

# From game semantics to automated verification of OCaml programs

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How to automatically check safety properties  
for an higher-order programming language  
with a polymorphic type system,  
control operators,  
and mutable dynamically-allocated resources?

# Interactive semantics of programming languages

50 years of history:

- Bohm trees;
- Sequential algorithms (Berry & Curien);
- Game semantics (Abramsky, Jagadeesan & Malacaria; Hyland & Ong; Nickau).

Main successes:

- Fully-abstract semantics:
  - ▶ untyped CBN  $\lambda$ -calculus (Bohm trees, Levy-Longo trees)
  - ▶ PCF+exceptions (sequential algorithms)
  - ▶ Idealized Algol, RefML, ... (game semantics).
- Decidability results
  - ▶ model-checking  $\mu$ -calculus formulas over higher-order recursion schemes
  - ▶ contextual equivalence for Idealized Algol and GroundML for programs of low-order type.

# Introducing interactive semantics

- Interactions between the program and its environment is represented as *plays* (a.k.a. *traces*)
  - ↪ sequences of *moves* (a.k.a. *actions*) alternating between *Proponent* and *Opponent*.
- *Proponent* represents the program behavior
  - ↪ it is determined by the computation of the program.
- *Opponent* represents environment behavior
  - ↪ it is specified by rules of the *game*
  - ↪ built from the programming languages features: type system, (absence of) side-effects.
- Denotation of a program is formed by a *strategy*
  - ↪ the set of traces the program generates against any environment that behave according to the rules of the game.

## In this talk: operationally-presented game semantics

- *Labelled Transition Systems* (LTS) as the basic blocs
  - ▶ for computing the interaction
  - ▶ for representing the rules of the game.
- Proponent's behavior is computed via an operational semantics
  - ▶ rather than compositionally by induction over the typing derivation.
- Causality between moves is represented via a nominal encoding.

James Laird. "A Fully Abstract Trace Semantics for General References".  
In: *Proceedings of the 34th International Conference on Automata,  
Languages and Programming*. 2007

## Definition

An LTS  $\mathcal{L}$  is a triple  $(\text{States}, \text{Actions}, \rightarrow)$  with

- States a set of states
  - ▶ called *configurations* when they are built over terms;
- A set of actions  $\text{Actions}$ 
  - ▶ visible actions are called *moves*  $m$ ;
  - ▶ a silent action  $\text{op}$ , corresponding to internal computations.
- a labeled transition relation  $\rightarrow \subseteq \text{States} \times \text{Actions} \times \text{States}$ 
  - ▶ we write  $\mathbb{C} \xrightarrow{a} \mathbb{D}$  for  $(\mathbb{C}, a, \mathbb{D}) \in \rightarrow$ .

# Traces

- Traces are finite sequences of *moves*  $p_1o_2 \cdot p_ko_k$
- that alternates between:
  - ▶ *Player* moves  $p$  representing the program behavior
  - ▶ *Opponent* moves  $o$  representing the environment behavior.
- Moves  $m$  are either *call* or *return* operations:

P-question	P-answer	O-question	O-answer
$\bar{f}(A)$	$\bar{\text{ret}}(A)$	$f(A)$	$\text{ret}(A)$

- Input (Opponent) / Output (Proponent) polarities of moves
- Duality operator switching polarities:  $\bar{m}$ ;
- Moves exchanges *abstract values*  $A, B$ ;
  - ▶ defined from a characterization of the observational power of the programming language.

# How to define the observational power of a programming language ?

Via **polarization**:

- *interact* with negative ( $\ominus$ ) values;
- *observe* positive ( $\oplus$ ) values, called patterns.

In this talk: a typed call-by-value  $\lambda$ -calculus with pattern-matching.

