

# Verification of Chase-Lev work-stealing deque

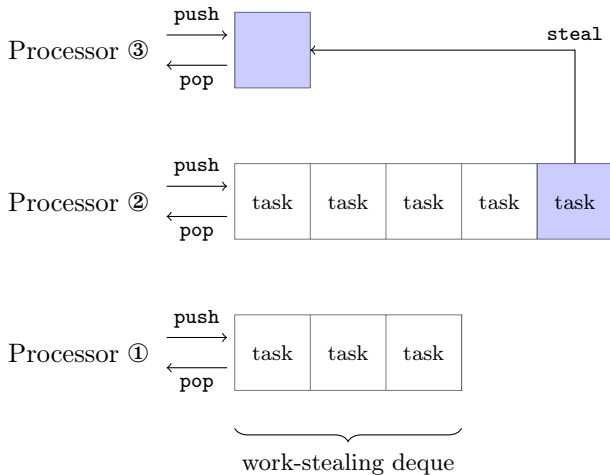
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## Context: scheduler for task-based parallelism

- ▶ Cilk (C, C++)
- ▶ Threading Building Blocks (C++)
- ▶ Taskflow (C++)
- ▶ Tokio (RUST)
- ▶ Goroutines (GO)
- ▶ Domainslib (OCAML 5)

# Work-stealing



## Chase-Lev work-stealing deque

1. *The Implementation of the Cilk-5 Multithreaded Language.*  
Frigo, Leiserson & Randall (1998).
  - ▶ lock
2. *Thread Scheduling for Multiprogrammed Multiprocessors.*  
Arora, Blumofe & Plaxton (1998).
  - ▶ non-blocking
  - ▶ one fixed size array, potential overflow
3. *A dynamic-sized nonblocking work stealing deque.*  
Hendler, Lev, Moir, & Shavit (2004).
  - ▶ non-blocking
  - ▶ list of small arrays, no overflow
4. *Dynamic circular work-stealing deque.*  
Chase & Lev (2005).
  - ▶ non-blocking
  - ▶ circular arrays, no overflow

## Why is it interesting?

- ▶ Demonstration of Iris on a (simplified) real-life concurrent data structure.
- ▶ Rich ghost state to enforce a subtle protocol.
  - ▶ logical state  $\neq$  physical state
  - ▶ external future-dependent linearization point
- ▶ Nontrivial use of prophecy variables.

## The rest of this talk

- ▶ Specification using logically atomic triples.
- ▶ Rough idea of how the data structure works.
- ▶ Why we need prophecy variables.

Specification

Physical state

Logical state

Prophecy variables

## Specification — chaselev\_make

$$\frac{\{ \text{True} \}}{\text{chaselev\_make } ()}$$
$$\left\{ \lambda t. \text{chaselev-inv } t \iota * \text{chaselev-model } t \square * \text{chaselev-owner } t \right\}$$



## Specification — chaselev\_make

$$\frac{\{ \text{True} \}}{\text{chaselev\_make } ()}$$
$$\left\{ \lambda t. \text{chaselev-inv } t \iota * \text{chaselev-model } t \square * \text{chaselev-owner } t \right\}$$

*t* is an instance of Chase-Lev deque.  
Enforces a protocol (using an Iris invariant).

## Specification — chaselev\_make

$$\frac{\{ \text{True} \}}{\text{chaselev\_make } ()}$$
$$\left\{ \lambda t. \text{chaselev-inv } t \iota * \text{chaselev-model } t [] * \text{chaselev-owner } t \right\}$$

Asserts the list of values that  $t$  logically contains.

## Specification — chaselev\_make

$$\frac{\{ \text{True} \}}{\text{chaselev\_make } ()}$$

---

$$\left\{ \lambda t. \text{chaselev-inv } t \iota * \text{chaselev-model } t [] * \text{chaselev-owner } t \right\}$$

Gives the owner exclusive access to his end of  $t$ .

