

Retrofitting OCaml modules

An F^ω -inspired approach for a modern module system

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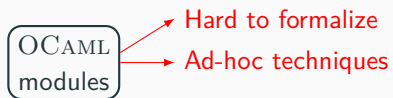
The big picture

OCAML
modules

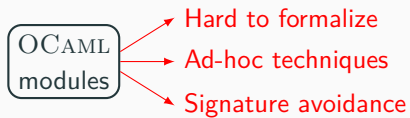
The big picture



The big picture



The big picture

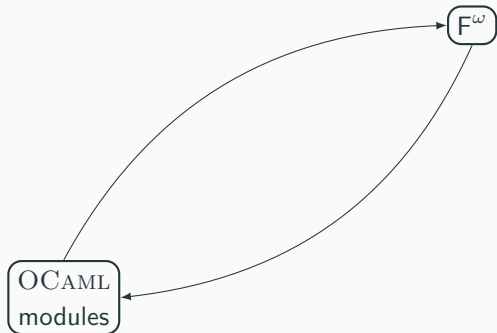


The big picture

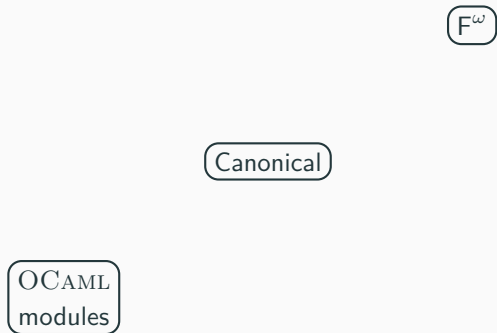
F^ω

OCAML
modules

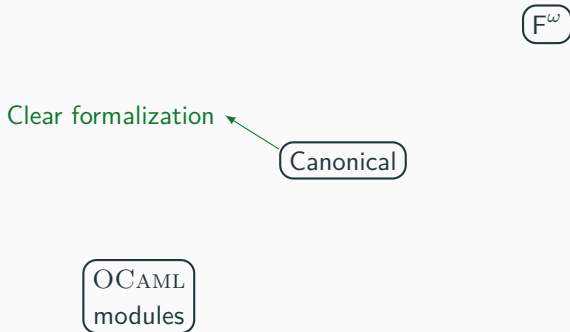
The big picture



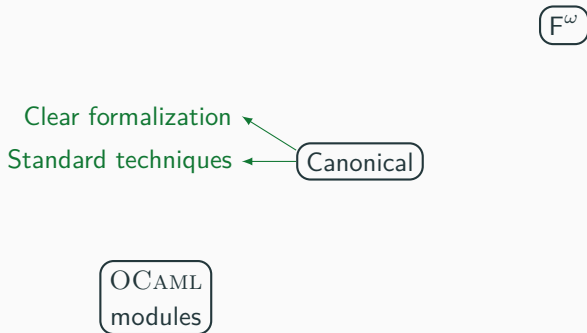
The big picture



The big picture



The big picture



The big picture

F^ω



OCAML
modules

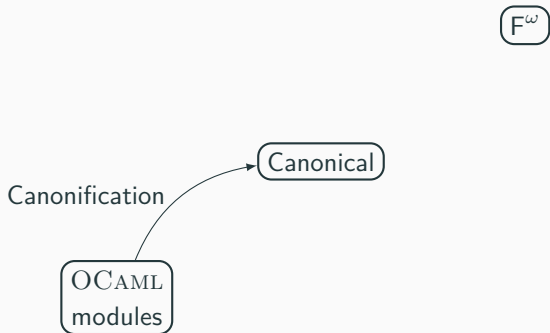
The big picture

F^ω



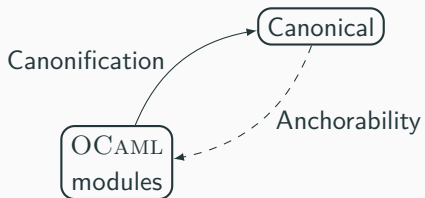
OCAML
modules

The big picture



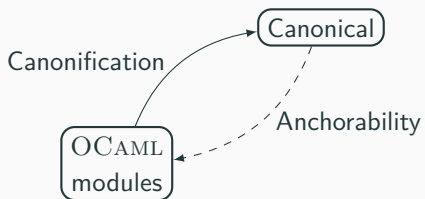
The big picture

F^ω

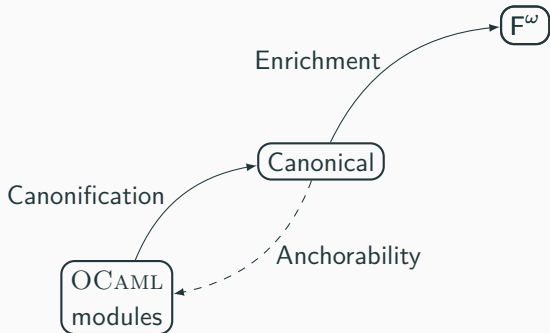


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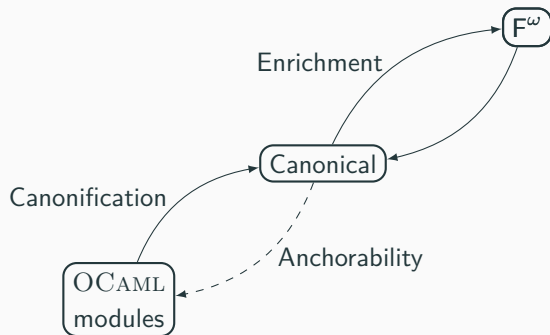
F^ω



The big picture



The big picture



The OCaml Module system

Basic modularity: modules, signatures and abstraction

As a module developer

1
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15

As a module user

1
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Basic modularity: modules, signatures and abstraction

As a module developer

```
1 | module Complex = struct  
2 |  
3 |  
4 |  
5 |  
6 |  
7 | end  
8 |  
9 |  
10 |  
11 |  
12 |  
13 |  
14 |  
15 |
```

As a module user

```
1 |  
2 |  
3 |  
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7 |  
8 |  
  
1 |  
2 |  
3 |  
4 |  
5 |
```

Basic modularity: modules, signatures and abstraction

As a module developer

```
1  module Complex = struct
2    type t = float * float
3
4
5
6
7  end
8
9
10
11
12
13
14
15
```

As a module user

```
1
2
3
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11
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```

Basic modularity: modules, signatures and abstraction

As a module developer

```
1  module Complex = struct
2    type t = float * float
3    let zero = (0., 0.)
4    let one = (1., 0.)
5    let add = ...
6    let mult = ...
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8
9
10
11
12
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14
15
```

As a module user

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Basic modularity: modules, signatures and abstraction

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3    let zero = (0., 0.)
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6    let mult = ...
7  end
8
9  module type Ring = sig
10
11
12
13
14
15  end
```

As a module user

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2
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Basic modularity: modules, signatures and abstraction

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Basic modularity: modules, signatures and abstraction

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6   let mult = ...
7 end
8
9 module type Ring = sig
10  type t
11  val zero : t
12  val one : t
13  val add : t -> t -> t
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As a module user

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Basic modularity: modules, signatures and abstraction

As a module developer

```
1 module Complex : Ring = struct
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Basic modularity: modules, signatures and abstraction

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As a module user

```
1  module Polynomials =
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3
4
5
6
7
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9
10
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```

Basic modularity: modules, signatures and abstraction

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15 end
```

As a module user

```
1 module Polynomials =
2   functor (R: Ring) -> struct
3
4
5
6
7
8 end
9
10
11
12
13
14
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```

Basic modularity: modules, signatures and abstraction

As a module developer

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As a module user

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1 module Polynomials =
2   functor (R: Ring) -> struct
3     type t = R.t list
4     let zero = []
5     let one = [R.one]
6     let add = ...
7     let mult = ...
8   end
```

```
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2
3
4
5
```

Basic modularity: modules, signatures and abstraction

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As a module user

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7     let mult = ...
8   end
9
10 module CX =
```

Basic modularity: modules, signatures and abstraction

As a module developer

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As a module user

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3          type t = R.t list
4          let zero = []
5          let one = [R.one]
6          let add = ...
7          let mult = ...
8      end
9
10 module CX =
11     Polynomials(Complex)
12
13
14
15
```

Basic modularity: modules, signatures and abstraction

As a module developer

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1  module Complex : Ring = struct
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```

As a module user

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1  module Polynomials =
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3      type t = R.t list
4      let zero = []
5      let one = [R.one]
6      let add = ...
7      let mult = ...
8    end
9
10 module CX =
11   Polynomials(Complex)
12
13 module CXY =
14
15
```


Basic modularity: modules, signatures and abstraction

As a module developer

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1  module Complex : Ring = struct
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As a module user

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7      let mult = ...
8    end
9
10 module CX =
11   Polynomials(Complex)
12
13 module CXY =
14   Polynomials(Polynomials(Complex))
```

What flavor for you functor ?

What flavor for you functor ?

Generative

What flavor for you functor ?

Generative

Applicative

What flavor for you functor ?

Generative

Functors as parameterized *sub-programs*

Applicative

What flavor for you functor ?

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Functors as parameterized *sub-programs*

- Internal state / effects

Applicative

What flavor for you functor ?

Generative

Functors as parameterized *sub-programs*

- Internal state / effects
 - Dynamic choice of implementation
(via 1st class modules)
-

Applicative

What flavor for you functor ?

Generative

Functors as parameterized *sub-programs*

- Internal state / effects
- Dynamic choice of implementation
(via 1st class modules)

```
1 | module SymbolTable () =  
2 |  
3 |  
4 |  
5 |  
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```

Applicative

What flavor for you functor ?