Negative ( $\ominus$ )	Positive ( $\oplus$ )
$\rightarrow$	<code>unit, int, <math>\times</math>, <math>+</math></code>



## Typing abstract values

- Abstract values  $A, B$  are *nominal ultimate patterns*:

Unit	Integer	Function names	Sum	Pairs
$()$	$k$	$\underline{f}$	$\text{inj}_i(A)$	$\langle A, B \rangle$

- Typing judgment  $\Delta \Vdash A : \tau$ 
  - ▶ with  $\Delta$  a linear context of names.
  - ▶ and no names with positive types in  $\Delta$ .

$$\frac{}{\emptyset \Vdash () : \text{unit}}$$

$$\frac{k \in \mathbb{Z}}{\emptyset \Vdash k : \text{int}}$$

$$\frac{\tau \text{ function type}}{f : \tau \Vdash \underline{f} : \tau}$$

$$\frac{\Delta \Vdash A : \tau_i}{\Delta \Vdash \text{inj}_i(A) : \tau_1 + \tau_2}$$

$$\frac{\Delta_1 \Vdash A_1 : \tau_1 \quad \Delta_2 \Vdash A_2 : \tau_2}{\Delta_1 \cdot \Delta_2 \Vdash \langle A_1; A_2 \rangle : \tau_1 \times \tau_2}$$

## Abstract values via Focusing

- Any value  $V$  can be decomposed into a nominal ultimate pattern  $A$  and an environment  $\gamma$  such that  $A\{\gamma\} = V$ .
  - Corresponds to large-step focusing: [Noam Zeilberger](#). "Focusing and Higher-Order Abstract Syntax". In: *Proceedings of the 35th Annual ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*. 2008
- This decomposition  $V \nearrow(A, \gamma)$  is defined by the following rules:

$$\overline{() \nearrow((), \varepsilon)} \quad \overline{k \nearrow(k, \varepsilon)}$$

$$\overline{\lambda x.M \nearrow(\underline{f}; [f \mapsto \lambda x.M])} \quad \overline{f \nearrow(\underline{g}; [g \mapsto f])}$$

$$\frac{V \nearrow(A, \gamma) \quad W \nearrow(B, \gamma')}{\langle V, W \rangle \nearrow(\langle A, B \rangle, \gamma \cdot \gamma')} \quad \frac{V \nearrow(A, \gamma)}{\text{inj}_i(V) \nearrow(\text{inj}_i(A), \gamma)}$$

## Justification pointer

- A move *binds* the function names introduced in the abstract value  $A$  it exchanges.
- Taking  $t = t_1 f(A) t_2$ , the question  $f(A)$  is *justified in*  $t$  by the move of  $t_1$  that introduces  $f$ .
- Taking  $t = t_1 \text{ret}(A) t_2$ , the answer  $\text{ret}(A)$  is *justified in*  $t$  by the last unanswered question of  $t_1$ .
  - ↪ a question of  $t$  is *unanswered* when it does not justify an answer in  $t$ .
- Example:

$$\overline{\text{ret}}(\langle 3, f \rangle) f(g) \overline{g}() f(g') \overline{\text{ret}}(5) \text{ret}(9) \overline{\text{ret}}(12)$$

## CPS and Well-bracketing

- Transform answers into calls to *continuation names*  $c$

P-question	P-answer	O-question	O-answer
$\overline{f}(A, c)$	$\overline{c}(A)$	$f(A, c)$	$c(A)$

- Taking  $t = t_1 c(A) t_2$ , the question  $c(A)$  is *justified in*  $t$  by the move of  $t_1$  that introduces  $c$ .
- CPS translation of traces:

$$\begin{array}{c} \overline{\text{ret}}(\langle 3, f \rangle) f(g) \overline{g}() f(g') \overline{\text{ret}}(5) \text{ret}(9) \overline{\text{ret}}(12) \\ \text{into} \\ \overline{c_0}(\langle 3, f \rangle) f(g, c_1) \overline{g}(c_2) f(g', c_3) \overline{c_3}(5) c_2(9) \overline{c_1}(12) \end{array}$$

- Reversing the CPS translation?
  - ▶ only on *well-bracketed* traces

### Definition

A trace is well-bracketed if all its answers are justified by the previously unanswered question in the trace.

