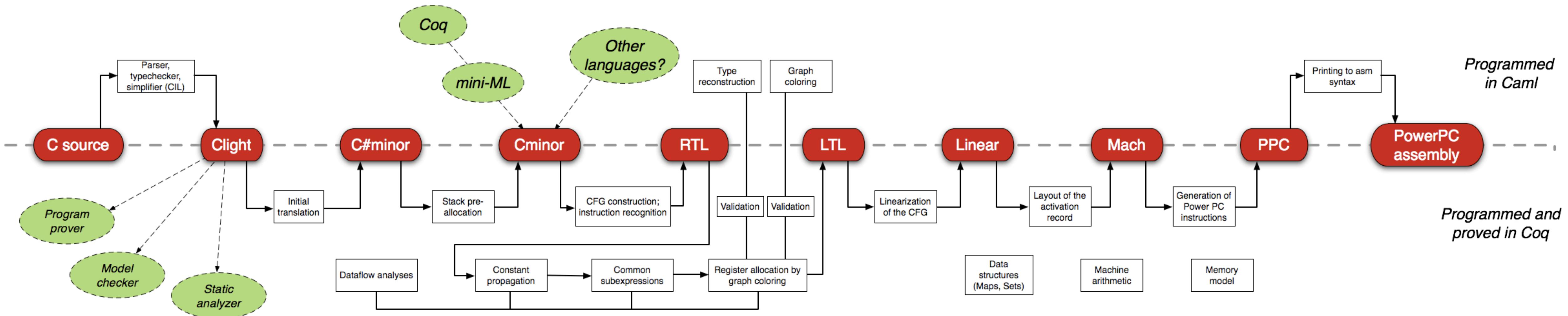


# Understanding LLVM Intermediate Representation (IR)

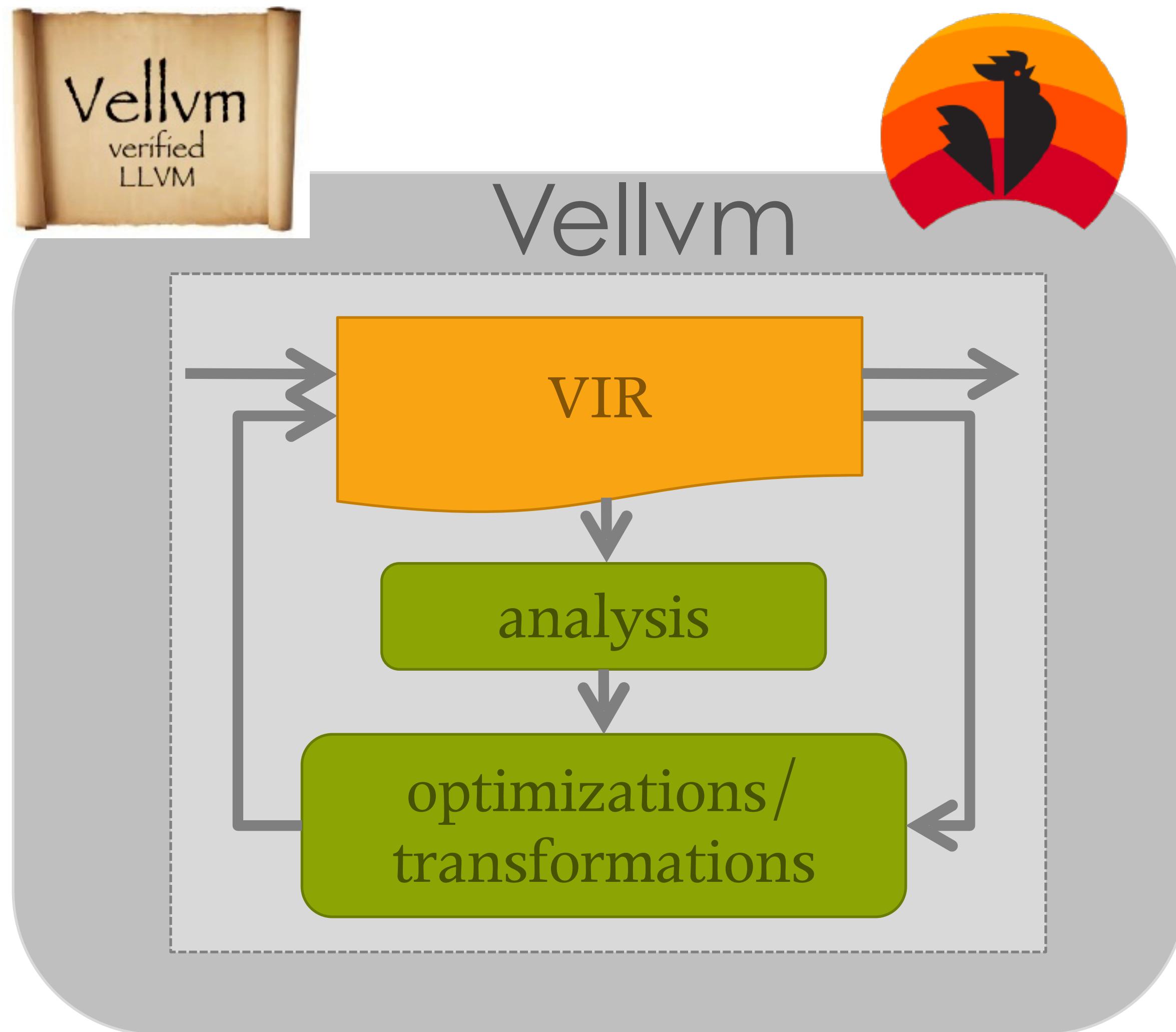


Why  for a compiler infrastructure?

# CompCert [Leroy et al.]



# The Vellvm Project ("Vellvm 1.0")



[Zhao and Zdancewic - CPP 2012]

Verified computation of dominators

[Zhao et al. - POPL 2012]

Formal semantics of IR + verified SoftBound

[Zhao et al. - POPL 2013]

Verification of (v)mem2reg!

<https://github.com/vellvm/vellvm-legacy>

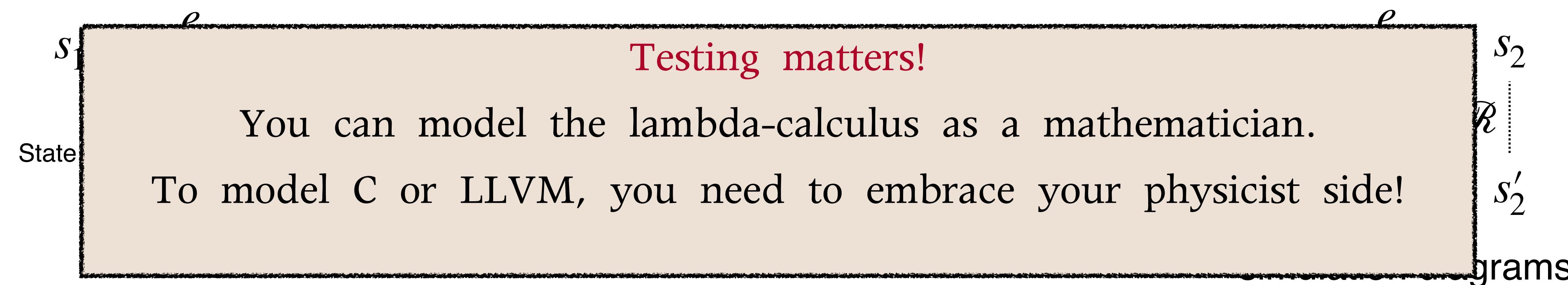
A success, but monolithic

$G \vdash pc, mem \rightarrow pc', mem'$

# Operational Semantics



- A single relation encompasses all aspects of the semantics
- The relation is propositional (and Coq "Prop" cannot be extracted), thus the semantics cannot be extracted
- Classic simulation proofs



# The Vellvm Project, Revamped



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<https://github.com/vellvm/vellvm>

## Selected publications and drafts\*

[Zakowski et al. - ICFP 2021]

Modular and executable semantics for LLVM IR

[Yoon et al. - ICFP 2022]

Meta-theory for layered monadic interpreters

[Zaliva et al.]

Verified HELIX front-end

[Beck et al.]