Generative

Functors as parameterized *sub-programs*

- Internal state / effects
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```
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2 |   type t = int
3 |   let x = ref 0
4 |   ...
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1  module SymbolTable () = (struct
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7  module ST1 = SymbolTable()
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Applicative

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7 | module ST1 = SymbolTable()
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9 | (* ST1.t ≠ ST2.t *) ✘
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Applicative

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Applicative

Functors as parameterized *libraries*

What flavor for you functor ?

Generative

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- Dynamic choice of implementation (via 1st class modules)

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Applicative

Functors as parameterized *libraries*

- Purity

What flavor for you functor ?

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Applicative

Functors as parameterized *libraries*

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What flavor for you functor ?

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Applicative

Functors as parameterized *libraries*

- Purity
- Static choice of implementation

```
1 module Set (E:OrderedType) = struct
2
3
4
5
6
7
8
9
```


What flavor for you functor ?

Generative

Functors as parameterized *sub-programs*

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Applicative

Functors as parameterized *libraries*

- Purity
- Static choice of implementation

```
1  module Set (E:OrderedType) = struct
2      type t = E.t list
3      let empty : t = []
4      ...
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```

What flavor for you functor ?

Generative

Functors as parameterized *sub-programs*

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```
1 module Set (E:OrderedType) = (struct
2   type t = E.t list
3   let empty : t = []
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5 end : sig type t ... end)
6
7 module S1 = Set(Integer)
8 module S2 = Set(Integer)
9
```

What flavor for you functor ?

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Applicative

Functors as parameterized *libraries*

- Purity
- Static choice of implementation

→ *same applications* produce same results

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9 (* S1.t = S2.t *) ✔
```

Applicativity granularity

```
1 | module X1 = struct  
2 |   type t = int  
3 |   ...  
4 | end  
5 |  
6 | module X2 = struct  
7 |   type t = int  
8 |   ...  
9 | end  
10 |  
11 | Set(X1).t =? Set(X2).t
```

Types only

```
1 module X1 = struct
2   type t = int
3   ...
4 end
5
6 module X2 = struct
7   type t = int
8   ...
9 end
10
11 Set(X1).t =? Set(X2).t
```


Types only

- Sound: types only depend on types

```
1  module X1 = struct
2    type t = int
3    ...
4  end
5
6  module X2 = struct
7    type t = int
8    ...
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11 Set(X1).t =? Set(X2).t
```

Types only

- Sound: types only depend on types
→ assumes the functor's body only depends on types fields

```
1  module X1 = struct
2    type t = int
3    ...
4  end
5
6  module X2 = struct
7    type t = int
8    ...
9  end
10
11 Set(X1).t =? Set(X2).t
```

Applicativity granularity

Types only

- Sound: types only depend on types
→ assumes the functor's body only depends on types fields

```
1 module X1 = struct
2   type t = int
3   let compare = (<)
4 end
5
6 module X2 = struct
7   type t = int
8   let compare = (>)
9 end
10
11 Set(X1).t =? Set(X2).t
```

Types only

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Types and values

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Types only

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Types and values

- *Abstraction safe*: dynamically equivalent

```
1  module X1 = struct
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Applicativity granularity

Types only

- Sound: types only depend on types
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Types and values

- *Abstraction safe*: dynamically equivalent
→ tracking equality of values

```
1  module X1 = struct
2      type t = int
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- Sound: types only depend on types
→ assumes the functor's body only depends on types fields

Types and values

- *Abstraction safe*: dynamically equivalent
→ tracking equality of values

```
1 module X1 = struct
2   type t = int
3   let compare = (<)
4 end
5
6 module X2 = struct
7   type t = int
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9 end
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11 Set(X1).t =? Set(X2).t
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→ tracking of module *aliasing*

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Aliases and transparent ascription

Modules

1 |
2 |
3 |
4 |
5 |
6 |
7 |
8 |
9 |

Signatures

1 |
2 |
3 |
4 |
5 |
6 |
7 |
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Aliases and transparent ascription

Modules

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Aliases and transparent ascription

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```

Signatures

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Aliases and transparent ascription

- Same module
-

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Aliases and transparent ascription

- Same module
 - Subtyping (not code-free)
-

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Aliases and transparent ascription

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Aliases and transparent ascription

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-

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Aliases and transparent ascription

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Modules

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3 | module X2 = X1  
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9 | module X5 = (functor (Y:S) -> Y)(X1)
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Aliases and transparent ascription

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```

The canonical system

Canonical signatures - canonification

Enriched syntax

→ F^ω quantifiers

Key mechanisms

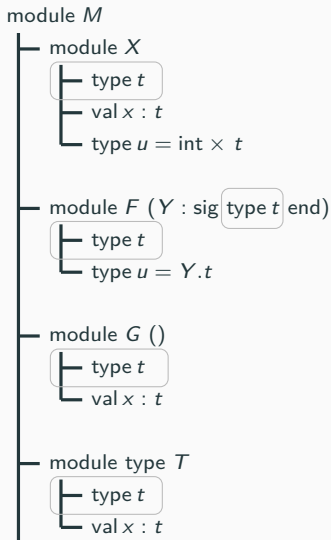
```
module M
├─ module X
│   ├── type t
│   ├── val x : t
│   └─ type u = int × t
├─ module F (Y : sig type t end)
│   ├── type t
│   └─ type u = Y.t
├─ module G ()
│   ├── type t
│   └─ val x : t
└─ module type T
    ├── type t
    └─ val x : t
```

Canonical signatures - canonification

Enriched syntax

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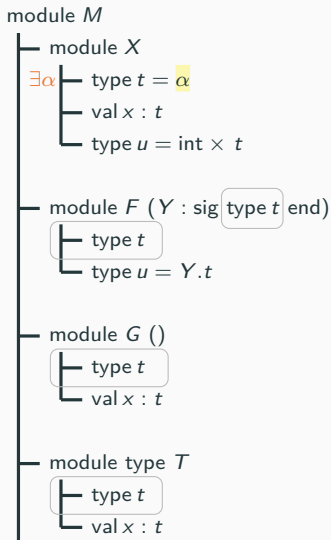
Canonical signatures - canonification

Enriched syntax

→ F^ω quantifiers

- Existential for ascription

Key mechanisms



Canonical signatures - canonification

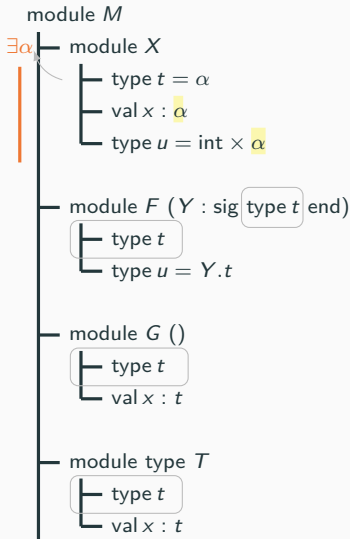
Enriched syntax

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- Existential for ascription

Key mechanisms

- Existential lifting



Canonical signatures - canonification

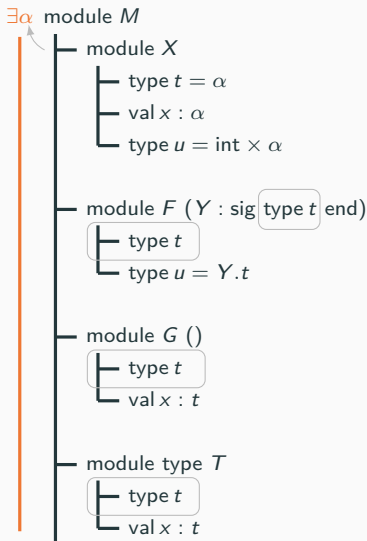
Enriched syntax

→ F^ω quantifiers

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Canonical signatures - canonification