## Specification — chaselev\_push

$$\begin{array}{c} \{ \text{chaselev-inv } t \iota * \text{chaselev-owner } t \} \\ \hline \langle \forall vs. \text{chaselev-model } t \text{ } vs \rangle \\ \hline \text{chaselev\_push } t \ v, \uparrow \iota \\ \hline \langle \exists. \text{chaselev-model } t \ (vs \# [v]) \rangle \\ \hline \{ (). \text{chaselev-owner } t \} \end{array}$$

## Specification — chaselev\_push

Specification of a concurrent operation ( $\simeq$  transaction):  
standard triple + logically atomic triple

$$\frac{\frac{\frac{\{P\}}{\langle \forall \bar{x}. P_{\text{lin}} \rangle}}{e, \mathcal{E}}}{\langle \exists \bar{y}. Q_{\text{lin}} \rangle}}{\{res. Q\}}$$

$P$  : private precondition

$Q$  : private postcondition

$P_{\text{lin}}$  : public precondition

$Q_{\text{lin}}$  : public postcondition

## Specification — chaselev\_push

For a concurrent data structure:

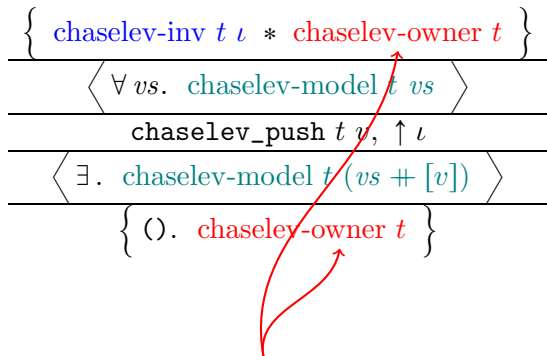
$$\frac{\frac{\frac{\{ ???\text{-inv} \dots * P \}}{\langle \forall \bar{x}. ???\text{-model} \dots \rangle}}{e, \mathcal{E}}}{\langle \exists \bar{y}. ???\text{-model} \dots \rangle}}{\{ res. Q \}}$$

## Specification — chaselev\_push

$$\begin{array}{c} \{ \text{chaselev-inv } t \iota * \text{chaselev-owner } t \} \\ \hline \langle \forall vs. \text{chaselev-model } t \text{ } vs \rangle \\ \hline \text{chaselev\_push } t \ v, \uparrow \iota \\ \hline \langle \exists. \text{chaselev-model } t \ (vs \# [v]) \rangle \\ \hline \{ (). \text{chaselev-owner } t \} \end{array}$$

$t$  is an instance of Chase-Lev deque.

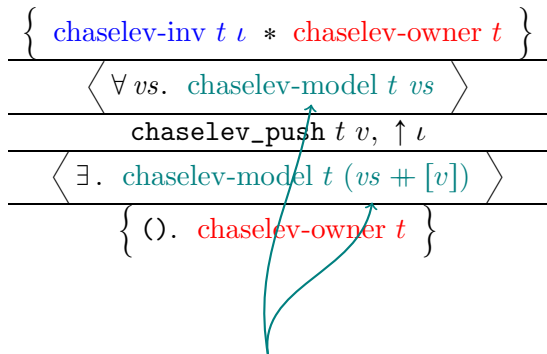
## Specification — chaselev\_push



This operation is reserved to the owner of  $t$ .



## Specification — chaselev\_push

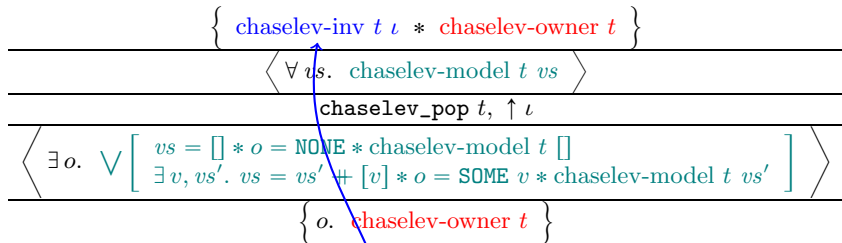


$v$  is atomically pushed at the owner's end of  $t$ .

## Specification — chaselev\_pop

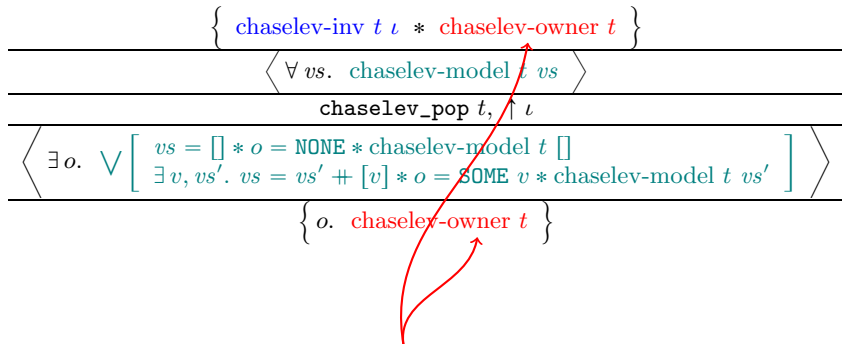
$$\{ \text{chaselev-inv } t \iota * \text{chaselev-owner } t \}$$
$$\langle \forall vs. \text{chaselev-model } t \ vs \rangle$$
$$\text{chaselev\_pop } t, \uparrow \iota$$
$$\langle \exists o. \bigvee \left[ \begin{array}{l} vs = [] * o = \text{NONE} * \text{chaselev-model } t \ [] \\ \exists v, vs'. vs = vs' \# [v] * o = \text{SOME } v * \text{chaselev-model } t \ vs' \end{array} \right] \rangle$$
$$\{ o. \text{chaselev-owner } t \}$$