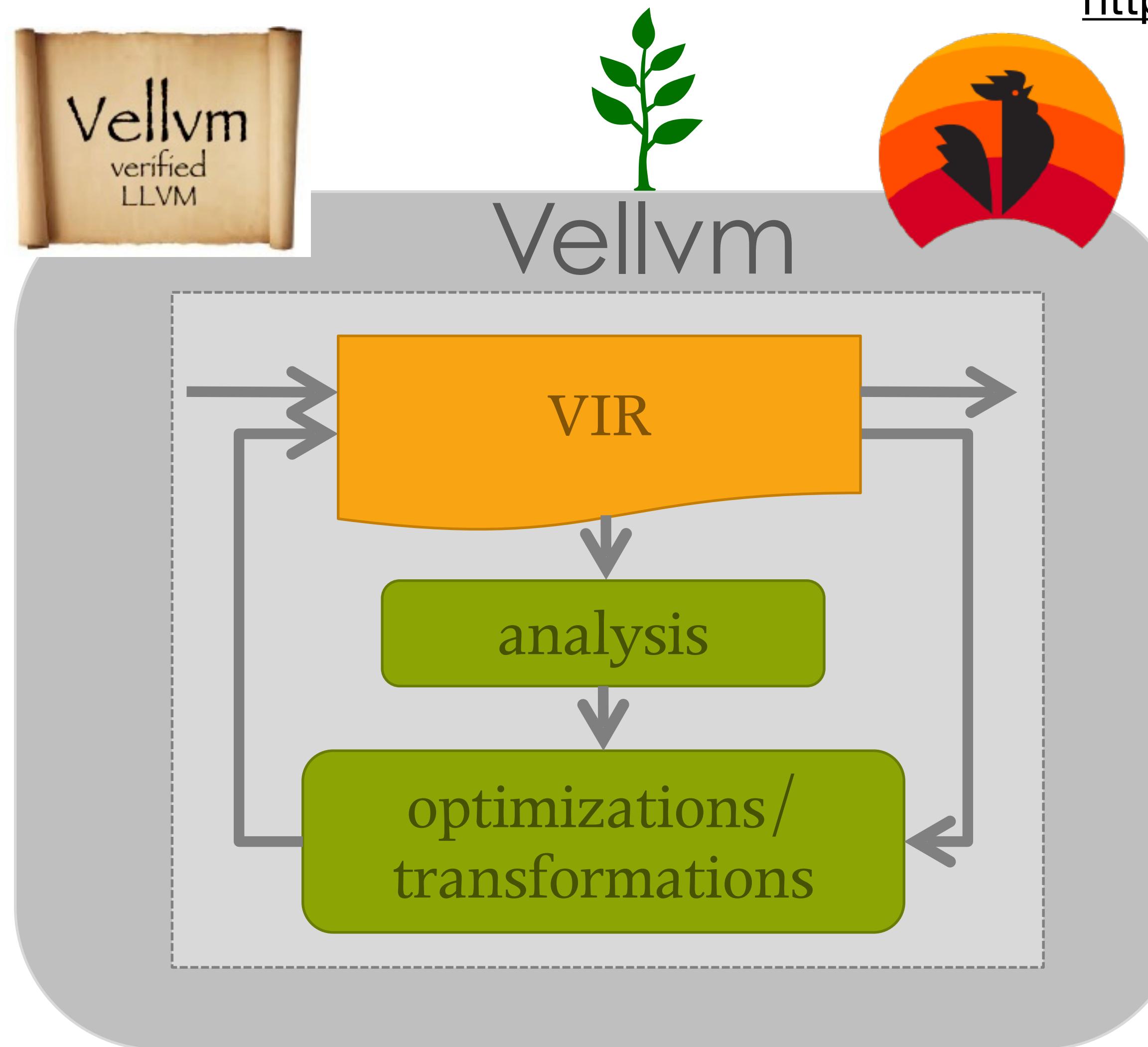
Infinite/finite memory model for LLVM IR

[Yoon et al.]

Relational separation logic for LLVM IR



\* : all results mechanized in the Coq Proof Assistant



Let's get down to Business



# Modular and Executable Semantics for LLVM IR

joint work with

Yannick Zakowski, Calvin Beck, Ilia Zaichuk, Vadim Zaliva, Steve Zdancewic

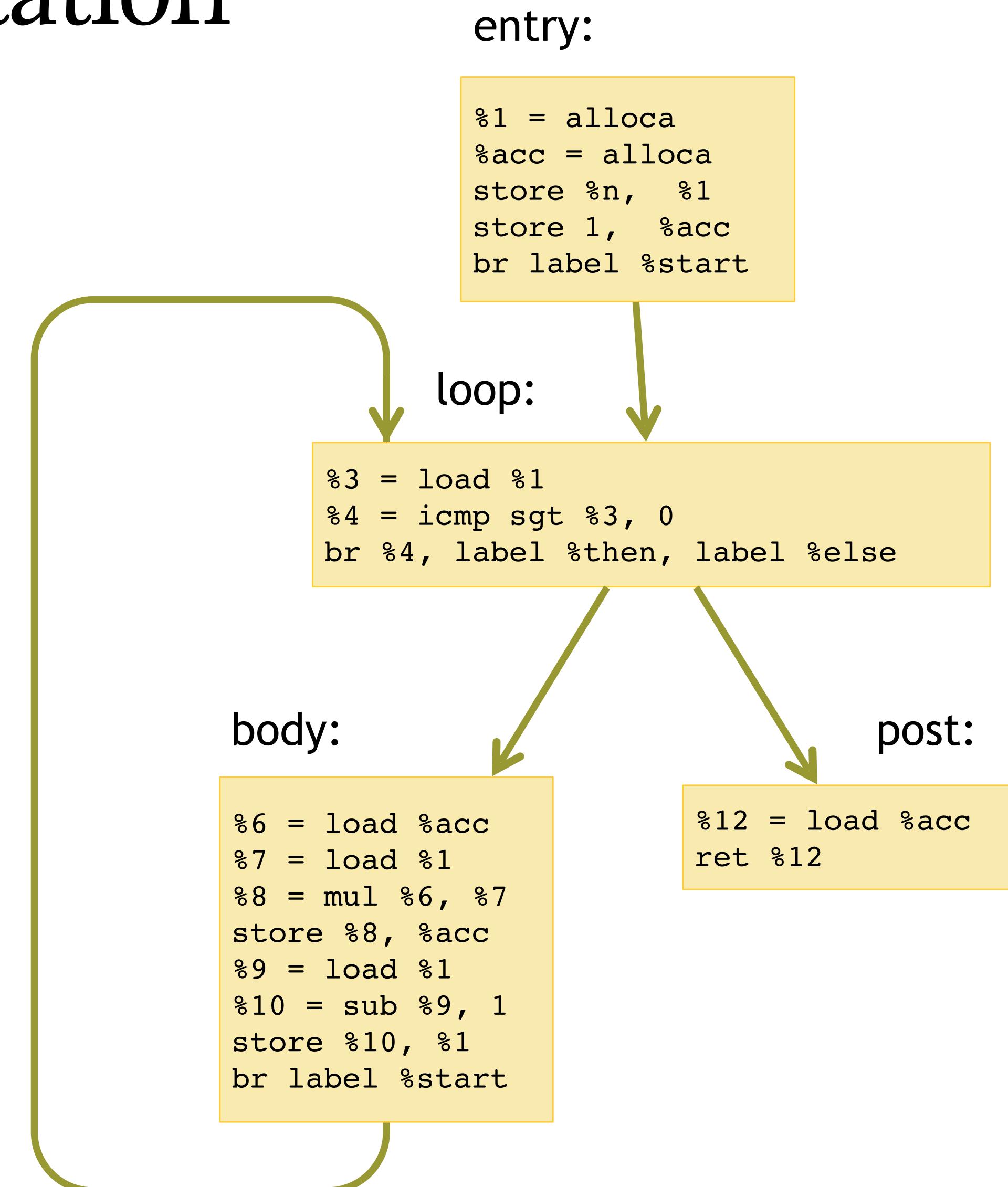


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# LLVM Intermediate Representation

- LLVM IR
  - Control-flow Graphs:
    - Labeled blocks
    - Straight-line Code
    - Block Terminators
    - Static Single Assignment Form (phi-nodes)
  - Types:
    - $i64 \Rightarrow$  64-bit integers
    - $i64^* \Rightarrow$  pointer



# LLVM LangRef

<https://llvm.org/docs/LangRef.html>

# Studying the (formal) semantics of LLVM IR

## Formal Semantics

Crellvm [[Kang et al., 18](#)]

K-LLVM [[Li and Gunter, 20](#)]

Vellvm [[Zhao et al., 12](#)]

Taming UB [[Lee et al., 17](#)]

Concurrency [[Chakraborty and Vafeiadis, 17](#)]

## Realistic Memory Models

Integer-Pointer Cast [[Kang et al., 15](#)]

Twin-Allocation [[Lee et al., 18](#)]