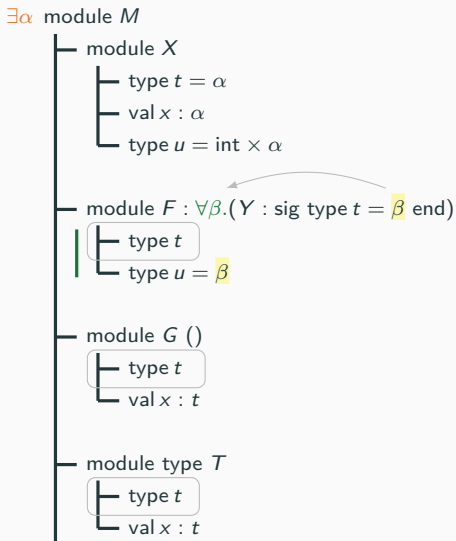
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Canonical signatures - canonification

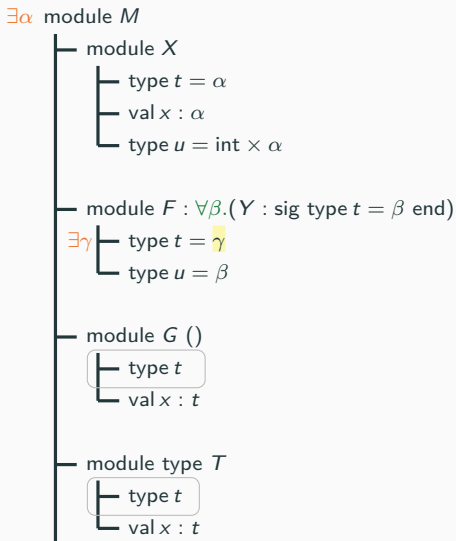
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Canonical signatures - canonification

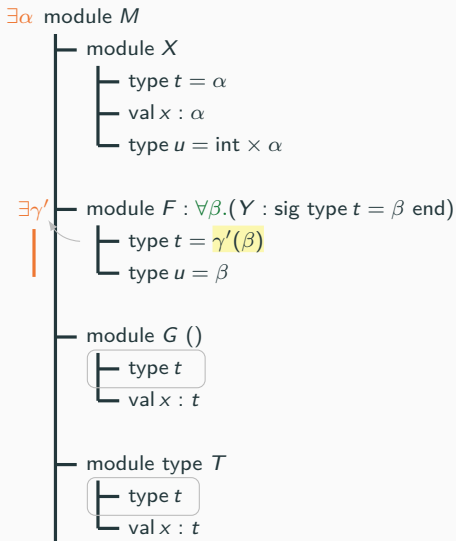
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Canonical signatures - canonification

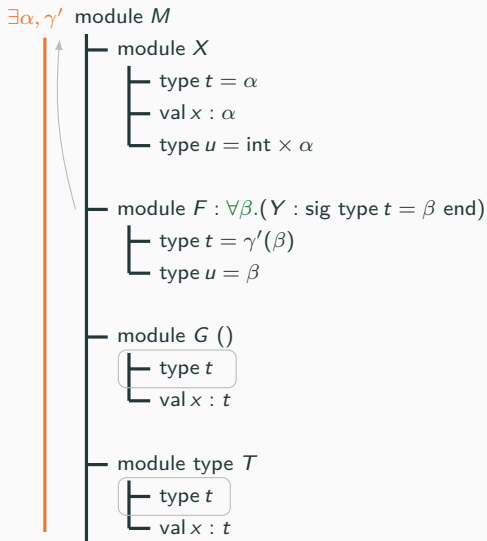
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Canonical signatures - canonification

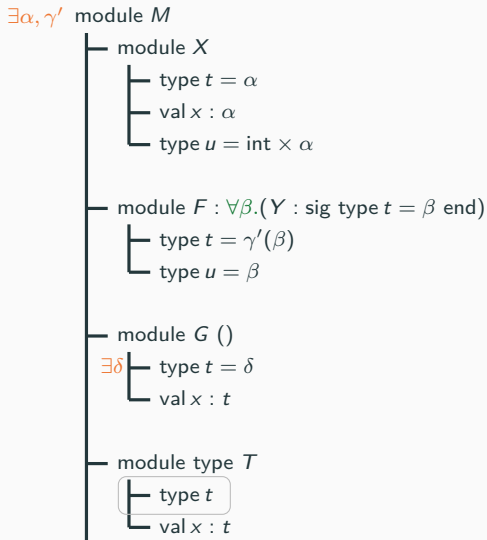
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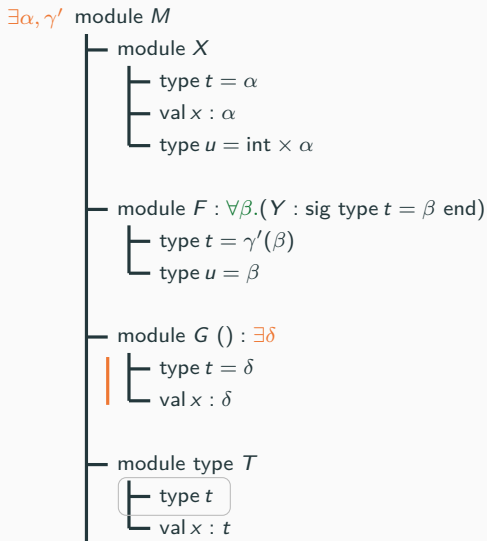
Enriched syntax

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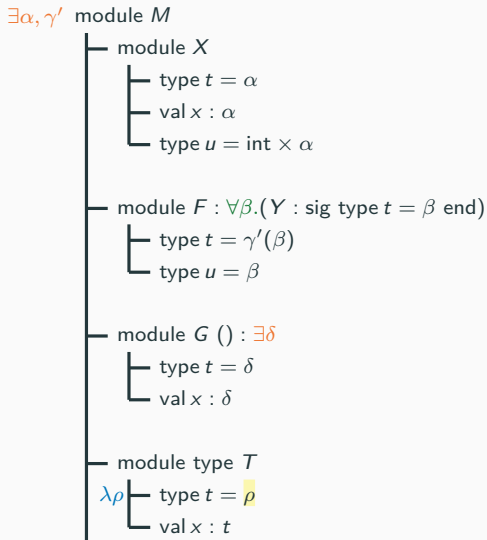
Enriched syntax

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Canonical signatures - canonification

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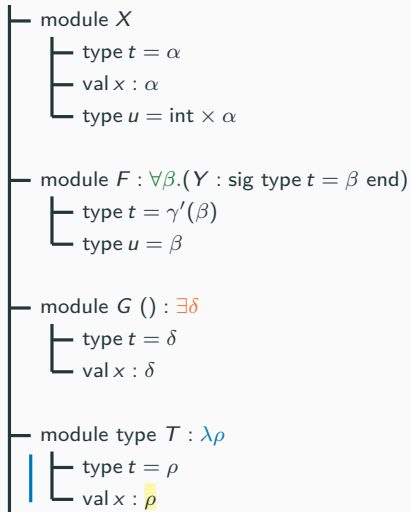
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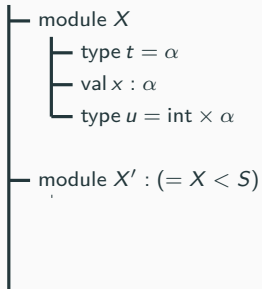
- Existential lifting
- Skolemization

$\exists \alpha, \gamma'$ module M



Module identities

module M



Module identities

- Use type abstraction mechanisms to track module identities

```
module M
├─ module X
│   ├── type t =  $\alpha$ 
│   ├── val x :  $\alpha$ 
│   └─ type u = int  $\times$   $\alpha$ 
└─ module X' : (= X < S)
```

Module identities

- Use type abstraction mechanisms to track module identities
- Add abstract id fields, with a special treatment by typing rules