## Specification — chaselev\_pop



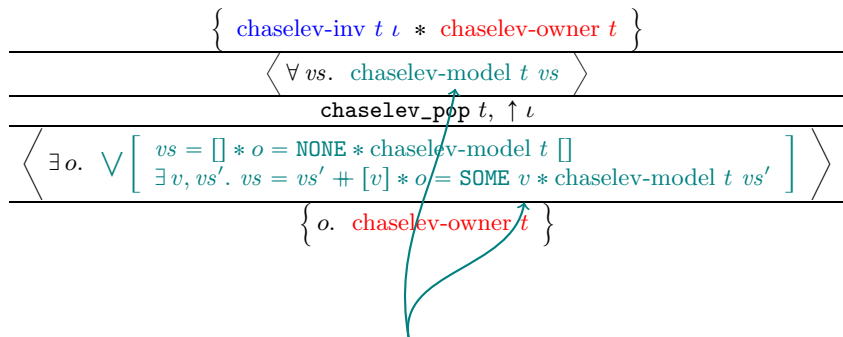
$t$  is an instance of Chase-Lev deque.

## Specification — chaselev\_pop



This operation is reserved to the owner of  $t$ .

## Specification — chaselev\_pop



Either 1)  $t$  is seen empty

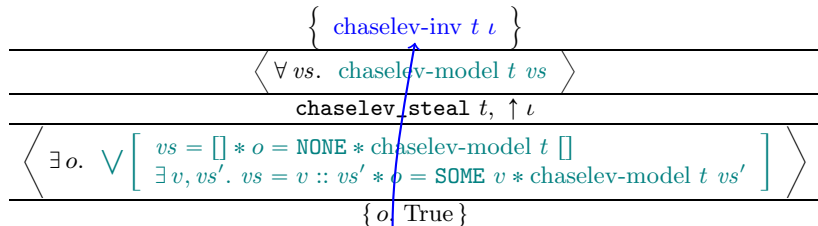
or 2) some value  $v$  is atomically popped at the owner's end of  $t$ .

## Specification — chaselev\_steal

$$\frac{\left\{ \text{chaselev-inv } t \iota \right\}}{\frac{\left\langle \forall vs. \text{ chaselev-model } t \text{ } vs \right\rangle}{\text{chaselev\_steal } t, \uparrow \iota} \left\langle \exists o. \bigvee \left[ \begin{array}{l} vs = [] * o = \text{NONE} * \text{chaselev-model } t [] \\ \exists v, vs'. vs = v :: vs' * o = \text{SOME } v * \text{chaselev-model } t \text{ } vs' \end{array} \right] \right\rangle}$$

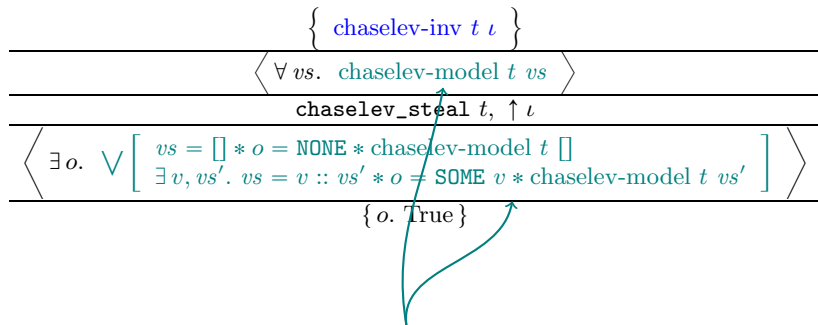
$\{ o. \text{ True} \}$

## Specification — chaselev\_steal



$t$  is an instance of Chase-Lev deque.

## Specification — chaselev\_steal



Either 1)  $t$  is seen empty

or 2) some value  $v$  is atomically popped at the thieves' end of  $t$ .