## Bug Finding

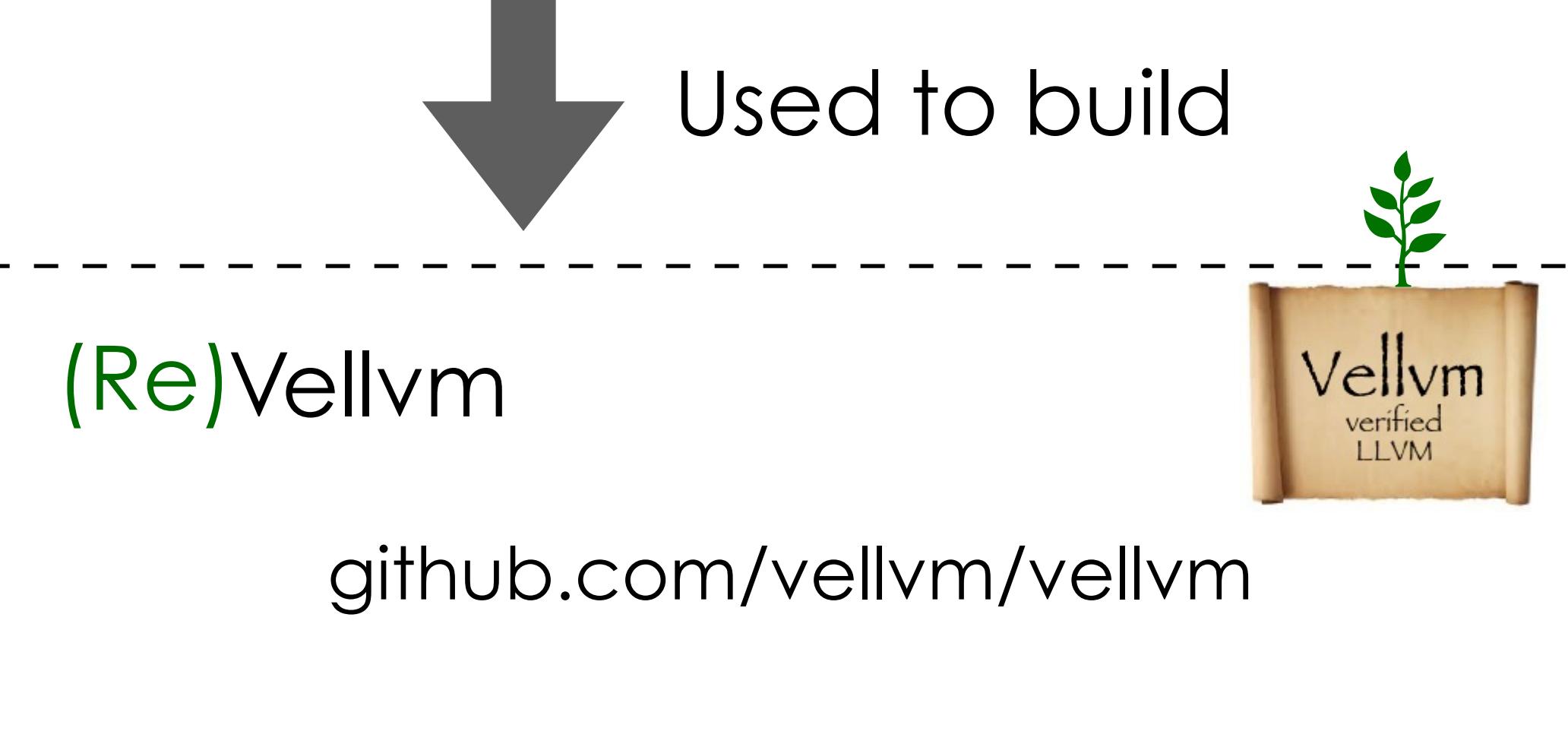
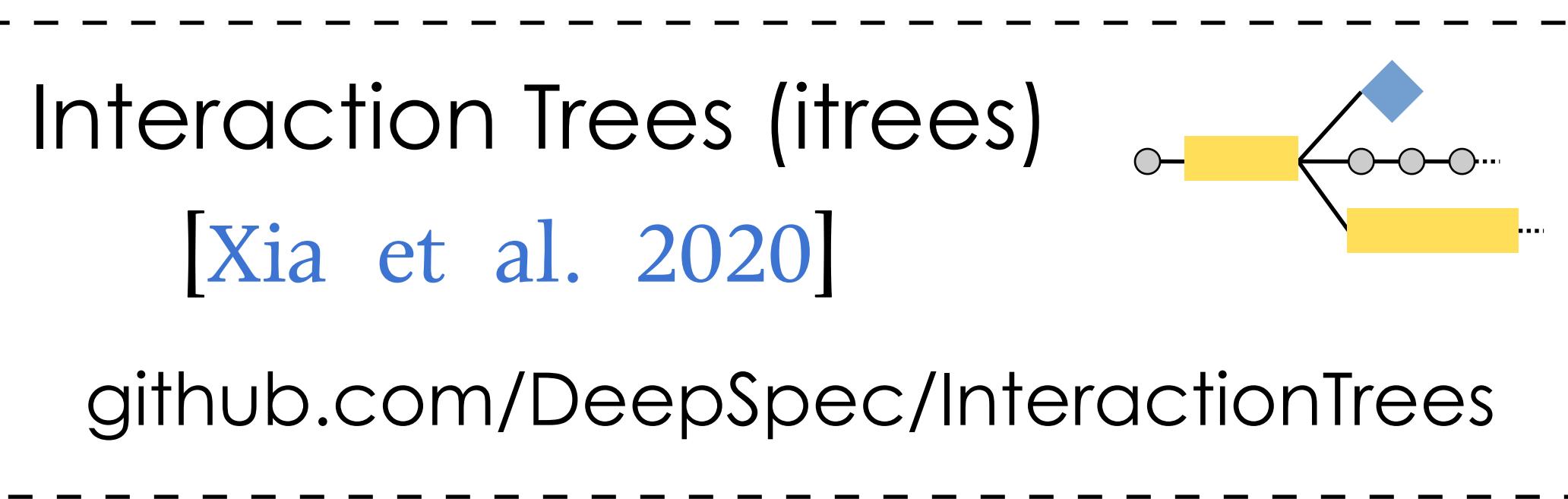
Alive [[Lopes et al., 15](#)]

Alive 2 [[Lopes et al., 21](#)]

More..

# Vellvm 2.0: A redesign of Vellvm

A Coq **formal semantics** for a large, sequential fragment of **LLVM IR** coming with:



A generic toolkit to **define** and **reason about** the semantics of interactive systems

Semantics: Compositional, Modular, Executable

Reasoning: Equational, termination sensitive

VIR: a **compositional**, **modular** and **executable** formal semantics for (sequential) LLVM IR

New reasoning principles to verify program transformations

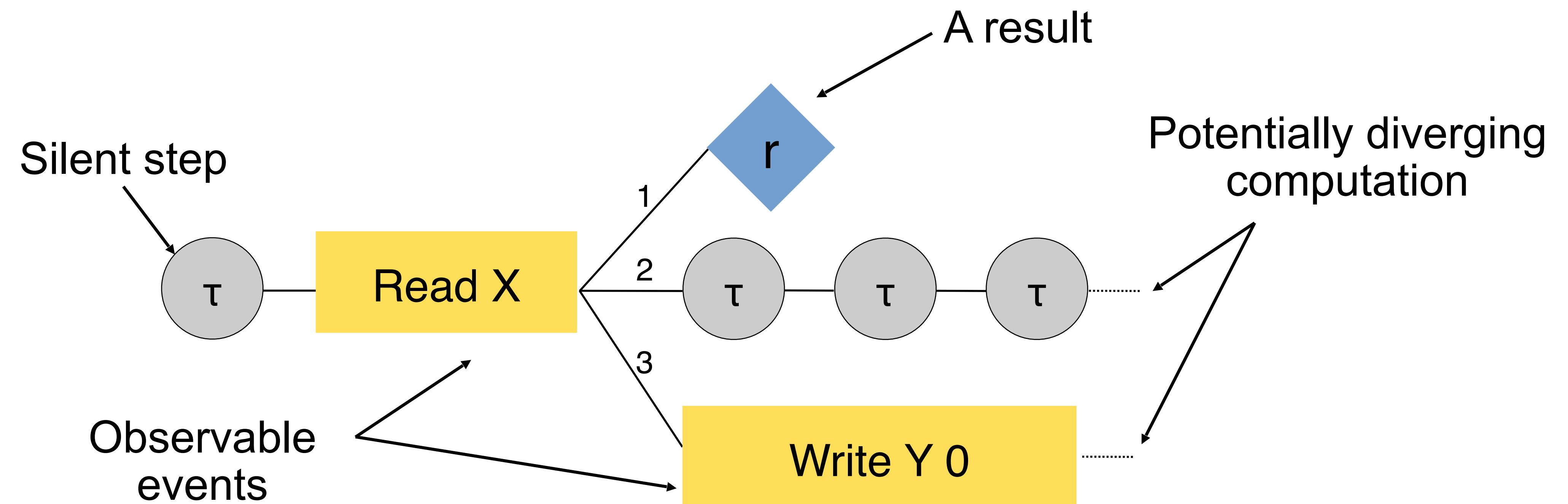
# Event-based Semantics

## Modularity

# Interaction Trees

[Xia et al. 2020]

A data structure for modelling potentially diverging programs in Coq



# Interaction Trees

```
CoInductive itree (E: Type -> Type) (R: Type): Type :=  
| Ret (r: R)  
| Tau (t: itree E R)  
| Vis {X: Type} (e: E X) (k: X -> itree E R).
```

A value of the datatype (*itree E R*) represents:

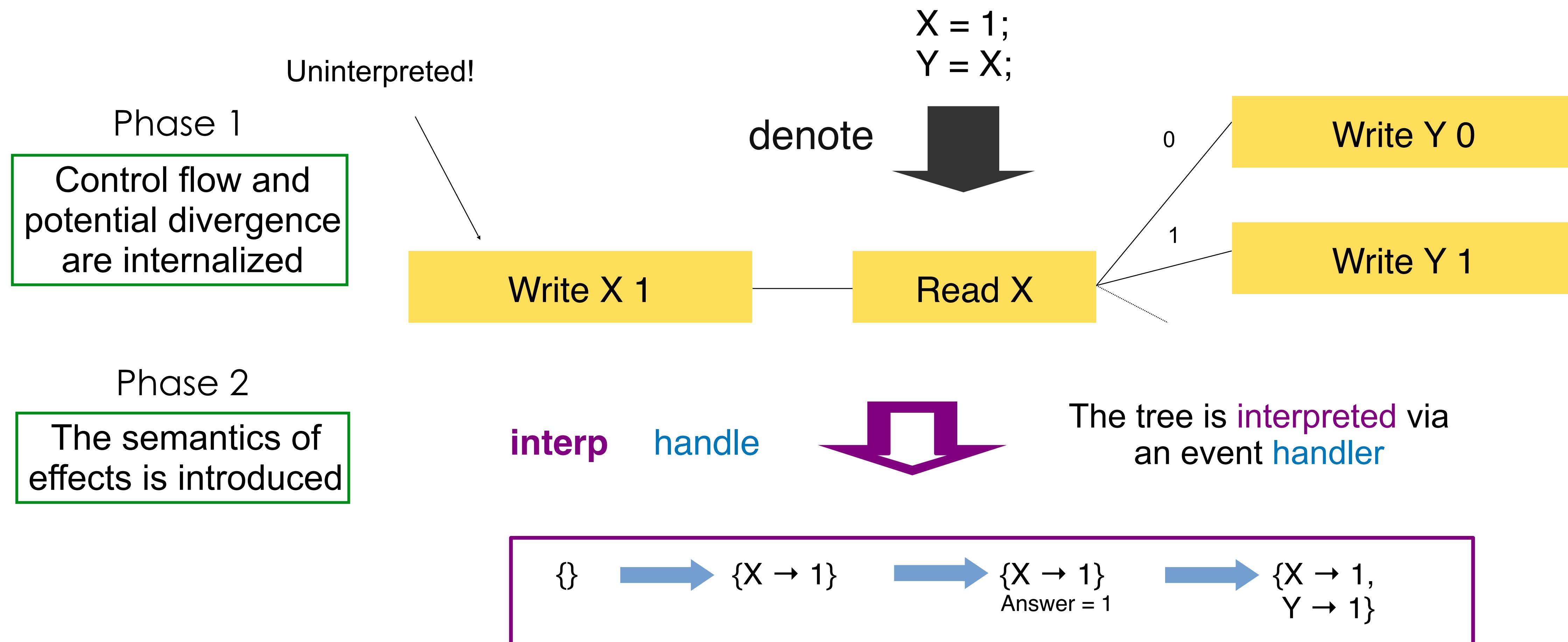
- a potentially diverging computation,
- which may return a **value** of type **R**,
- while emitting during its execution **events** from the **interface E**.