# Introducing the OGS LTS

## Definition (Synchronization)

Taking  $\mathcal{L}_1 = (\text{States}_1, \text{Actions}, \rightarrow_1)$  and  $\mathcal{L}_2 = (\text{States}_2, \text{Actions}, \rightarrow_2)$  two LTSs sharing the same set of actions, their *synchronization*  $\mathcal{L}_1 \bowtie \mathcal{L}_2$ , is the LTS  $(\text{States}_1 \times \text{States}_2, \text{Actions}, \rightarrow)$  with  $\rightarrow$  defined as:

$$\frac{\text{I} \xrightarrow{\text{op}}_1 \text{J}}{(\text{I}; \text{S}) \xrightarrow{\text{op}} (\text{J}; \text{S})} \quad \frac{\text{S} \xrightarrow{\text{op}}_2 \text{T}}{(\text{I}; \text{S}) \xrightarrow{\text{op}} (\text{I}; \text{T})} \quad \frac{\text{I} \xrightarrow{\text{m}}_1 \text{J} \quad \text{S} \xrightarrow{\text{m}}_2 \text{T}}{(\text{I}; \text{S}) \xrightarrow{\text{m}} (\text{J}; \text{T})}$$

The OGS LTS is built as the parallel composition

$\mathcal{L}_I \bowtie \mathcal{L}_{\text{Ty}} \bowtie \mathcal{L}_{\text{WB}} \bowtie \mathcal{L}_{\text{discl}}$  with:

- the Interactive LTS  $\mathcal{L}_I$  that computes Proponent's interactions using operational semantics;
- the Typing LTS  $\mathcal{L}_{\text{Ty}}$  that enforces Opponent's interactions to be well-typed
- History LTSs  $\mathcal{L}_{\text{WB}}$  and  $\mathcal{L}_{\text{discl}}$  that enforces Opponent's interactions to be well-bracketed and *non-omniscient*.

# Interactive LTS

- Configurations are either active  $\langle [c]M; \gamma \rangle$  or passive  $\langle \gamma \rangle$ ;
- with  $c$  a continuation name and  $M$  a term
- $\gamma$  an environment mapping:
  - ▶ function names  $f$  to values
  - ▶ continuation names  $c$  to *named evaluation contexts*  $K = [c']E$ .

$$\text{op} \frac{M \mapsto_{\text{op}} N}{\langle [c]M; \gamma \rangle \xrightarrow{\text{op}} \langle [c]N; \gamma \rangle}$$

$$\text{PQ} \frac{V \nearrow (A; \gamma')}{\langle K[fV]; \gamma \rangle \xrightarrow{\bar{f}(A,c)} \langle \gamma \cdot \gamma' \cdot [c \mapsto K] \rangle}$$

$$\text{PA} \frac{V \nearrow (A; \gamma')}{\langle [c]V; \gamma \rangle \xrightarrow{\bar{c}(A)} \langle \gamma \cdot \gamma' \rangle}$$

$$\text{OQ} \frac{}{\langle \gamma \rangle \xrightarrow{f(A,c)} \langle [c]\gamma(f)A; \gamma \rangle}$$

$$\text{OA} \frac{}{\langle \gamma \rangle \xrightarrow{c(A)} \langle \gamma(c)[A]; \gamma \rangle}$$

# Typing LTS

- Configurations  $\mathbb{S}$  of  $\mathcal{L}_{\text{Ty}}$  keep track of typing of names:
  - ▶  $\langle \Delta_O \mid \perp; \Delta_P \rangle$  for Player configurations;
  - ▶  $\langle \Delta_O \mid \Delta_P \rangle$  for Opponent configurations.
- Transition checks typing constraints of patterns exchanged using  $\Vdash$ 
  - ▶ Named evaluations contexts  $[c]E$  have types  $\neg\tau$ , with  $\tau$  the type of the hole of  $E$ .
  - ▶ Negation of types: 
$$\begin{array}{l} (\tau \rightarrow \sigma)^\perp \quad \triangleq \quad \tau \times \neg\sigma \\ (\neg\tau)^\perp \quad \triangleq \quad \tau \end{array}$$