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module M
├── module X
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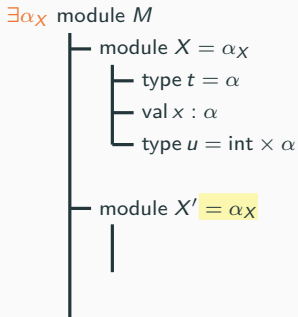
- Use type abstraction mechanisms to track module identities
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$\exists \alpha_X$ module M

```
├─ module  $X = \alpha_X$   
  │  
  ├─ type  $t = \alpha$   
  │  
  └─ val  $x : \alpha$   
    │  
    └─ type  $u = \text{int} \times \alpha$   
├─  
└─ module  $X' : (= X < S)$   
  │  
  └─
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├─ module  $X' = \alpha_X$ 
│   ├─ type  $t = \alpha$ 
│   └─ val  $x : \alpha$ 
│       └─ type  $u = \text{int} \times \alpha$ 
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  │  
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  │  
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```

Invariants

Invariants

- Quantifier positions ($\exists, \forall, \lambda$)

Invariants

- Quantifier positions ($\exists, \forall, \lambda$)
- Identities
→ when *reachable by a path*

Abstract signatures

$S ::= \exists \bar{\alpha}. C$

Invariants

- Quantifier positions ($\exists, \forall, \lambda$)
- Identities
→ when *reachable by a path*

Abstract signatures

$$\mathcal{S} ::= \exists \bar{\alpha}. \mathcal{C}$$

Identity signatures

$$\mathcal{C} ::= (\tau, \mathcal{R})$$

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- Quantifier positions ($\exists, \forall, \lambda$)
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- Quantifier positions ($\exists, \forall, \lambda$)
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Types

$$\begin{aligned} \tau ::= & \alpha \\ & | \alpha(\bar{\tau}) \end{aligned}$$

Invariants

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Abstract signatures

$$S ::= \exists \bar{\alpha}. \mathcal{C}$$

Identity signatures

$$\mathcal{C} ::= (\tau, \mathcal{R})$$

Manifest signatures

$$\begin{aligned} \mathcal{R} ::= & \text{sig } \bar{D} \text{ end} \\ & | \forall \bar{\alpha}. \mathcal{C} \rightarrow \mathcal{R} \\ & | () \rightarrow \exists \bar{\alpha}. \mathcal{R} \end{aligned}$$

Structural signature

Applicative functor

Types

$$\begin{aligned} \tau ::= & \alpha \\ & | \alpha(\bar{\tau}) \end{aligned}$$

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- Quantifier positions ($\exists, \forall, \lambda$)
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Abstract signatures

$S ::= \exists \bar{\alpha}. \mathcal{C}$

Identity signatures

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Manifest signatures

$\mathcal{R} ::= \text{sig } \overline{\mathcal{D}} \text{ end}$
| $\forall \bar{\alpha}. \mathcal{C} \rightarrow \mathcal{R}$
| $() \rightarrow \exists \bar{\alpha}. \mathcal{R}$

Structural signature

Applicative functor

Generative functor

Declarations

$\mathcal{D} ::= \text{val } x : \tau$
| $\text{type } t = \tau$
| $\text{module } X : \mathcal{C}$
| $\text{module type } T = \lambda \bar{\alpha}. \mathcal{C}$

Types

$\tau ::= \alpha$
| $\alpha(\bar{\tau})$

The canonical system

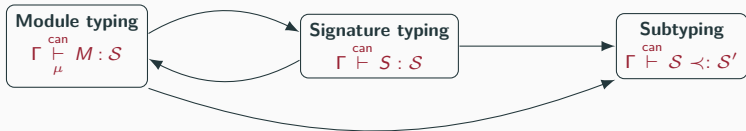
Signature typing

$$\Gamma \stackrel{\text{can}}{\vdash} S : S$$

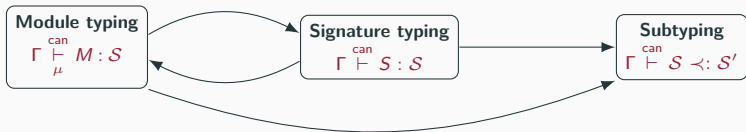
The canonical system



The canonical system



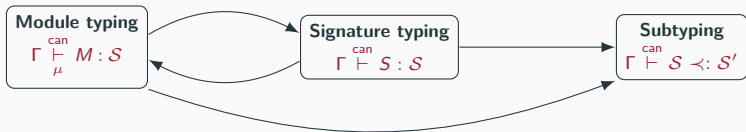
The canonical system



C-TYP-MOD-STRUCT

$\Gamma \vdash^{\text{can}} \text{struct } \bar{B} \text{ end} :$
 μ

The canonical system



C-TYP-MOD-STRUCT

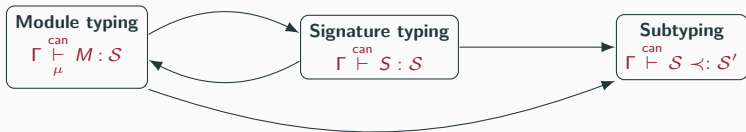
$$\Gamma \vdash^{\text{can}} \bar{B} : \exists \bar{\alpha}. \bar{D}$$

μ

$$\Gamma \vdash^{\text{can}} \text{struct } \bar{B} \text{ end} :$$

μ

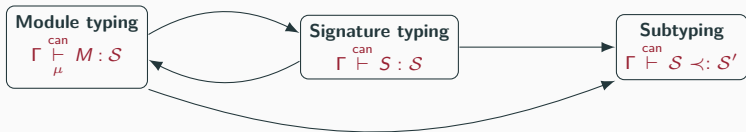
The canonical system



C-TYP-MOD-STRUCT

$$\frac{\Gamma \vdash^{\text{can}} \bar{B} : \exists \bar{\alpha}. \bar{D}}{\Gamma \vdash^{\text{can}} \text{struct } \bar{B} \text{ end} : \exists \bar{\alpha} . (, \text{sig } \bar{D} \text{ end})}$$

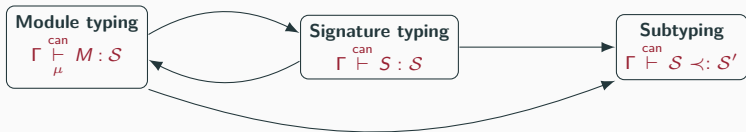
The canonical system



C-TYP-MOD-STRUCT

$$\frac{\Gamma \vdash^{\text{can}} \bar{B} : \exists \bar{\alpha}. \bar{D}}{\Gamma \vdash^{\text{can}} \text{struct } \bar{B} \text{ end} : \exists \bar{\alpha}, \alpha. (\quad , \text{sig } \bar{D} \text{ end})}$$

The canonical system