# Interaction Trees

## Basic combinators and notation

- pure computation: `ret x`
- monadic bind (sequence):
  - `bind t k`
  - `x <- k ;; k x`
- performing an event: `trigger e`
  - note that an "event" is merely syntactic, until it is given a semantics via a handler

# Two-phased Denotation



# Defining a modular LLVM IR semantics

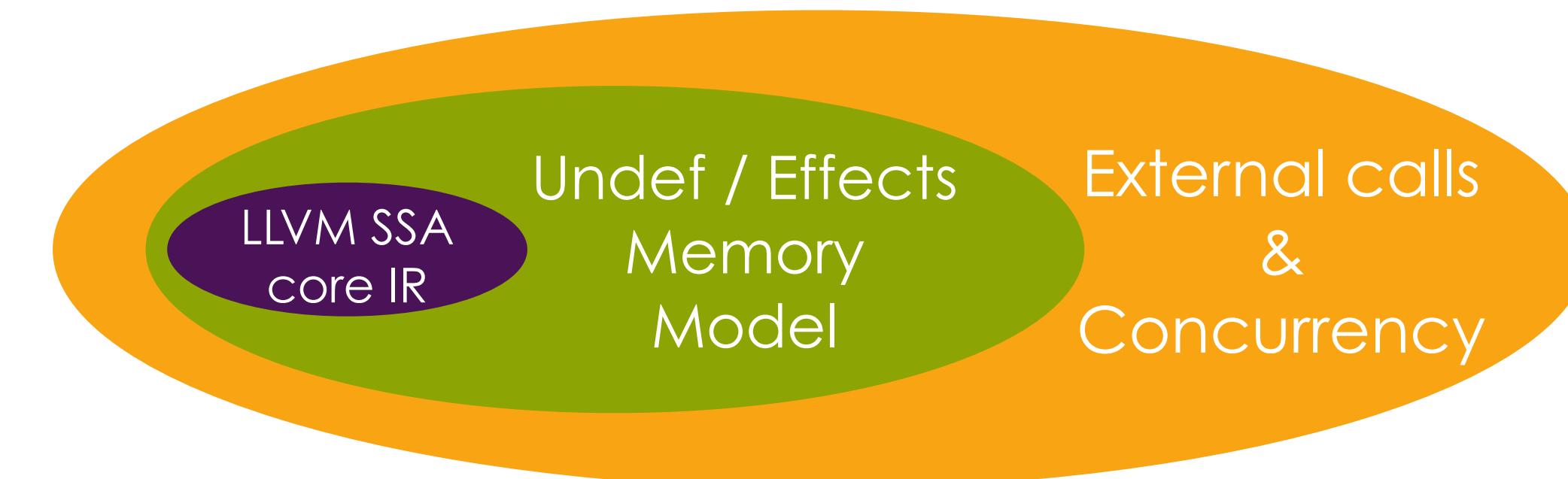
SSA  $\approx$  functional program [Appel 1998]

+

- Undefined values / poison
- Effects
  - structured heap load/store
  - system calls (I/O)
- Types & Memory Layout
  - structured, recursive types
  - type-directed projection

We know how to model this and prove properties about the models.

Q: How do we decompose these effects MODULARLY?



# Vellvm Effects

1. External Calls
2. Intrinsics
3. Global Environment
4. Local Environment
5. Stack
6. Memory
7. Nondeterminism
8. Undefined Behavior
9. (Debugging)

Each layer of effects can be interpreted separately, making proofs **modular** and changes orthogonal.

```
(* Interactions with the memory *)
Variant MemoryE : Type → Type :=
| MemPush : MemoryE unit
| MemPop  : MemoryE unit
| Alloca   : ∀ (t:dtyp),
| Load     : ∀ (t:dtyp) (a:dvalue),
| Store    : ∀ (a:dvalue) (v:dvalue),
| GEP      : ∀ (t:dtyp) (v:dvalue) (vs:list dvalue),
| ItoP     : ∀ (i:dvalue),
| PtoI     : ∀ (t:dtyp) (a:dvalue),
.
```

(MemoryE dvalue)  
(MemoryE uvalue)  
(MemoryE unit)  
(MemoryE dvalue)  
(MemoryE dvalue)  
(MemoryE dvalue)

# Interpreting LLVM Events

## 1. Denoting events

```
(* Interactions with the memory *)
Variant MemoryE : Type → Type :=
| MemPush : MemoryE unit
| MemPop : MemoryE unit
| Alloca : ∀ (t:dtyp), (MemoryE dvalue)
| Load   : ∀ (t:dtyp) (a:dvalue), (MemoryE uvalue)
| Store  : ∀ (a:dvalue) (v:dvalue), (MemoryE unit)
| GEP    : ∀ (t:dtyp) (v:dvalue) (vs:list dvalue), (MemoryE dvalue)
| ItoP   : ∀ (i:dvalue), (MemoryE dvalue)
| PtoI   : ∀ (t:dtyp) (a:dvalue), (MemoryE dvalue)
.
```

## 2. Giving semantics to events

Generalized semantic domain: the resulting events contain failure and undefined behavior events

```
Definition handle_memory {E} `{FailureE --< E} `{UBE --< E}: MemoryE ~> stateT memory_stack (itree E)
```

## 3. Fold and layer

```
Definition interp {E M : Type -> Type} (h : E ~> M) : itree E ~> M
```

# Fold and layer?

## 3. Fold and layer

**Definition**  $\text{interp} \{E\ M : \text{Type} \rightarrow \text{Type}\} \ (h : E \rightsquigarrow M) : \text{itree}\ E \rightsquigarrow M$

An event handler [h] defines a monad morphism (i.e. respects bind and ret\*) for any monad [M] with a loop operator.

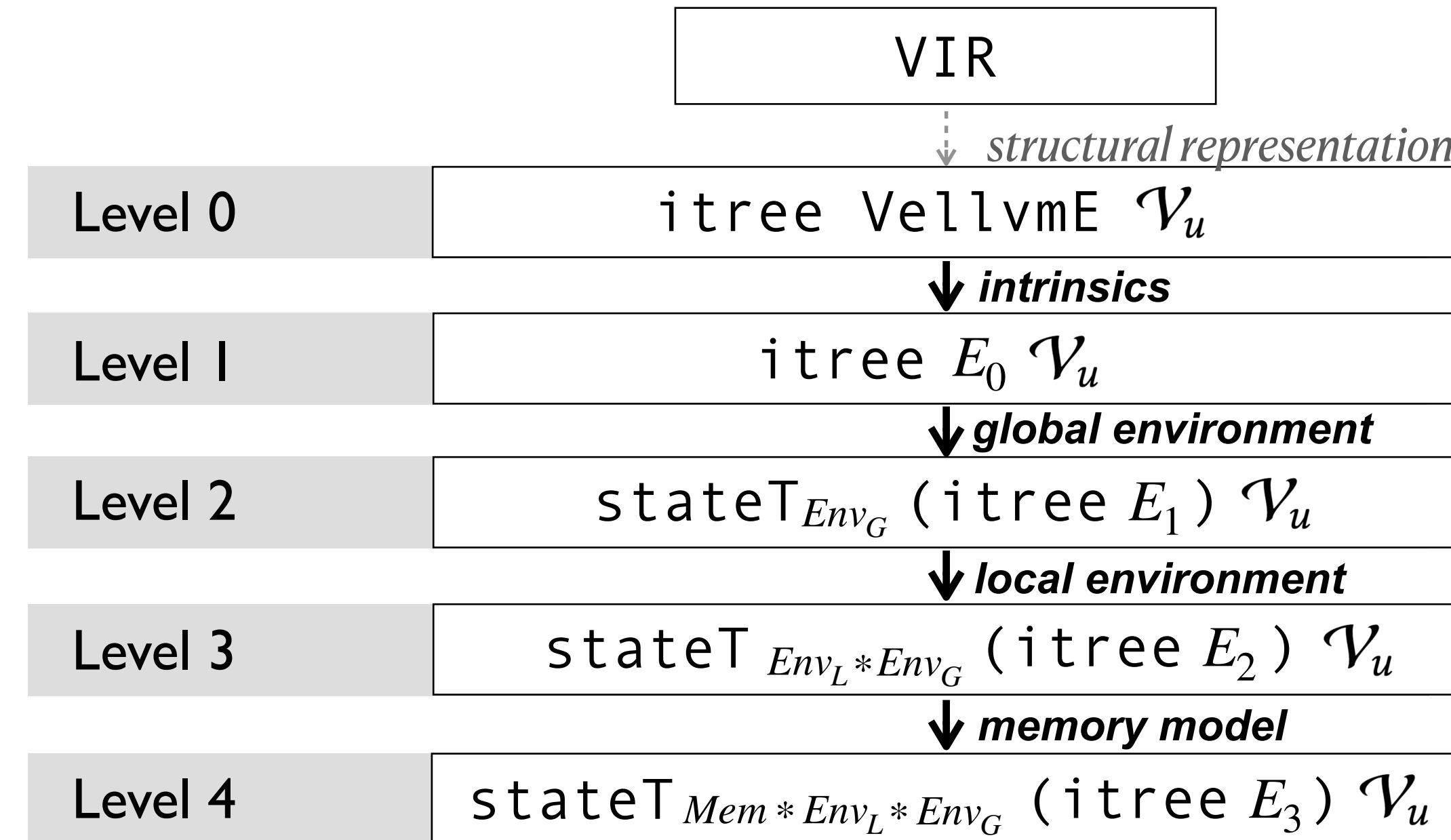
"fold over a tree, and return something you can iterate over"

[Yoon et al. - ICFP 2022]