Specification

Physical state

Logical state

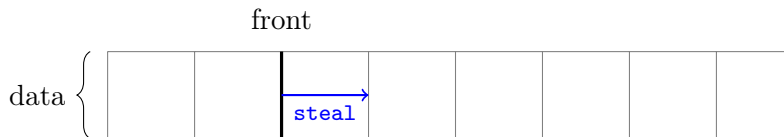
Prophecy variables

## Physical state



**data:** infinite array storing all values

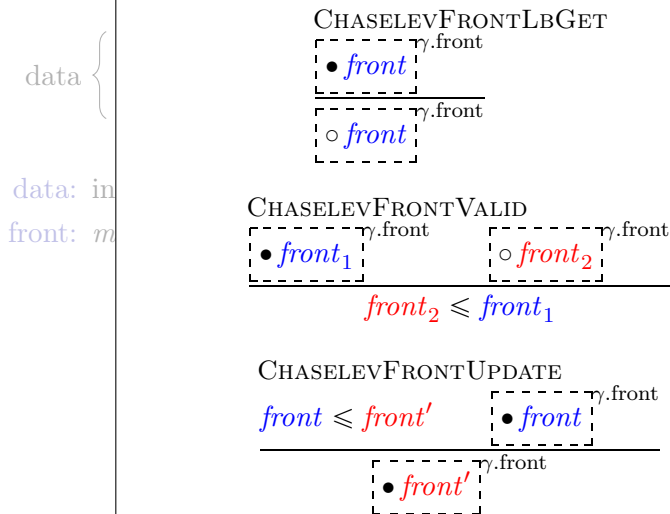
## Physical state



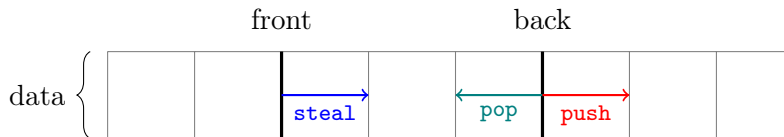
**data:** infinite array storing all values

**front:** *monotone* index for thieves' end

# Physical state



## Physical state

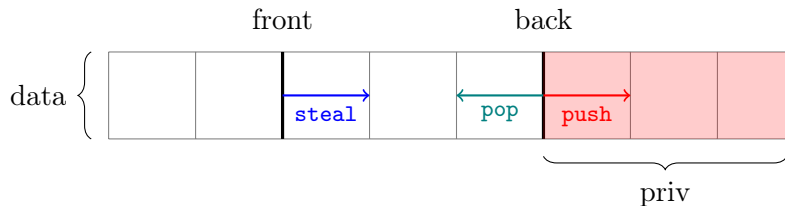


**data:** infinite array storing all values

**front:** *monotone* index for thieves' end

**back:** index for owner's end

## Physical state



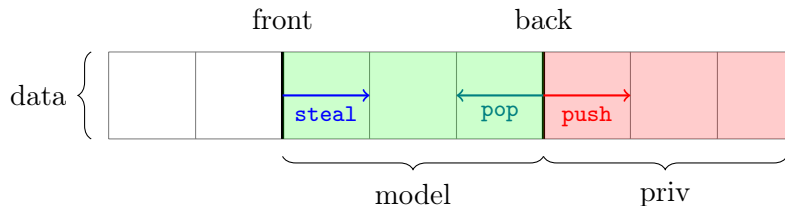
**data:** infinite array storing all values

**front:** *monotone* index for thieves' end

**back:** index for owner's end

**priv:** list of private values (controlled by owner)

## Physical state



**data:** infinite array storing all values

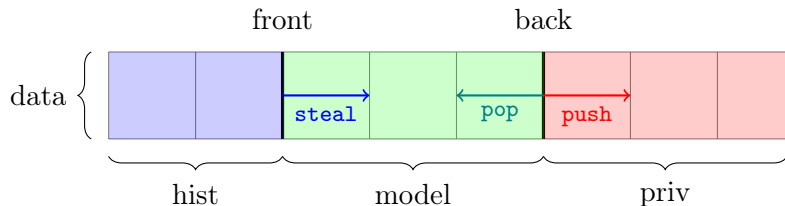
**front:** *monotone* index for thieves' end

**back:** index for owner's end

**priv:** list of private values (controlled by owner)

**model:** list of contained values

## Physical state



**data:** infinite array storing all values

**front:** *monotone* index for thieves' end

**back:** index for owner's end

**priv:** list of private values (controlled by owner)

**model:** list of contained values

**hist:** *monotone* list of history values



# Physical state

data {

CHASELEVHISTLBGET

$\gamma.\text{hist}$   
• *hist*

$\gamma.\text{hist}$   
○ *hist*

data: in

front: m

back: in

CHASELEVHISTVALID

$\gamma.\text{hist}$        $\gamma.\text{hist}$   
• *hist*<sub>1</sub>      ○ *hist*<sub>2</sub>