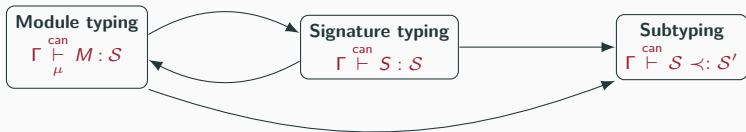


C-TYP-MOD-STRUCT

$$\Gamma \vdash^{\text{can}} \bar{B} : \exists \bar{\alpha}. \bar{D}$$

$$\Gamma \vdash^{\text{can}} \text{struct } \bar{B} \text{ end} : \exists \bar{\alpha}, \alpha. (\alpha, \text{sig } \bar{D} \text{ end})$$

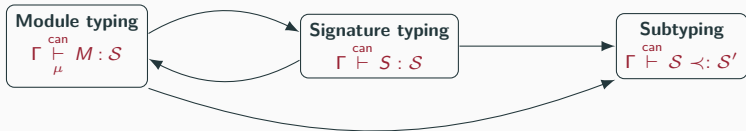
The canonical system



C-TYP-MOD-APPGEN

$\Gamma \vdash^{\text{can}} P() :$
 gen

The canonical system

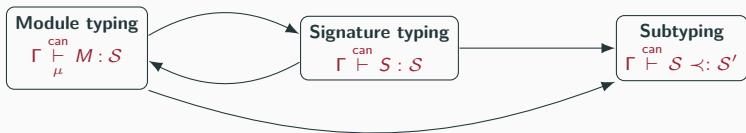


C-TYP-MOD-APPGEN

$$\frac{\Gamma \vdash^{\text{can}} P : (\tau, () \rightarrow \exists \bar{\alpha}. \mathcal{R})}{\Gamma \vdash^{\text{can}} P() :}$$

μ

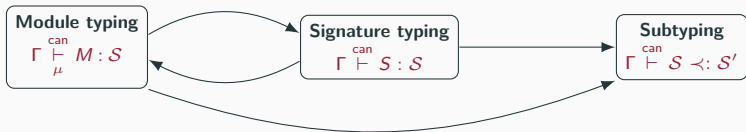
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C-TYP-MOD-APPGEN

$$\frac{\Gamma \vdash^{\text{can}} P : (\tau, () \rightarrow \exists \bar{\alpha}. \mathcal{R})}{\Gamma \vdash^{\text{can}}_{\text{gen}} P() : \exists \bar{\alpha} . (, \mathcal{R})}$$

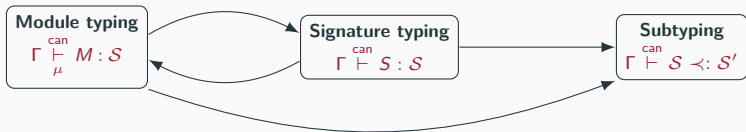
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C-TYP-MOD-APPGEN

$$\frac{\Gamma \vdash^{\text{can}} P : (\tau, () \rightarrow \exists \bar{\alpha}. \mathcal{R})}{\Gamma \vdash^{\text{can}} P() : \exists \bar{\alpha}, \alpha. (\ , \mathcal{R})}$$

The canonical system

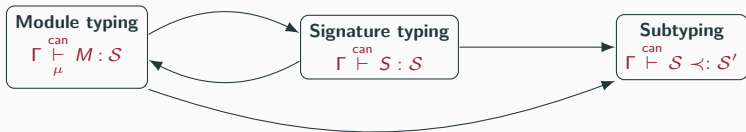


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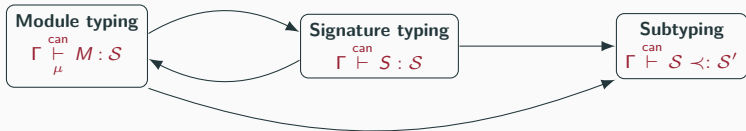


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The canonical system

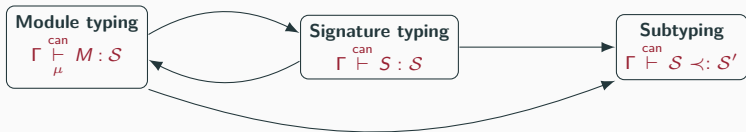


C-TYP-MOD-APPFCT

$Y \notin \Gamma$

$\Gamma \vdash_{\mu}^{can} (Y : S) \rightarrow M :$

The canonical system



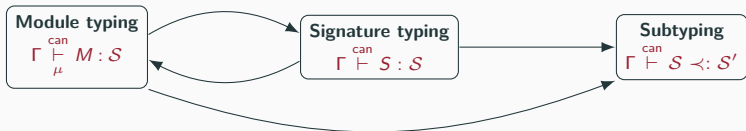
C-TYP-MOD-APPFCT

$\Gamma \vdash^{\text{can}} S : \lambda \bar{\alpha}. \mathcal{C}$

$Y \notin \Gamma$

$\Gamma \vdash^{\text{can}}_{\mu} (Y : S) \rightarrow M :$

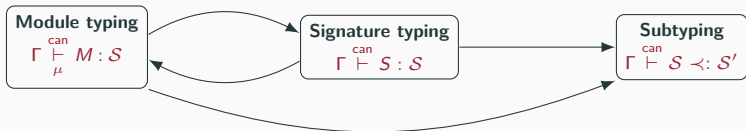
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C-TYP-MOD-APPFCT

$$\frac{\Gamma \vdash^{\text{can}} S : \lambda \bar{\alpha}. C \quad \Gamma, \bar{\alpha}, (Y : C) \vdash^{\text{can}}_{\text{app}} M : \exists \bar{\beta}. (\tau, \mathcal{R}) \quad Y \notin \Gamma}{\Gamma \vdash^{\text{can}}_{\mu} (Y : S) \rightarrow M :}$$

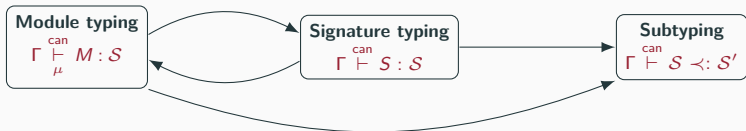
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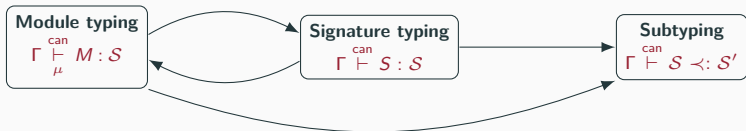
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C-TYP-MOD-APPFCT

$$\frac{\Gamma \vdash^{can} S : \lambda \bar{\alpha}. C \quad \Gamma, \bar{\alpha}, (Y : C) \vdash_{app}^{can} M : \exists \bar{\beta}. (\tau, \mathcal{R}) \quad Y \notin \Gamma}{\Gamma \vdash_{\mu}^{can} (Y : S) \rightarrow M : \exists \bar{\beta}'. (\quad , \quad) \left[\bar{\beta} \mapsto \bar{\beta}'(\bar{\alpha}) \right]}$$

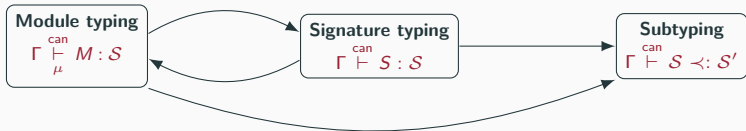
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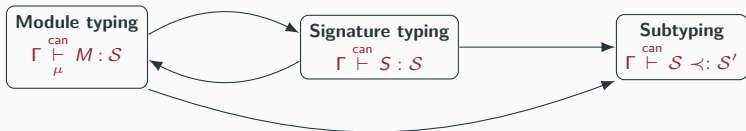
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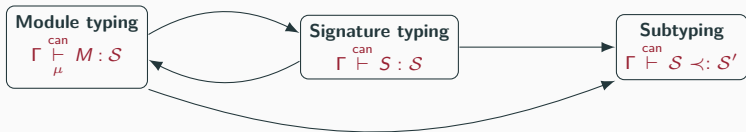
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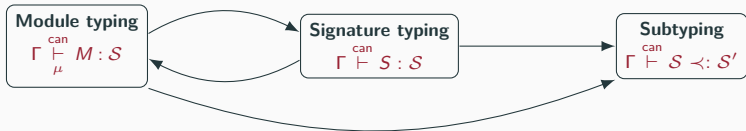


C-TYP-MOD-PROJ

$$\Gamma \vdash^{\text{can}} M.X :$$

μ

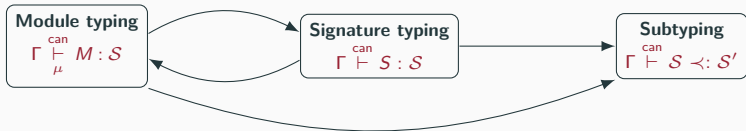
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$$\frac{\Gamma \vdash^{\text{can}} M : \exists \bar{\alpha}. (\tau, \text{sig } \bar{D} \text{ end})}{\Gamma \vdash^{\text{can}} M.X :}$$

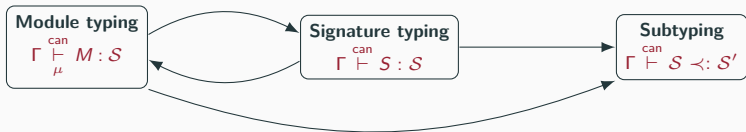
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$$\frac{\Gamma \vdash^{\text{can}} M : \exists \bar{\alpha}. (\tau, \text{sig } \bar{D} \text{ end}) \quad \text{module } X : C \in \bar{D}}{\Gamma \vdash^{\text{can}} M.X :}$$

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$$\frac{\Gamma \vdash^{\text{can}} M : \exists \bar{\alpha}. (\tau, \text{sig } \bar{D} \text{ end}) \quad \text{module } X : C \in \bar{D}}{\Gamma \vdash^{\text{can}} M.X : \exists \bar{\alpha}. C}$$

A rich history of ML-modules

First formalizations of module systems

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Formalization via elaboration

- Translation of a significant part of SML into F^ω : F-ing Rossberg et al. [2014]
- Core and module languages merged in F^ω : 1ML Rossberg [2018]

**A user friendly but limited
syntax: the source system**

Anchoring - a reverse process 1/3

Abstract type fields