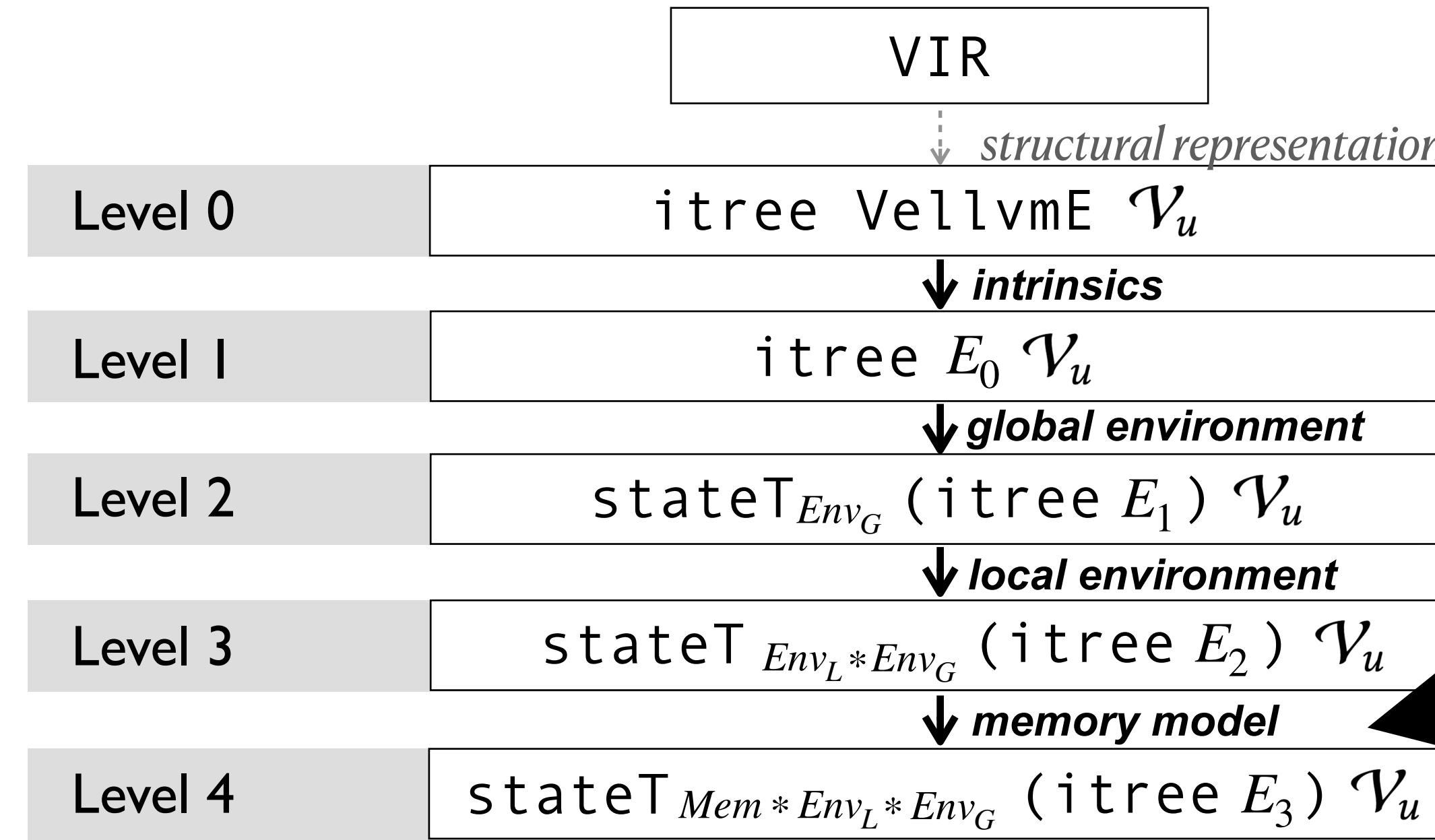
Meta-theory for layered monadic interpreters

\*and additionally, rules for iteration

# Layered interpretation, plug-and-play



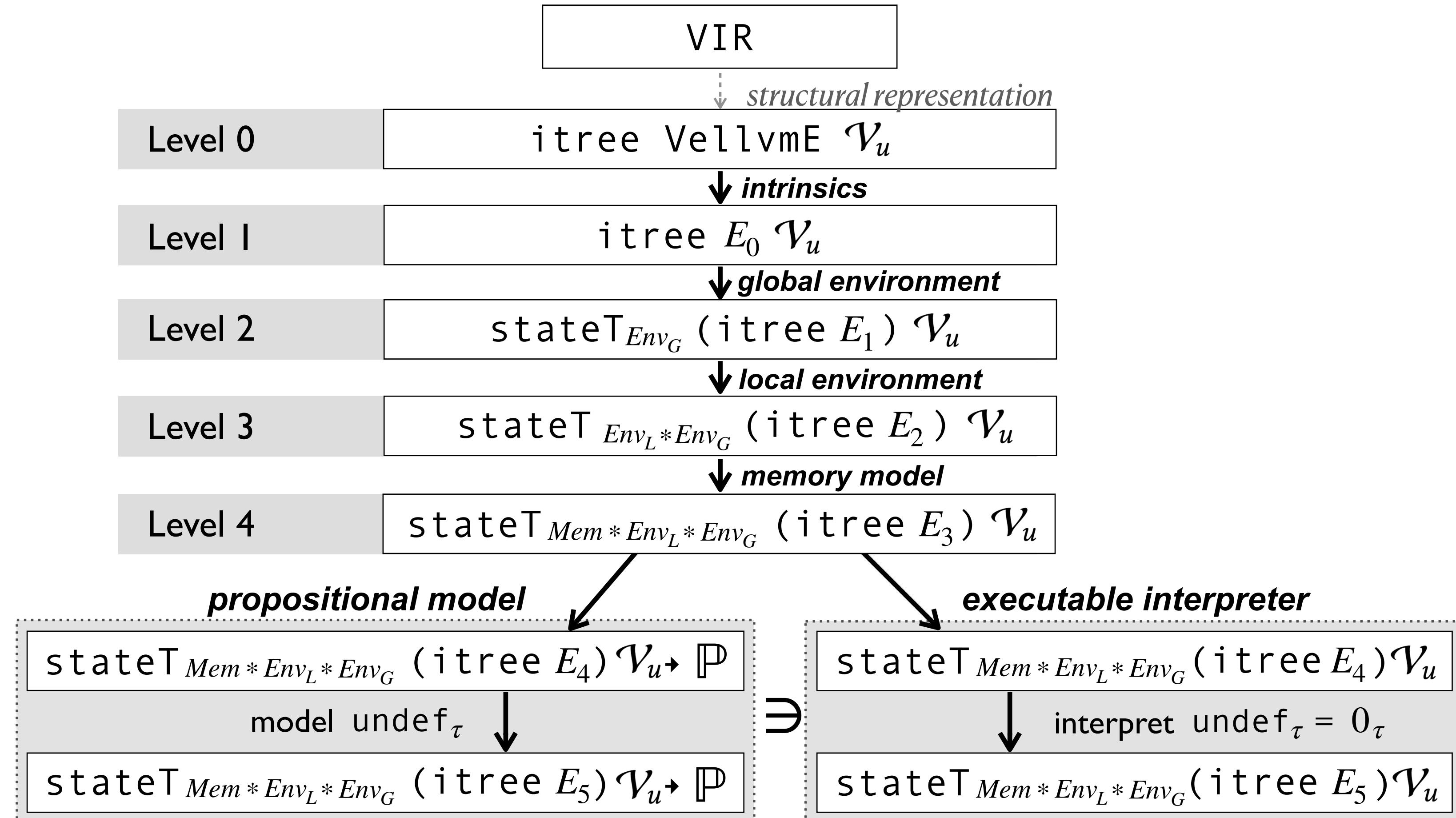
# Layered interpretation, plug-and-play



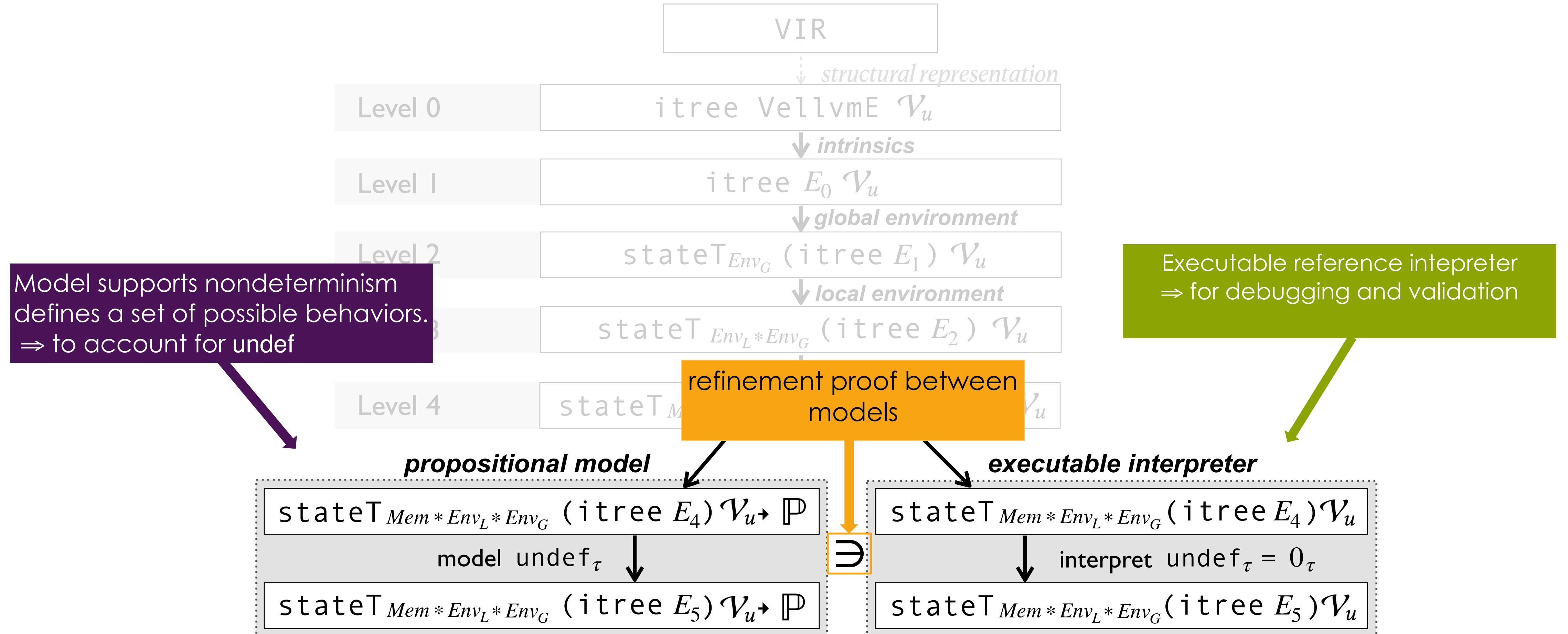
Is this the right memory model?  
[Beck et al.]