$\text{hist}_2 \sqsubseteq_{\text{prefix}} \text{hist}_1$

priv: lis

model: lis

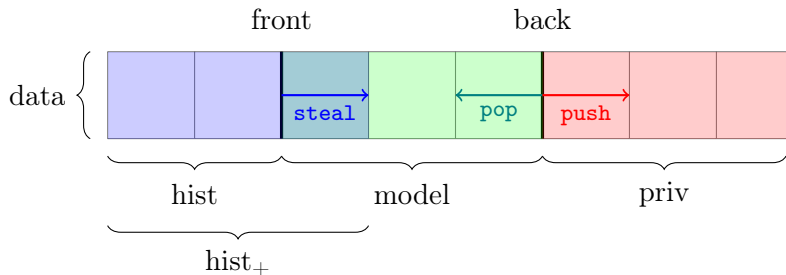
hist: m

CHASELEVHISTUPDATE

$\gamma.\text{hist}$   
• *hist*

$\gamma.\text{hist}$   
• (*hist*  $\#$  [*v*])

## Physical state



**data:** infinite array storing all values

**front:** *monotone* index for thieves' end

**back:** index for owner's end

**priv:** list of private values (controlled by owner)

**model:** list of contained values

**hist:** *monotone* list of history values

**hist<sub>+</sub>:** *monotone* list of extended history values

Specification

Physical state

Logical state

Prophecy variables

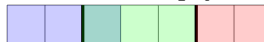
# Logical state

① empty



front = back

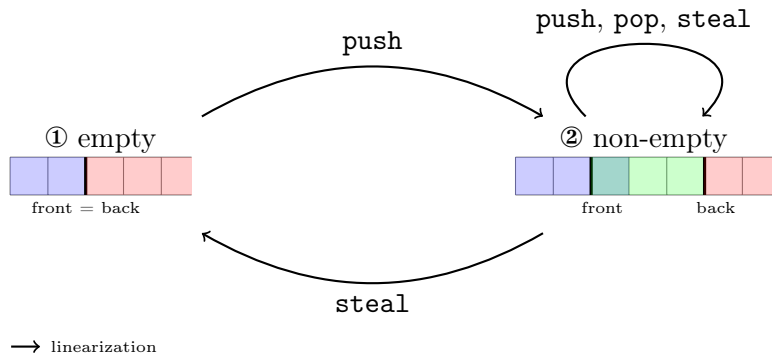
② non-empty



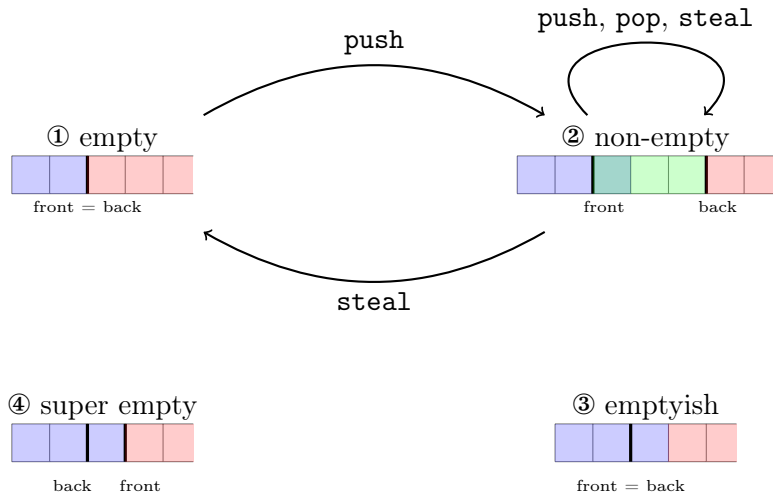
front

back

# Logical state

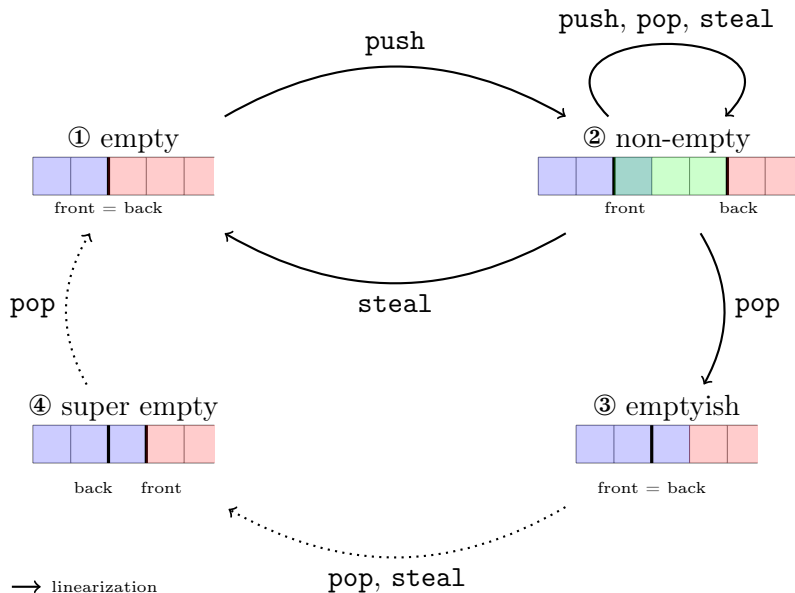


# Logical state



→ linearization

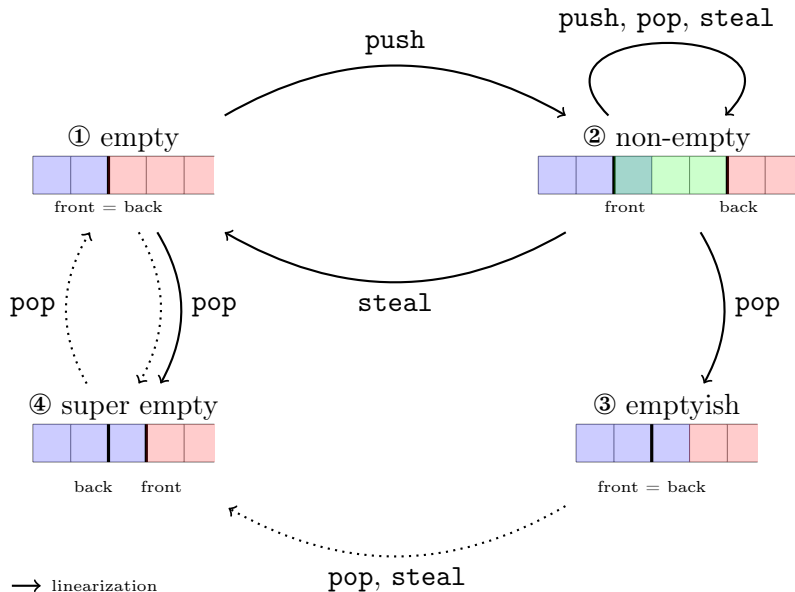
# Logical state



→ linearization

⋯→ stabilization

# Logical state





Specification

Physical state

Logical state

Prophecy variables

## Prophecy variables

*The future is ours: prophecy variables in separation logic.*

Jung, Lepigre, Parthasarathy, Rapoport, Timany, Dreyer & Jacobs (2020).