James Laird. “A Curry-style Semantics of Interaction: From Untyped to Second-Order Lazy  $\lambda\mu$ -Calculus”. In: *International Conference on Foundations of Software Science and Computation Structures*. FoSSaCS'20

## Transitions of the Typing LTS

$$\text{PQ} \frac{\Delta \Vdash \langle A, c \rangle : \Delta_O(f)^\perp}{\langle \Delta_O \mid \perp; \Delta_P \rangle \xrightarrow{\bar{f}(A,c)}_{\text{Ty}} \langle \Delta_O \mid \Delta_P \cdot \Delta \rangle}$$

$$\text{PA} \frac{\Delta \Vdash A : \Delta_O(c)^\perp}{\langle \Delta_O \mid \perp; \Delta_P \rangle \xrightarrow{\bar{c}(A)}_{\text{Ty}} \langle \Delta_O \mid \Delta_P \cdot \Delta \rangle}$$

$$\text{OQ} \frac{\Delta \Vdash \langle A, c \rangle : \Delta_P(f)^\perp}{\langle \Delta_O \mid \Delta_P \rangle \xrightarrow{f(A,c)}_{\text{Ty}} \langle \Delta_O \cdot \Delta \mid \perp; \Delta_P \rangle}$$

$$\text{OA} \frac{\Delta \Vdash A : \Delta_P(c)^\perp}{\langle \Delta_O \mid \Delta_P \rangle \xrightarrow{c(A)}_{\text{Ty}} \langle \Delta_O \cdot \Delta \mid \perp; \Delta_P \rangle}$$



## Enforcing well-bracketing

Configurations of  $\mathcal{L}_{\text{WB}}$  are *stacks*  $\pi$  of continuation names introduced by Proponent.

$$\text{PQ} \frac{}{\langle \pi \rangle \xrightarrow{\bar{f}(A,c)} \langle c :: \pi \rangle}$$

$$\text{PA} \frac{}{\langle \pi \rangle \xrightarrow{\bar{c}(A)} \langle \pi \rangle}$$

$$\text{OQ} \frac{}{\langle \pi \rangle \xrightarrow{f(A,c)} \langle \pi \rangle}$$

$$\text{OA} \frac{}{\langle c :: \pi \rangle \xrightarrow{c(A)} \langle \pi \rangle}$$

# Polymorphism

Church style System **F**:

$$\frac{\Gamma, X : \text{Type} \vdash M : \tau}{\Gamma \vdash \Lambda X. M : \forall X. \tau} \qquad \frac{\Gamma \vdash M : \forall X. \tau}{\Gamma \vdash M_{\tau} : \tau' \{X := \tau\}}$$

$$\frac{\Gamma \vdash M : \tau \{X := \tau'\}}{\Gamma \vdash \langle \tau'; M \rangle : \exists X. \tau}$$

$$\frac{\Gamma \vdash M : \exists X. \tau \quad \Gamma, X : \text{Type}, x : \tau \vdash N : \tau'}{\Gamma \vdash \text{match } M \text{ with } (X, x) \Rightarrow N : \tau'}$$