Infinite/finite memory  
model for LLVM IR

# Layered interpretation, plug-and-play



# Layered interpretation, plug-and-play



# Denotational Semantics

## Compositionality

# Denotational semantics for LLVM IR

$\llbracket - \rrbracket_{\text{expr}}$  expressions

$\llbracket - \rrbracket_{\text{instr}}$  instructions

$\llbracket - \rrbracket_{\text{block}}$  blocks

$\llbracket - \rrbracket_{\text{cfg}}$  control-flow graphs

$\llbracket - \rrbracket_{\text{llvm}}$  programs  
(mutually recursive functions)

Meaning built up by induction  
on the structure of the syntax.  

- open programs
- fixpoint combinators
- pure monadic computations

entry:

```
%1 = alloca
%acc = alloca
store %n, %1
store 1, %acc
br label %start
```

loop:

```
%3 = load %1
%4 = icmp sgt %3, 0
br %4, label %then, label %else
```

body:

```
%6 = load %acc
%7 = load %1
%8 = mul %6, %7
store %8, %acc
%9 = load %1
%10 = sub %9, 1
store %10, %1
br label %start
```

post:

```
%12 = load %acc
ret %12
```

# Denoting expressions

## "Simple arithmetic"

- Division by zero
  - Undefined behavior: behavior undefined by the language standard ("no promises!")
  - Compilers often assume source has no UB
- undef: set of defined values at a certain type
  - $x + x \neq 2 * x$
- poison: "deferred undefined behavior", a value useful for representing signed overflow in LLVM IR

$$\llbracket e_1/e_2 \rrbracket_e = uv_1 \leftarrow \llbracket e_1 \rrbracket_e ;; uv_2 \leftarrow \llbracket e_2 \rrbracket_e ;;
\\ dv \leftarrow \text{pick}(uv_2) ;; uv_1 \oslash dv$$

# General recursion in Gallina



Gallina



pure fragment of OCaml, with dependent types

```
Fixpoint fact n :=  
  match n with  
  | 0 => 1  
  | S m => n * fact m
```

```
Fixpoint zip xs ys :=  
  match xs with  
  | nil => ys  
  | x::xs => x::zip ys xs
```

```
Fixpoint interp c s :=  
  match c with  
  | ..  
  | while b c =>  
    if is_true b s  
    then interp (while b c) (interp c s)  
    else s
```



Ok!



Prove it!



Tough luck!

# Combinator for recursion

First step : modeling tail-recursive calls

- Iteration as a primitive for tail recursion
- Iterate a function updating an accumulator [A], until it produces an output [B]

`iter : (A → itree E (A + B)) → (A → itree E B)`

```
CoFixpoint iter (body : A → itree E (A + B))
  : A → itree E B :=
  fun a => ab ← body a ;;
  match ab with
  | inl a => Tau (iter body a)
  | inr b => Ret b
end.
```

## Iterative laws

$$\begin{aligned} \text{iter } f &\approx f \ggg \text{case\_} (\text{iter } f) \text{ id\_} \\ \text{iter } f \ggg g &\approx \text{iter} (f \ggg \text{bimap id\_} g) \end{aligned}$$

# Mutual recursion combinator

- Technique for general recursion developed by McBride 2015

- Signature of a mutually recursive function

**Inductive** ackermannE : **Type** → **Type** :=

| Ackermann : nat → nat → ackermannE nat.

- Body of function makes recursive calls with 'Ackermann' events without ensuring well-foundedness

**Definition** h\_ackermann : ackermannE → itree (ackermannE +' emptyE) :=  
fun \_ '(Ackermann m n) => if m =? 0 then Ret (n + 1)  
else if n =? 0 then trigger (inl1 (Ackermann (m-1) 1))  
else (ack ← trigger (inl1 (Ackermann m (n-1))) ;;  
trigger (inl1 (Ackermann (m-1) ack))).

- Given the recursive handler and [mrec] combinator, we can tie the knot

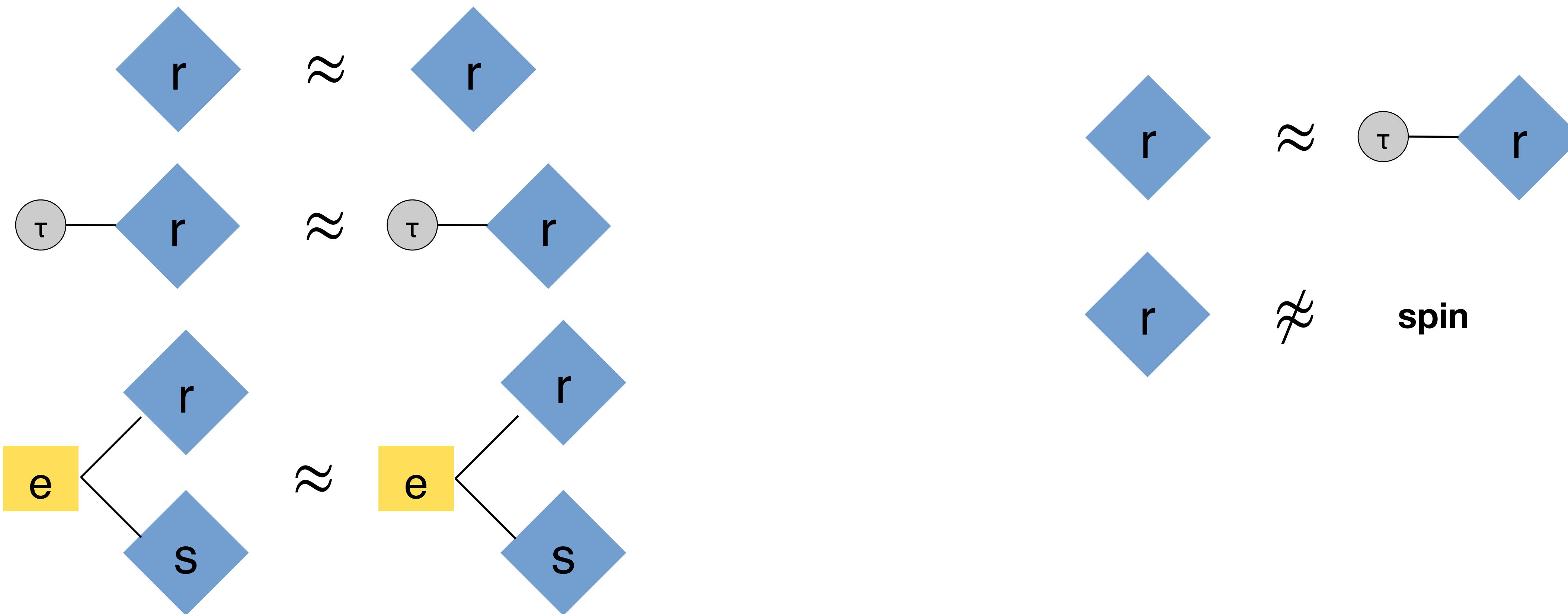
**Definition** ackermann : nat → nat → itree emptyE nat :=  
fun m n => mrec h\_ackermann (Ackermann m n).

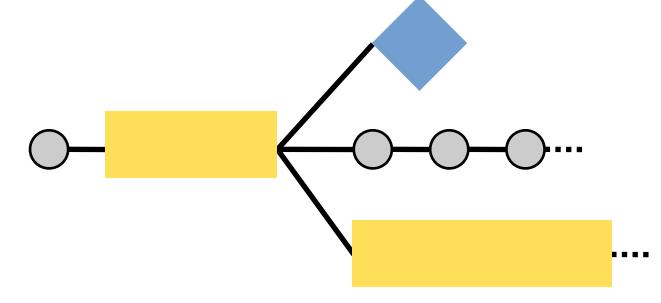
# Weak Bisimulation

*gpaco* [Zakowski et al. 2020]

(Coinductive) relation ignoring finite amount of internal steps

eutt: “equivalence up-to taus”





# Interaction Tree equational theory (excerpt)

## Structural laws

$$\begin{aligned}
 & (x \leftarrow (\text{Tau } t) ; ; k)^{(\text{Tau } t)} \approx t \\
 & (x \leftarrow (\text{Vis } e \ k_1) ; ; k_2) \approx \\
 & \quad (\text{Vis } e \ (\text{fun } y \Rightarrow (k_1 \ y) ; ; k_2))
 \end{aligned}$$

## Monad laws

$$\begin{aligned}
 & (x \leftarrow \text{ret } v ; ; k \ x) \cong (k \ v) \\
 & (x \leftarrow (x \leftarrow t ; ; \text{ret } x) ; ; u) \cong t \\
 & (x \leftarrow (y \leftarrow s ; ; t) ; ; u) \cong (y \leftarrow s ; ; x \leftarrow t ; ; u)
 \end{aligned}$$