```
1 | (* Canonical *)  
2 |  $\exists\alpha$ . module M : sig  
3 |   type t =  $\alpha$   
4 |   val x :  $\alpha$   
5 |   ...  
6 | end
```

```
1 | (* Source *)  
2 | module M : sig  
3 |  
4 |  
5 |  
6 | end
```

Abstract type fields

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Anchoring - a reverse process 1/3

Abstract type fields

- Merge quantifier and structural information

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Anchoring - a reverse process 1/3

Abstract type fields

- Merge quantifier and structural information
- The first *usage point* must be suitable to give a path

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2 module M : sig
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4   val x : t
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Anchoring - a reverse process 1/3

Abstract type fields

- Merge quantifier and structural information
- The first *usage point* must be suitable to give a path

```
1 (* Canonical *)
2  $\exists\alpha$ . module M : sig
3   type t =  $\alpha$  * bool
4   val x :  $\alpha$ 
5   ...
6 end
```

```
1 (* Source *)
2 module M : sig
3   type t ×
4   val x : t
5   ...
6 end
```

Anchoring - a reverse process 1/3

Abstract type fields

- Merge quantifier and structural information
 - The first *usage point* must be suitable to give a path
- > Anchoring map $\theta : \alpha \mapsto P.t$

```
1 (* Canonical *)
2  $\exists \alpha$ . module M : sig
3   type t =  $\alpha$  * bool
4   val x :  $\alpha$ 
5   ...
6 end
```

```
1 (* Source *)
2 module M : sig
3   type t x
4   val x : t
5   ...
6 end
```

Module identities

```
1 | (* Canonical *)
2 |  $\exists \alpha_X.$  module M : sig
3 |
4 |
5 |     ...
6 | end
```

```
1 | (* Source *)
2 | module M : sig
3 |
4 |
5 |
6 | end
```

Module identities

```
1 (* Canonical *)
2  $\exists \alpha_X$ . module M : sig
3   module X1 : ( $\alpha_X, \mathcal{R}_1$ )
4
5   ...
6 end
```

```
1 (* Source *)
2 module M : sig
3
4
5   ...
6 end
```


Module identities

```
1 | (* Canonical *)
2 |  $\exists \alpha_X$ . module M : sig
3 |   module X1 :  $(\alpha_X, \mathcal{R}_1)$ 
4 |   module X2 :  $(\alpha_X, \mathcal{R}_2)$ 
5 |   ...
6 | end
```

```
1 | (* Source *)
2 | module M : sig
3 |
4 |
5 |   ...
6 | end
```

Module identities

- Identity sharing is only expressed as restriction of a common ancestor

```
1 (* Canonical *)
2  $\exists \alpha_X$ . module M : sig
3   module X1 :  $(\alpha_X, \mathcal{R}_1)$ 
4   module X2 :  $(\alpha_X, \mathcal{R}_2)$ 
5   ...
6 end
```

```
1 (* Source *)
2 module M : sig
3   module X1 : S1
4
5   ...
6 end
```

Module identities

- Identity sharing is only expressed as restriction of a common ancestor

```
1 (* Canonical *)
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3   module X1 : ( $\alpha_X$ ,  $\mathcal{R}_1$ )
4   module X2 : ( $\alpha_X$ ,  $\mathcal{R}_2$ )
5   ...
6 end
```

```
1 (* Source *)
2 module M : sig
3   module X1 : S1
4   module X2 : (= X1 < S2)
5   ...
6 end
```

Module identities

- Identity sharing is only expressed as restriction of a common ancestor
- All identities must be ascriptions of the anchoring point

```
1 (* Canonical *)
2  $\exists \alpha_X$ . module M : sig
3   module X1 :  $(\alpha_X, \mathcal{R}_1)$ 
4   module X2 :  $(\alpha_X, \mathcal{R}_2)$ 
5   ...
6 end
```

```
1 (* Source *)
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Module identities

- Identity sharing is only expressed as restriction of a common ancestor
 - All identities must be ascriptions of the anchoring point
- > Anchoring map $\theta : \alpha \mapsto (P, \mathcal{R})$

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2  $\exists \alpha_X$ . module M : sig
3   module X1 :  $(\alpha_X, \mathcal{R}_1)$ 
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5   ...
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```

```
1 (* Source *)
2 module M : sig
3   module X1 : S1
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5   ...
6 end
```

High order abstract types

```
1 | (* Canonical *)
2 |  $\exists \gamma$ . module M : sig
3 |
4 |
5 |
6 |
7 | end
```

```
1 | (* Source *)
2 | module M : sig
3 |
4 |
5 |
6 |
7 | end
```

High order abstract types

```
1 (* Canonical *)
2  $\exists \gamma$ . module M : sig
3   module F1 :  $\forall \beta, \mathcal{C}_1 \rightarrow$ 
4
5
6
7 end
```

```
1 (* Source *)
2 module M : sig
3
4
5
6
7 end
```

High order abstract types

```
1 | (* Canonical *)  
2 |  $\exists \gamma$ . module M : sig  
3 |   module F1 :  $\forall \beta, \mathcal{C}_1 \rightarrow$   
4 |     sig type t =  $\gamma(\beta)$  end  
5 |  
6 |  
7 | end
```

```
1 | (* Source *)  
2 | module M : sig  
3 |  
4 |  
5 |  
6 |  
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```


High order abstract types

```
1 (* Canonical *)
2  $\exists \gamma$ . module M : sig
3   module F1 :  $\forall \beta, C_1 \rightarrow$ 
4     sig type t =  $\gamma(\beta)$  end
5   module F2 :  $\forall \beta, C_2 \rightarrow$ 
6
7 end
```

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1 (* Source *)
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High order abstract types

- Type operators are only represented as functor applications

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7 end
```

```
1 (* Source *)
2 module M : sig
3   module F1 : functor (Y:S1)  $\rightarrow$ 
4
5
6
7 end
```

High order abstract types

- Type operators are only represented as functor applications

```
1 (* Canonical *)
2  $\exists \gamma$ . module M : sig
3   module F1 :  $\forall \beta, C_1 \rightarrow$ 
4     sig type t =  $\gamma(\beta)$  end
5   module F2 :  $\forall \beta, C_2 \rightarrow$ 
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7 end
```

```
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2 module M : sig
3   module F1 : functor (Y:S1)  $\rightarrow$ 
4     sig type t end
5
6
7 end
```

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```
1 (* Canonical *)
2  $\exists \gamma$ . module M : sig
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7 end
```

```
1 (* Source *)
2 module M : sig
3   module F1 : functor (Y:S1)  $\rightarrow$ 
4     sig type t end
5   module F2 : functor (Y:S2)  $\rightarrow$ 
6
7 end
```

High order abstract types

- Type operators are only represented as functor applications

```
1 (* Canonical *)
2  $\exists \gamma$ . module M : sig
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```
1 (* Source *)
2 module M : sig
3   module F1 : functor (Y:S1)  $\rightarrow$ 
4     sig type t end
5   module F2 : functor (Y:S2)  $\rightarrow$ 
6     sig type t = F1(Y).t end
7 end
```

High order abstract types

- Type operators are only represented as functor applications
- All usages must be obtainable by some application of the *anchoring point*

```
1 (* Canonical *)
2  $\exists \gamma$ . module M : sig
3   module F1 :  $\forall \beta, C_1 \rightarrow$ 
4     sig type t =  $\gamma(\beta)$  end
5   module F2 :  $\forall \beta, C_2 \rightarrow$ 
6     sig type t =  $\gamma(\beta)$  end
7 end
```

```
1 (* Source *)
2 module M : sig
3   module F1 : functor (Y:S1)  $\rightarrow$ 
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7 end
```


High order abstract types

- Type operators are only represented as functor applications
- All usages must be obtainable by some application of the *anchoring point*

> Anchoring map

$$\theta : \alpha \mapsto \lambda \bar{\alpha}. \lambda \bar{X}. (P.t, \mathcal{R}, \bar{\tau})$$

```
1 (* Canonical *)
2  $\exists \gamma$ . module M : sig
3   module F1 :  $\forall \beta, \mathcal{C}_1 \rightarrow$ 
4     sig type t =  $\gamma(\beta)$  end
5   module F2 :  $\forall \beta, \mathcal{C}_2 \rightarrow$ 
6     sig type t =  $\gamma(\beta)$  end
7 end
```

```
1 (* Source *)
2 module M : sig
3   module F1 : functor (Y:S1)  $\rightarrow$ 
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Challenges for a source system

Challenges for a source system

Signature avoidance

Challenges for a source system

Signature avoidance

- abstract type fields (possibly high-order)

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- module identities

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Implicit quantifiers

Challenges for a source system

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Implicit quantifiers

- Strengthening (deep rewrites)

Challenges for a source system

Signature avoidance

- abstract type fields (possibly high-order)
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Implicit quantifiers

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Type and signatures equivalence

Challenges for a source system

Signature avoidance

- abstract type fields (possibly high-order)
- module identities

Implicit quantifiers

- Strengthening (deep rewrites)

Type and signatures equivalence

- Types have several available aliases

Challenges for a source system

Signature avoidance

- abstract type fields (possibly high-order)
- module identities

Implicit quantifiers

- Strengthening (deep rewrites)