$\{ \text{True} \} \text{NewProph } \{ \lambda p. \exists \text{prophs}. \text{proph } p \text{ prophs} \}$

$$\text{WP } e \left\{ \begin{array}{l} \text{atomic } e \\ \text{proph } p \text{ prophs} \\ \lambda w. \forall \text{prophs}' . \\ \text{prophs} = (w, v) :: \text{prophs}' \text{ -*} \\ \text{proph } p \text{ prophs}' \text{ -*} \\ \Phi w \end{array} \right\} \\ \hline \text{WP Resolve } e \text{ } p \text{ } v \{ \Phi \}$$

## Back to *The future is ours* (Jung et al.)

```
let rdcss rm rn m1 n1 n2 =  
  let p = NewProph in  
  let descr = ref (rm, m1, n1, n2, p) in  
  ...
```

```
let complete descr rn =  
  let (rm, m1, n1, n2, p) = !descr in  
  let id = NewId in  
  let m = !rm in  
  let n_new = if m = m1 then n2 else n1 in  
  Resolve (CmpXchg rn (inr descr) (inl n_new)) p id ;  
  ()
```

# Prophecy variables with memory

$\{\text{True}\} \text{NewProph } \{ \lambda p. \exists \gamma, \text{prophs}. \text{proph } p \ \gamma \ \square \ \text{prophs} \}$

$$\frac{\text{atomic } e \quad \left. \begin{array}{l} \text{proph } p \ \gamma \ \text{past } \text{prophs} \\ \lambda w. \forall \text{prophs}' . \\ \text{prophs} = (w, v) :: \text{prophs}' \text{ -*} \\ \text{proph } p \ \gamma \ (\text{past} \# [(w, v)]) \ \text{prophs}' \text{ -*} \\ \Phi \ w \end{array} \right\}}{\text{WP Resolve } e \ p \ v \ \{ \Phi \}}$$