## Iterative laws

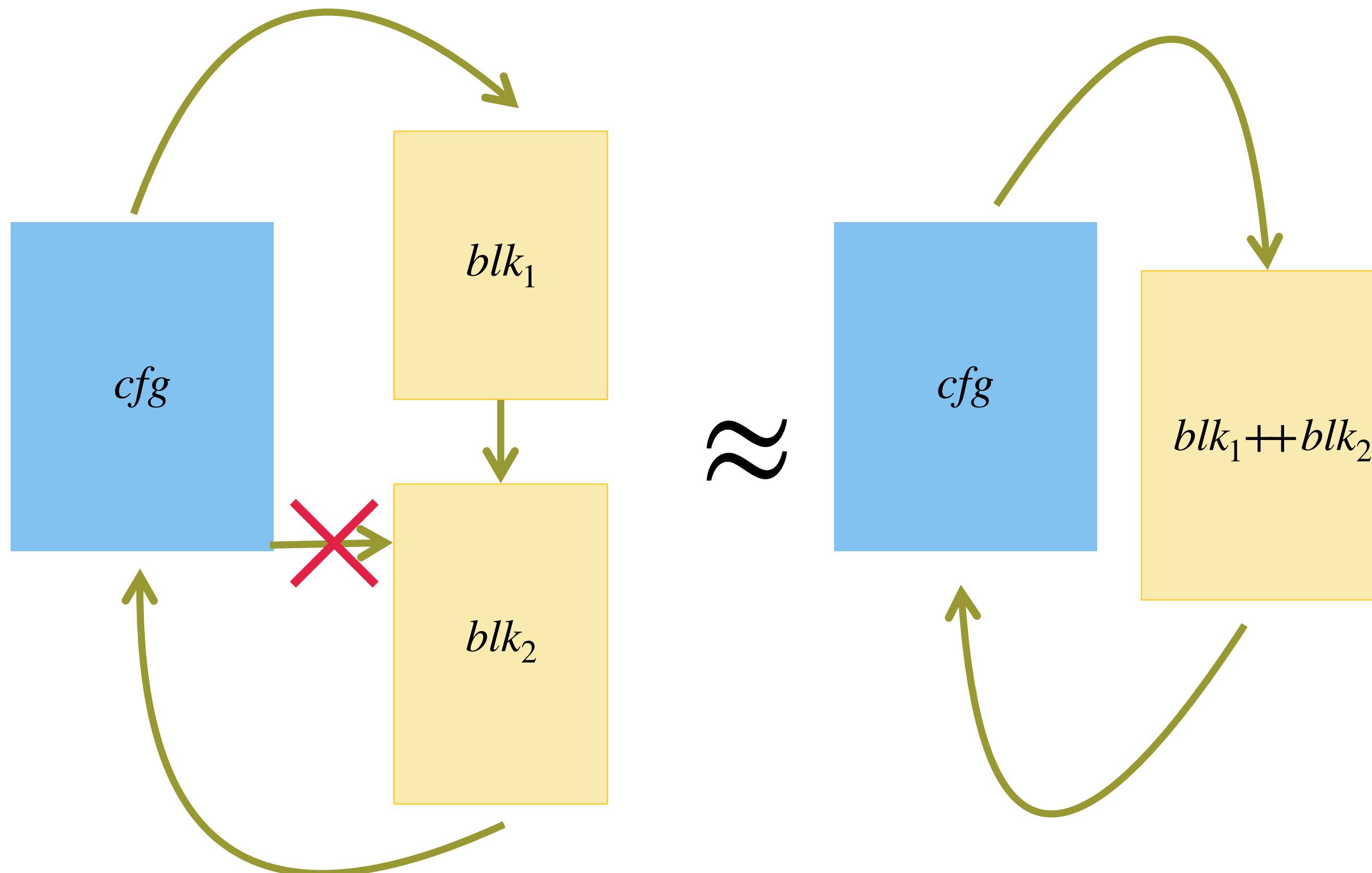
$$\begin{aligned}
 \text{iter } f &\approx f \ggg \text{case\_} (\text{iter } f) \ \text{id\_} \\
 \text{iter } f \ggg g &\approx \text{iter } (f \ggg \text{bimap id\_} g)
 \end{aligned}$$

## Interp laws

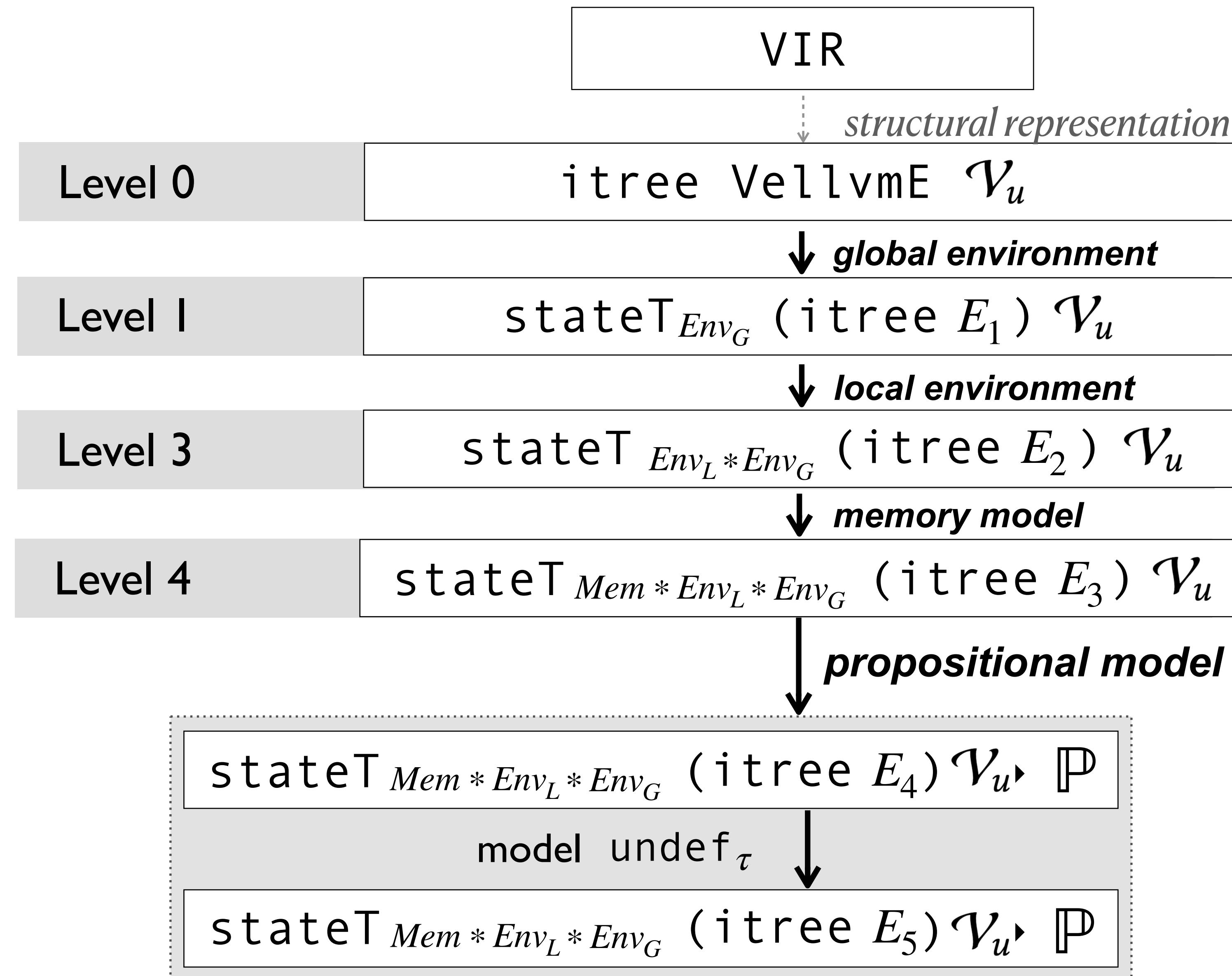
$$\begin{aligned}
 \text{interp } h \ (\text{trigger } e) &\cong h \ _e \\
 \text{interp } h \ (\text{Ret } r) &\cong \text{ret } r \\
 \text{interp } h \ (x \leftarrow t ; ; k \ x) &\cong \\
 &x \leftarrow (\text{interp } h \ t) ; ; \text{interp } h \ (k \ x)
 \end{aligned}$$

# Benefits of Interaction-Tree based reasoning

Reasoning about control-flow



- Proof of block-merging optimization
- Reasoning about composing control-flow operators is simple
- Benefit
  - Proof involves reasoning only about control flow, not other side-effects (e.g. state, exception..)



$\sim^0_R$   
 $\sqsubset$   
 $\sim^1_R$   
 $\sqsubset$   
 $\sim^2_R$   
 $\sqsubset$   
 $\sim^3_R$   
 $\sqsubset$   
 $\sim^4_R$   
 $\sqsubset$   
 $\sim^5_R$   
 $\sqsubset$   
 $\sim^6_R$

Block-Fusion (proof)

Simulation  
relations over  
richer and  
richer states

Set inclusion  
up-to the  
underlying  
refinement  
relation

Block-Fusion (result)

# The need for a state-aware program logic

## Stateful reasoning in VIR

- Relational reasoning on ITree-based semantics

Two programs  $e_t$  and  $e_s$

$$e_t \approx_R e_s$$

- (1) Both terminate and satisfy the postcondition  $R$  over the result of the computation, OR
- (2) Both diverge in simulation with each other

- Stateful Hoare-style reasoning

Given a stateful interpretation function  $\llbracket - \rrbracket : \text{itree } (\mathbf{E} +' \mathbf{F}) \ A \rightarrow \text{stateT } S \ (\text{itree } F) \ A$

$$\{\mathcal{P}\}e_t \approx e_s\{\mathcal{Q}\} := \forall \sigma_t, \sigma_s. \mathcal{P}(\sigma_t, \sigma_s) \Rightarrow \llbracket e_t \rrbracket \sigma_t \approx_{\mathcal{Q}} \llbracket e_s \rrbracket \sigma_s$$