Type and signatures equivalence

- Types have several available aliases
- Prevent in-lining of module types

Elaboration into F^ω : guarantees for the canonical system

Example of encoding into F^ω

Example of encoding into F^ω

Source code

```
1  module M = struct
2    module X1 : S = struct
3      type t = int
4      let x = 42
5      type u = int * t
6    end
7    module X2 = struct
8      type t = X1.t * bool
9      type u = X1.t * int
10   end
11 end
```

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Encoded signature

Example of encoding into F^ω

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Encoded signature


$\Pi = \exists \alpha$

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Encoded signature

$\Pi = \exists \alpha$ 

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10  end
11 end
```

Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} \\ \end{array} \right. \ell_{X1}: \left\{ \begin{array}{l} \\ \end{array} \right.$$

Example of encoding into F^ω

Source code

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1 module M = struct
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10  end
11 end
```

Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} l_{X1}: \{ l_t : \langle\langle \alpha \rangle\rangle \} \end{array} \right.$$

Example of encoding into F^ω

Source code

```
1 module M = struct
2   module X1 : S = struct
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10  end
11 end
```

Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} \ell_{X1}: \forall \beta. \beta \alpha \rightarrow \beta \alpha \end{array} \right.$$

Example of encoding into F^ω

Source code

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1 module M = struct
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10  end
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```

Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} l_t : \langle\langle \alpha \rangle\rangle \\ l_x : \alpha \end{array} \right.$$

Example of encoding into F^ω

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```

Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} l_t : \langle\langle \alpha \rangle\rangle \\ l_x : \alpha \\ l_u : \langle\langle \text{int} \times \alpha \rangle\rangle \end{array} \right.$$

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10  end
11 end
```

Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} l_{X1}: \left\{ \begin{array}{l} l_t : \langle\langle \alpha \rangle\rangle \\ l_x : \alpha \\ l_u : \langle\langle \text{int} \times \alpha \rangle\rangle \end{array} \right\} \\ l_{X2}: \left\{ \right\} \end{array} \right\}$$

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Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} l_{X1}: \left\{ \begin{array}{l} l_t : \langle\langle \alpha \rangle\rangle \\ l_x : \alpha \\ l_u : \langle\langle \text{int} \times \alpha \rangle\rangle \end{array} \right\} \\ l_{X2}: \left\{ \begin{array}{l} l_t : \langle\langle \alpha \times \text{bool} \rangle\rangle \\ l_u : \langle\langle \alpha \times \text{int} \rangle\rangle \end{array} \right\} \end{array} \right\}$$

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Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} \text{module } X_1 : \left\{ \begin{array}{l} \text{type } t = \alpha \\ \text{val } x : \alpha \\ \text{type } u = \text{int} \times \alpha \end{array} \right\} \\ \text{module } X_2 : \left\{ \begin{array}{l} \text{type } t = \alpha \times \text{bool} \\ \text{type } u = \alpha \times \text{int} \end{array} \right\} \end{array} \right\}$$

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Encoded signature

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Encoded module

Example of encoding into F^ω

Source code

```
1 module M = struct
2   module X1 : S = struct
3     type t = int
4     let x = 42
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10  end
11 end
```

Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} \text{module } X_1 : \left\{ \begin{array}{l} \text{type } t = \alpha \\ \text{val } x : \alpha \\ \text{type } u = \text{int} \times \alpha \end{array} \right\} \\ \text{module } X_2 : \left\{ \begin{array}{l} \text{type } t = \alpha \times \text{bool} \\ \text{type } u = \alpha \times \text{int} \end{array} \right\} \end{array} \right\}$$

Encoded module

$E =$

Example of encoding into F^ω

Source code

```
1 module M = struct
2   module X1 : S = struct
3     type t = int
4     let x = 42
5     type u = int * t
6   end
7   module X2 = struct
8     type t = X1.t * bool
9     type u = X1.t * int
10  end
11 end
```

Encoded module

$E =$

Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} \text{module } X_1 : \left\{ \begin{array}{l} \text{type } t = \alpha \\ \text{val } x : \alpha \\ \text{type } u = \text{int} \times \alpha \end{array} \right\} \\ \text{module } X_2 : \left\{ \begin{array}{l} \text{type } t = \alpha \times \text{bool} \\ \text{type } u = \alpha \times \text{int} \end{array} \right\} \end{array} \right\}$$

$$\{l_{X_1} = \{l_t = \langle\langle \text{int} \rangle\rangle, l_x = 42, l_u = \langle\langle \text{int} \times \text{int} \rangle\rangle\}$$

Example of encoding into F^ω

Source code

```
1 module M = struct
2   module X1 : S = struct
3     type t = int
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5     type u = int * t
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9     type u = X1.t * int
10  end
11 end
```

Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} \text{module } X_1 : \left\{ \begin{array}{l} \text{type } t = \alpha \\ \text{val } x : \alpha \\ \text{type } u = \text{int} \times \alpha \end{array} \right\} \\ \text{module } X_2 : \left\{ \begin{array}{l} \text{type } t = \alpha \times \text{bool} \\ \text{type } u = \alpha \times \text{int} \end{array} \right\} \end{array} \right\}$$

Encoded module

$E =$ `pack<int, { $l_{X_1} = \{l_t = \langle\langle \text{int} \rangle\rangle, l_x = 42, l_u = \langle\langle \text{int} \times \text{int} \rangle\rangle\}}$ >>`

Example of encoding into F^ω

Source code

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1 module M = struct
2   module X1 : S = struct
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```

Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} \text{module } X_1 : \left\{ \begin{array}{l} \text{type } t = \alpha \\ \text{val } x : \alpha \\ \text{type } u = \text{int} \times \alpha \end{array} \right\} \\ \text{module } X_2 : \left\{ \begin{array}{l} \text{type } t = \alpha \times \text{bool} \\ \text{type } u = \alpha \times \text{int} \end{array} \right\} \end{array} \right\}$$

Encoded module

$E =$

```
pack<int, {lX1 = {lt = <<int>>, lx = 42, lu = <<int × int>>}}>
      {lX2 = {lt = <<α × bool>>, lu = <<α × int>>}}
```


Example of encoding into F^ω

Source code

```
1 module M = struct
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10  end
11 end
```

Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} \text{module } X_1 : \left\{ \begin{array}{l} \text{type } t = \alpha \\ \text{val } x : \alpha \\ \text{type } u = \text{int} \times \alpha \end{array} \right\} \\ \text{module } X_2 : \left\{ \begin{array}{l} \text{type } t = \alpha \times \text{bool} \\ \text{type } u = \alpha \times \text{int} \end{array} \right\} \end{array} \right\}$$

Encoded module

```
 $E = \text{unpack} \langle \alpha, y_1 \rangle = \text{pack} \langle \text{int}, \{ l_{X_1} = \{ l_t = \langle \langle \text{int} \rangle \rangle, l_x = 42, l_u = \langle \langle \text{int} \times \text{int} \rangle \rangle \} \} \rangle$   
 $\text{in } \{ l_{X_2} = \{ l_t = \langle \langle \alpha \times \text{bool} \rangle \rangle, l_u = \langle \langle \alpha \times \text{int} \rangle \rangle \} \}$ 
```

Example of encoding into F^ω

Source code

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2   module X1 : S = struct
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5     type u = int * t
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11 end
```

Encoded signature

$$\Pi = \exists \alpha \left\{ \begin{array}{l} \text{module } X_1 : \left\{ \begin{array}{l} \text{type } t = \alpha \\ \text{val } x : \alpha \\ \text{type } u = \text{int} \times \alpha \end{array} \right\} \\ \text{module } X_2 : \left\{ \begin{array}{l} \text{type } t = \alpha \times \text{bool} \\ \text{type } u = \alpha \times \text{int} \end{array} \right\} \end{array} \right\}$$

Encoded module

$$E = \text{unpack} \langle \alpha, y_1 \rangle = \text{pack} \langle \text{int}, \{l_{X_1} = \{l_t = \langle\langle \text{int} \rangle\rangle, l_x = 42, l_u = \langle\langle \text{int} \times \text{int} \rangle\rangle\} \rangle$$
$$\text{in } \text{unpack} \langle \emptyset, y_2 \rangle = \{l_{X_2} = \{l_t = \langle\langle \alpha \times \text{bool} \rangle\rangle, l_u = \langle\langle \alpha \times \text{int} \rangle\rangle\}$$
$$\text{in}$$

Example of encoding into F^ω

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$$\Pi = \exists \alpha \left\{ \begin{array}{l} \text{module } X_1 : \left\{ \begin{array}{l} \text{type } t = \alpha \\ \text{val } x : \alpha \\ \text{type } u = \text{int} \times \alpha \end{array} \right\} \\ \text{module } X_2 : \left\{ \begin{array}{l} \text{type } t = \alpha \times \text{bool} \\ \text{type } u = \alpha \times \text{int} \end{array} \right\} \end{array} \right\}$$