# Prophecy variables with memory

$$\frac{\text{PROPHECYLBGET} \quad \text{proph } p \ \gamma \ \text{past} \ \text{prophs}}{\text{proph-lb } \gamma \ \text{prophs}}$$

$$\frac{\text{PROPHECYVALID} \quad \text{proph } p \ \gamma \ \text{past} \ \text{prophs}_1 \quad \text{proph-lb } \gamma \ \text{prophs}_2}{\exists \text{past}_1, \text{past}_2. \bigwedge \left[ \begin{array}{l} \text{past} = \text{past}_1 \# \text{past}_2 \\ \text{past}_2 \# \text{prophs}_1 = \text{prophs}_2 \end{array} \right]}$$

## Conclusion

- ▶ Coq mechanization is available on `github` :  
`https://github.com/clef-men/caml5`
- ▶ Simplified Chase-Lev deque (one infinite array) ✓  
Real-life Chase-Lev deque (multiple circular arrays) ⚠
- ▶ Proof looks more complex than the sketch. In particular, transitions between logical states are not really formalized.
- ▶ We plan to verify more primitives (Domainlib, Taskflow) based on Chase-Lev deque. This is thanks to modularity of IRIS specifications.

Thank you for your attention!

## Implementation — chaselev\_make

```
let chaselev_make _ =  
  let t = AllocN 4 () in  
  t.front <- 0 ;  
  t.back <- 0 ;  
  t.data <- inf_array_make () ;  
  t.prophecy <- NewProph ;  
  t
```



## Implementation — chaselev\_push

```
let chaselev_push t v =  
  let back = !t.back in  
  inf_array_set !t.data back v ;  
  t.back <- back + 1
```

## Implementation — chaselev\_steal

```
let rec chaselev_steal t =  
  let id = NewId in  
  let front = !t.front in  
  let back = !t.back in  
  if front < back then (  
    if Snd (  
      Resolve (  
        CmpXchg t.front front (front + 1)  
      ) !t.prophecy (front, id)  
    ) then (  
      SOME (inf_array_get !t.data front)  
    ) else (  
      chaselev_steal t  
    )  
  ) else (  
    NONE  
  )
```

## Implementation — chaselev\_pop

```
let chaselev_pop t =
  let id = NewId in
  let back = !t.back - 1 in
  t.back <- back ;
  let front = !t.front in
  if back < front then (
    t.back <- front
  ) else (
    if front < back then (
      SOME (inf_array_get !t.data back)
    ) else (
      if Snd (
        Resolve (
          CmpXchg t.front front (front + 1)
        ) !t.prophecy (front, id)
      ) then (
        t.back <- front + 1 ;
        SOME (inf_array_get !t.data back)
      ) else (
        t.back <- front + 1 ;
        NONE
      )
    )
  )
```

## Infinite array

$$\frac{\{ \text{True} \}}{\text{inf\_array\_make } v}$$
$$\frac{}{\langle \lambda \text{ arr}. \text{inf-array-model } \text{arr} (\lambda \_ . v) \rangle}$$

$$\frac{\langle \forall \text{ vs}. \text{inf-array-model } \text{arr} \text{ vs} * 0 \leq i \rangle}{\text{inf\_array\_get } \text{arr} \ i}$$
$$\frac{}{\langle \exists . \text{ vs } \ i. \text{inf-array-model } \text{arr} \ \text{vs} \rangle}$$

$$\frac{\langle \forall \text{ vs}. \text{inf-array-model } \text{arr} \ \text{vs} * 0 \leq i \rangle}{\text{inf\_array\_set } \text{arr} \ i \ v}$$
$$\frac{}{\langle \exists . \_ . \text{inf-array-model } \text{arr} \ \text{vs} [i \mapsto v] \rangle}$$

# Invariant

$\text{chaselev-inv } t \ \iota \triangleq$

$\exists \ell, \gamma, \text{data}, p.$

$*$   $\left[ \begin{array}{l} t = \ell * \text{meta } \ell \ \gamma \\ \ell.\text{data} \mapsto_{\square} \text{data} * \ell.\text{prophecy} \mapsto_{\square} p \\ \boxed{\text{chaselev-inv-inner } \ell \ \gamma \ \iota \ \text{data} \ p} \end{array} \right]$