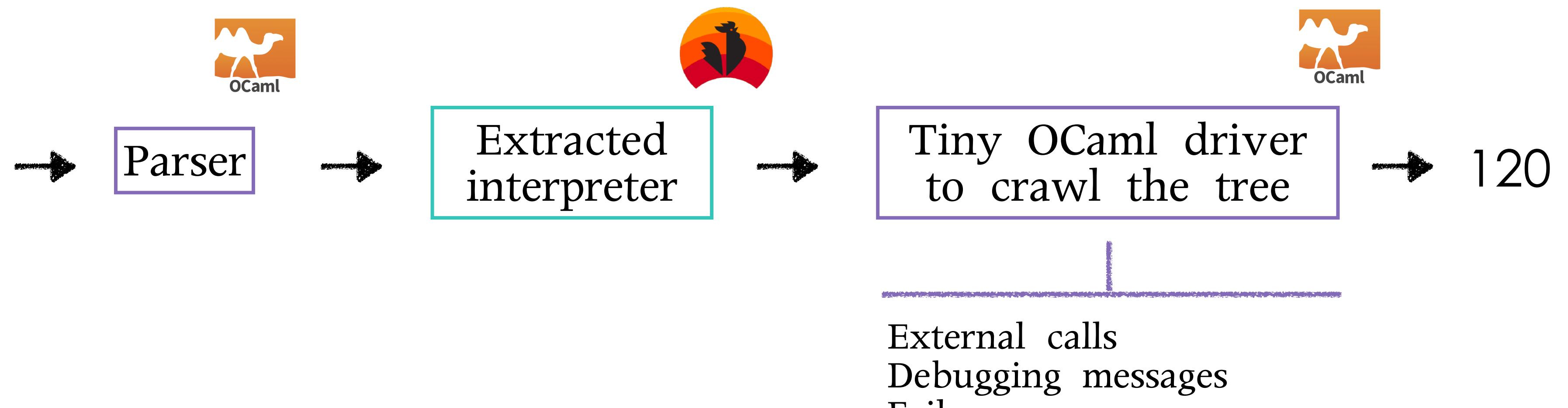
- Localize reasoning about state using separation logic

# Coq Extraction Executability

Try it out yourself!

# Reference Interpreter: Executability

```
define i64 @factorial(i64 %n) {  
    %1 = alloca i64  
    %acc = alloca i64  
    store i64 %n, i64* %1  
    store i64 1, i64* %acc  
    br label %start  
  
start:  
    %2 = load i64, i64* %1  
    %3 = icmp sgt i64 %2, 0  
    br i1 %3, label %then, label %end  
  
then:  
    %4 = load i64, i64* %acc  
    %5 = load i64, i64* %1  
    %6 = mul i64 %4, %5  
    store i64 %6, i64* %acc  
    %7 = load i64, i64* %1  
    %8 = sub i64 %7, 1  
    store i64 %8, i64* %1  
    br label %start  
  
end:  
    %9 = load i64, i64* %acc  
    ret i64 %9  
}  
  
define i64 @main(i64 %argc, i8** %argv) {  
    %1 = alloca i64  
    store i64 0, i64* %1  
    %2 = call i64 @factorial(i64 5)  
    ret i64 %2  
}
```



Tested against `clang` over:

- A collection of unit tests
- A handful of significant programs from the HELIX frontend
- Experiments over randomly generated programs using QuickChick

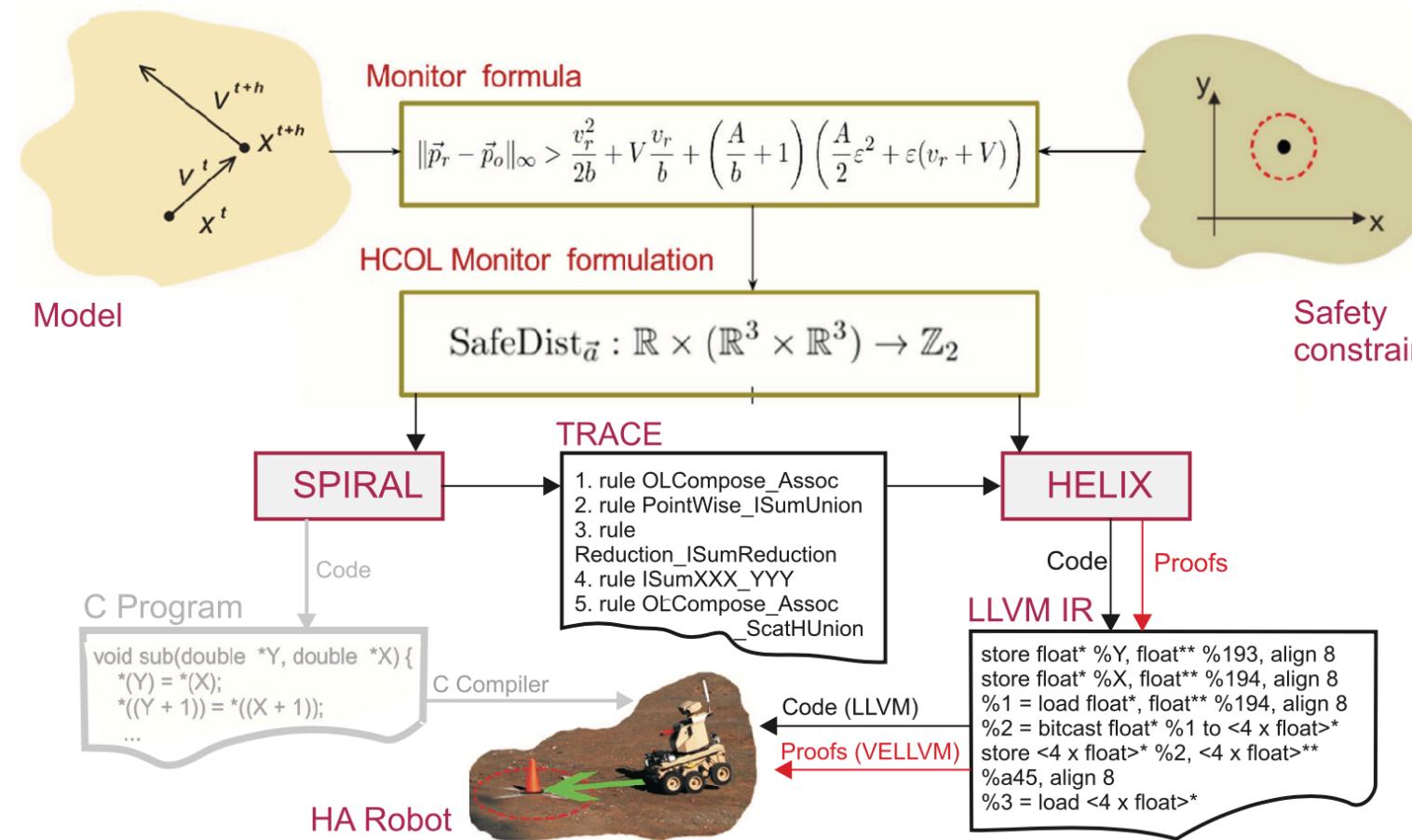
# Reference Interpreter Validation : Executability

- Debugging
- Validate Vellvm Semantics against other implementations
  - test suite of ~140 “semantic tests”
  - e.g., integrate with QuickChick, Csmith, ALIVE
- Find bugs in the existing LLVM infrastructure
  - thinking hard about corner cases while formalizing is a good way to find real bugs
  - identify inconsistent assumptions about the LLVM compiler
- Property-based testing: QuickChick-based generator to generate interesting, UB-free LLVM IR programs (in-progress work, Chen et al.)

# SPIRAL/HELIX

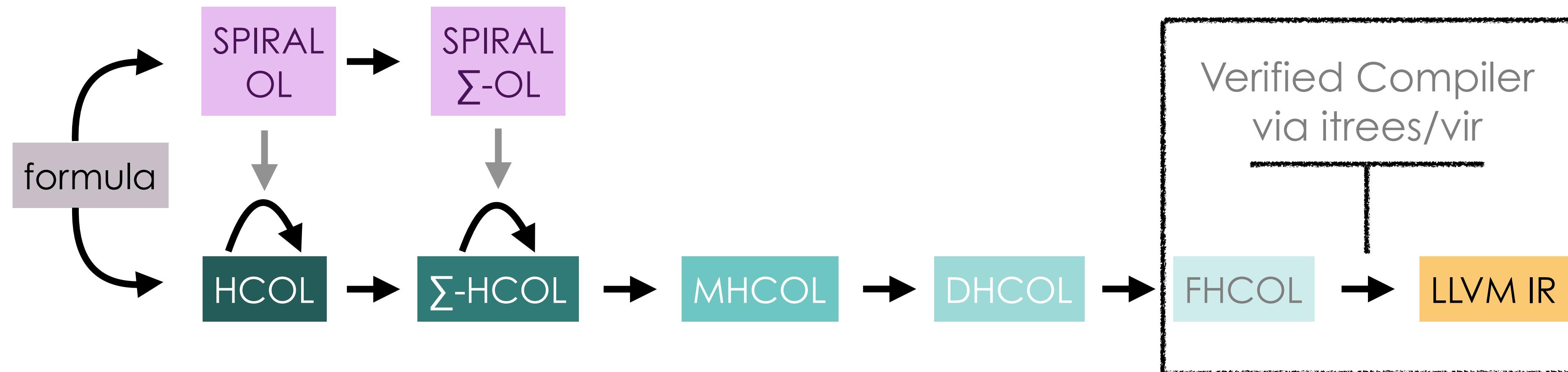
[Püschel, et al. 2005] [Franchetti et al., 2005, 2018] [Zaliva et al., 2015 2018, 2019]

DSL for high-performance numerical computing.



- Numerical computations compiled down to LLVM IR
- Formalized in Coq, targets Vellvm
- Bottom of the compilation chain proved\* w.r.t. this technique

\* Some operators are currently not proved



# The Vellvm Project, Revamped



Inria CMU



<https://github.com/vellvm/vellvm>

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[Yoon et al.]

Relational separation logic for LLVM IR



\* : all results mechanized in the Coq Proof Assistant