Encoded module

$$E = \text{unpack} \langle \alpha, y_1 \rangle = \text{pack} \langle \text{int}, \{l_{X_1} = \{l_t = \langle\langle \text{int} \rangle\rangle, l_x = 42, l_u = \langle\langle \text{int} \times \text{int} \rangle\rangle\}\rangle$$
$$\text{in } \text{unpack} \langle \emptyset, y_2 \rangle = \{l_{X_2} = \{l_t = \langle\langle \alpha \times \text{bool} \rangle\rangle, l_u = \langle\langle \alpha \times \text{int} \rangle\rangle\}\}$$
$$\text{in } \text{pack} \langle \alpha, \{l_{X_1} = (y_1.l_{X_1}), l_{X_2} = (y_2.l_{X_2})\}\rangle$$

The issue of *skolemisation*

Source code

```
1  module M = struct
2    module X1 : S = struct
3      type t = int
4      let x = 42
5      type u = int * t
6    end
7    module X2 = struct
8      type t = X1.t * bool
9      type u = X1.t * int
10   end
11 end
```

Encoded signature

$$\Pi = \exists \alpha. \mathcal{R}$$

Encoded module

$$E = \text{pack}\langle \alpha, \dots \rangle$$

The issue of *skolemisation*

Source code

```
1  module M (Y:S) = struct
2    module X1 : S = struct
3      type t = int
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```

Encoded signature

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Encoded module

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9      type u = X1.t * int
10   end
11 end
```

Encoded module

Encoded signature

$$\Pi = \forall \beta. C \rightarrow \exists \alpha. \mathcal{R}$$
$$E = \text{pack}\langle \alpha, \dots \rangle$$

The issue of *skolemisation*

Source code

```
1  module M (Y:S) = struct
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8      type t = X1.t * bool
9      type u = X1.t * int
10   end
11 end
```

Encoded module

Encoded signature

$$\Pi = \exists \alpha'. \forall \beta. \mathcal{C} \rightarrow \mathcal{R}[\alpha \mapsto \alpha'(\beta)]$$

$$E = \text{pack}\langle \alpha, \dots \rangle$$

The issue of *skolemisation*

Source code

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1  module M (Y:S) = struct
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```

Encoded module

$$E = \Lambda\beta.\lambda(Y : C).\text{pack}\langle\alpha, \dots\rangle$$

Encoded signature

$$\Pi = \exists\alpha'.\forall\beta.C \rightarrow \mathcal{R}[\alpha \mapsto \alpha'(\beta)]$$

The issue of *skolemisation*

Source code

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```

Encoded module

$$E = \Lambda\beta.\lambda(Y : C).\text{pack}\langle\alpha, \dots\rangle$$

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$$\Pi = \exists\alpha'.\forall\beta.C \rightarrow \mathcal{R}[\alpha \mapsto \alpha'(\beta)]$$

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6    end
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8      type t = X1.t * bool
9      type u = X1.t * int
10   end
11 end
```

Encoded signature

$$\Pi = \forall\beta.C \rightarrow \exists(\alpha = \tau).\mathcal{R}$$

Encoded module

$$E = \Lambda\beta.\lambda(Y : C).\text{pack}\langle\alpha, \dots\rangle$$

The issue of *skolemisation*

Source code

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```

Encoded module

$$E = \Lambda\beta.\lambda(Y : C).\text{pack}\langle\alpha, \dots\rangle$$

Encoded signature

$$\Pi = \exists(\alpha' = \lambda\beta.\tau).\forall\beta.C \rightarrow \mathcal{R}[\alpha \mapsto \alpha'(\beta)]$$

The issue of *skolemisation*

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Encoded module

Encoded signature

$$\Pi = \exists(\alpha' = \lambda\beta.\tau).\forall\beta.C \rightarrow \mathcal{R}[\alpha \mapsto \alpha'(\beta)]$$

$$E = \text{pack}\langle\alpha, \dots\rangle$$

The issue of *skolemisation*

Source code

```
1  module M (Y:S) = struct
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10   end
11 end
```

Encoded module

$E = \dots$ as $\exists(\alpha = \tau).\mathcal{R}$

Encoded signature

$\Pi = \exists(\alpha' = \lambda\beta.\tau).\forall\beta.C \rightarrow \mathcal{R}[\alpha \mapsto \alpha'(\beta)]$

The issue of *skolemisation*

Source code

```
1  module M (Y:S) = struct
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8      type t = X1.t * bool
9      type u = X1.t * int
10   end
11 end
```

Encoded signature

$$\Pi = \exists(\alpha' = \lambda\beta.\tau).\forall\beta.C \rightarrow \mathcal{R}[\alpha \mapsto \alpha'(\beta)]$$

Encoded module

$$E = \text{skolem}_{\forall}(\wedge\beta.\text{skolem}_{\rightarrow}(\lambda(Y : C).(\dots \text{ as } \exists(\alpha = \tau).\mathcal{R})))$$

New constructs

New constructs

$$\tau ::= \dots$$
$$| \exists \alpha. \tau$$

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| $\text{unpack} \langle \alpha, x \rangle = e \text{ in } e$

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| $\text{show } e$

New constructs

$\tau ::= \dots$

| $\exists\alpha.\tau$

| $\exists(\alpha = \tau).\tau$

$e ::= \dots$

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| $\text{unpack}\langle\alpha, x\rangle = e \text{ in } e$

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| $\text{hide}\langle\alpha, x\rangle = e \text{ in } e$

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Skolem operators

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$\tau ::= \dots$

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$e ::= \dots$

| pack e

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| hide $\langle\alpha, x\rangle = e$ in e

| show e

Skolem operators

- skolem $_{\rightarrow}$:

$$\sigma \rightarrow \exists(\alpha = \tau).\rho$$

$$\exists(\alpha = \tau).\sigma \rightarrow \rho$$

New constructs

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$\forall\beta. \exists(\alpha = \tau).\sigma$

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New constructs

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A restricted encoding

\rightarrow without `show`, only with skolem operators

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A restricted encoding

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$$\Gamma \stackrel{\text{can}}{\underset{\text{app}}{\vdash}} M : \exists\bar{\alpha}.\mathcal{C} \iff \exists\bar{\tau}, e. \Gamma \stackrel{\text{elab}}{\vdash} M : \exists(\bar{\alpha} = \bar{\tau}).\mathcal{C} \rightsquigarrow e$$

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$\tau ::= \dots$

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| `pack e`

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Skolem operators

- `skolem \rightarrow` :

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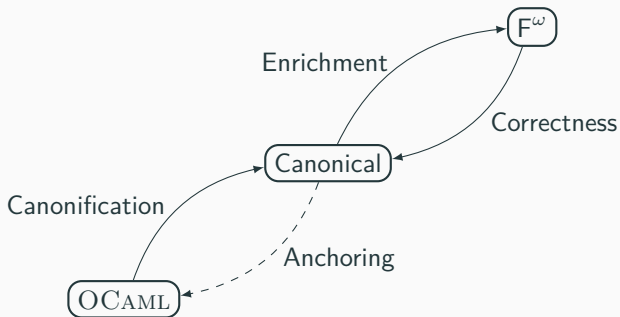
A restricted encoding

\rightarrow without `show`, only with skolem operators

$$\Gamma \vdash_{\text{app}}^{\text{can}} M : \exists\bar{\alpha}.\mathcal{C} \iff \exists\bar{\tau}, e. \Gamma \vdash^{\text{elab}} M : \exists(\bar{\alpha} = \bar{\tau}).\mathcal{C} \rightsquigarrow e$$

$$\Gamma \vdash_{\text{gen}}^{\text{can}} M : \exists\bar{\alpha}.\mathcal{C} \iff \exists e. \Gamma \vdash^{\text{elab}} M : \exists\bar{\alpha}.\mathcal{C} \rightsquigarrow e$$

The big picture



Conclusion and future work

- Support a more significant subset of OCAML

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- Explore the challenges of extending the syntax with existentials

Takeway

1. An presentation *spectrum* for OCAML modules, from the current path-based representation to the formal F^ω encoding, with the canonical system as a middle-point

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1. An presentation *spectrum* for OCAML modules, from the current path-based representation to the formal F^ω encoding, with the canonical system as a middle-point
2. Intuitions and solutions for the signature avoidance problem
3. A clean framework for other features and future extensions of the OCAML module system
4. Formal guarantees through an improved elaboration into F^ω

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