# Invariant

chaselev-inv-inner  $\ell \ \gamma \ \iota \ data \ p \triangleq$

$\exists front, back, hist, model, priv, past, prophs.$

$\left[ \begin{array}{l} \ell.front \mapsto front * \ell.back \mapsto back \\ \text{-----} \gamma.ctl \\ \bullet (back, priv) \\ \text{-----} \gamma.front \\ \bullet front \\ \text{-----} \\ * \text{inf-array-model } data \ (hist \# \ model) \ priv \\ \text{-----} \gamma.model \\ \bullet model \quad * |model| = (back - front)_+ \\ \text{wise-prophet-model } p \ \gamma.prophet \ past \ prophs \\ \forall (front', \_) \in past. \ front' < front \\ \text{chaselev-state } \gamma \ \iota \ front \ back \ hist \ model \ prophs \end{array} \right.$

# State

$\text{chaselev-state } \gamma \ \iota \ \textit{front back hist model prophs} \triangleq$

$$\bigvee \left[ \begin{array}{l} \text{chaselev-state}_1 \ \gamma \ \textit{front back hist} \\ \text{chaselev-state}_2 \ \gamma \ \iota \ \textit{front back hist model prophs} \\ \text{chaselev-lock } \gamma * \bigvee \left[ \begin{array}{l} \text{chaselev-state}_3 \ \gamma \ \textit{front back hist prophs} \\ \text{chaselev-state}_4 \ \gamma \ \textit{front back hist} \end{array} \right] \end{array} \right]$$

# State 1 (empty)

chaselev-state<sub>1</sub>  $\gamma$  *front back hist*  $\triangleq$

$$* \left[ \begin{array}{l} \textit{front} = \textit{back} \\ \begin{array}{|l} \hline \text{---} \gamma.\textit{hist} \\ \bullet \textit{hist} \\ \hline \end{array} * |\textit{hist}| = \textit{front} \\ \begin{array}{|l} \hline \text{---} \gamma.\textit{winner} \\ \bullet \text{---} \circ \text{---} \\ \hline \end{array} \end{array} \right.$$



## State 2 (non-empty)

chaselev-state<sub>2</sub>  $\gamma \iota$  *front back hist model prophis*  $\triangleq$

$$\begin{array}{l}
 \left[ \begin{array}{l}
 \textit{front} < \textit{back} \\
 \bullet (\textit{hist} \# [\textit{model}[0]]) \quad * |\textit{hist}| = \textit{front} \\
 \\
 \mathbf{match} \textit{filter} (\lambda(\textit{front}', \_). \textit{front}' = \textit{front}) \textit{prophis} \mathbf{with} \\
 | [] \Rightarrow \bullet \text{---} \circ \text{---} \\
 | (\_, \textit{id}) :: \_ \Rightarrow \\
 \bigvee \left[ \begin{array}{l}
 \bullet \text{---} \circ \text{---} \\
 \textit{identifier } \textit{id} * \exists \Phi. \bullet (\textit{front}, \Phi)
 \end{array} \right] * \textit{chaselev-au } \gamma \iota \Phi
 \end{array} \right]
 \end{array}$$

## State 3 (emptyish)

chaselev-state<sub>3</sub>  $\gamma$  *front back hist prophis*  $\triangleq$

$$\left[ \begin{array}{l}
 \textit{front} = \textit{back} \\
 \boxed{\bullet \textit{hist}}^{\gamma.\textit{hist}} * |\textit{hist}| = \textit{front} + 1 \\
 * \quad \mathbf{match} \textit{filter} (\lambda(\textit{front}', \_). \textit{front}' = \textit{front}) \textit{prophis} \mathbf{with} \\
 | \square \Rightarrow \boxed{\circ (\textit{front}, \_)}^{\gamma.\textit{winner}} \\
 | \_ \Rightarrow \exists \Phi. \boxed{\bullet (\textit{front}, \Phi)}^{\gamma.\textit{winner}} * \Phi (\mathbf{SOME} \textit{hist}[\textit{front}])
 \end{array} \right.$$

# State 4 (super empty)

chaselev-state<sub>4</sub>  $\gamma$   $front$   $back$   $hist \triangleq$

$$* \left[ \begin{array}{l} front = back + 1 \\ \begin{array}{l} \text{---} \gamma.hist \\ \bullet hist \end{array} * |hist| = front \\ \begin{array}{l} \text{---} \gamma.winner \\ \bullet - \cdot \circ - \end{array} \end{array} \right.$$