# Polarization of type variables

- We tag type variables:
  - ▶  $X^\oplus$  when Proponent choose the type associated to  $X$ ;
  - ▶  $X^\ominus$  when Opponent choose the type associated to  $X$ .
- Via a type translation  $\text{pol}_\rho^\kappa(\cdot) : \text{Types} \rightarrow \text{Types}$ , for  $\kappa \in \{\oplus, \ominus\}$ , defined as

$$\begin{aligned}\text{pol}_\rho^\kappa(\tau \rightarrow \sigma) &\triangleq \text{pol}_\rho^{\kappa\uparrow}(\tau) \rightarrow \text{pol}_\rho^\kappa(\sigma) \\ \text{pol}_\rho^\kappa(\forall X.\tau) &\triangleq \forall X.\text{pol}_{\rho\cdot[X\mapsto\kappa\uparrow]}^\kappa(\theta) \\ \text{pol}_\rho^\kappa(X) &\triangleq X^\rho(X) \\ \text{pol}_\rho^\kappa(\exists X.\tau) &\triangleq \exists X.\text{pol}_{\rho\cdot[X\mapsto\kappa]}^\kappa(\theta)\end{aligned}$$

$$\text{with } (\cdot)^\uparrow \triangleq \begin{cases} \oplus \mapsto \ominus \\ \ominus \mapsto \oplus \end{cases}$$

# Abstract values for Polymorphism

- Types exchanged by the two players are represented by *type names*  $\alpha, \beta$ .
  - ▶ Type generativity to distinguish multiple uses of the same existential type  $\exists X. \tau$ .
- Values exchanged as type  $X$  (i.e. polymorphic values) are represented by *polymorphic names*  $p, q$ .
  - ▶ Boxing discipline for polymorphic values.
  - ▶ Polymorphic names introduced by a Player can be replayed multiple times by the other player.

Negative ( $\ominus$ )	Positive ( $\oplus$ )	P-Positive	O-Positive	Neutral ( $\odot$ )
$\rightarrow, \forall$	<code>unit, int, ×, +, ∃</code>	$X^{\oplus}$	$X^{\ominus}$	Type

# Generating Polymorphic Abstract Values

- The decomposition  $V \nearrow(A, \gamma)$  depends on the type of  $V$ :
  - ▶ We have  $V \nearrow(p, [p \mapsto V])$  when  $V$  is of type  $\alpha^\oplus$ .
  - ▶ Three possible implementations:
    - 1 Define  $\mathcal{L}_I | \mathcal{L}_{Ty}$  as one basic blocks that uses a typed focusing relation  $(V, \tau) \nearrow(A, \gamma)$
    - 2 Uses an untyped focusing relation that can perform boxing non-deterministically, and uses  $\mathcal{L}_{Ty}$  to choose the right focusing according to the type.
    - 3 Compile System F to a language with explicit boxing.
- In abstract values provided by Opponent, one has to replace Proponent polymorphic names  $p$  by their concrete values  $\gamma(p)$ 
  - ▶ reversing the focusing via a reduction relation  $(A, \gamma) \searrow V$ .

## Typing abstract values

- Linear/non-linear typing judgment  $\Gamma|\Delta \Vdash A : \tau_i$  with:
  - ▶  $\Delta$  the linear context for bound names;
  - ▶  $\Gamma$  the non-linear context for free names.

$$\frac{\tau \text{ function type}}{\Gamma|f : \tau \Vdash \underline{f} : \tau}$$

$$\frac{}{\Gamma|p : \alpha^\oplus \Vdash \underline{p} : \alpha^\oplus}$$

$$\frac{\Gamma(p) = \alpha^\ominus}{\Gamma|\emptyset \Vdash p : \alpha^\ominus}$$

$$\frac{\Gamma|\Delta \Vdash A : \tau_i}{\Gamma|\Delta \Vdash \text{inj}_i(A) : \tau_1 + \tau_2}$$

$$\frac{\Gamma|\Delta_1 \Vdash A_1 : \tau_1 \quad \Gamma|\Delta_2 \Vdash A_2 : \tau_2}{\Gamma|\Delta_1 \cdot \Delta_2 \Vdash \langle A_1; A_2 \rangle : \tau_1 \times \tau_2}$$

$$\frac{\Gamma|\Delta \Vdash A : \tau\{X := \alpha\}}{\Gamma|\Delta, \alpha : \text{Types} \Vdash \langle \alpha; A \rangle : \exists X. \tau}$$

## Transitions of the Typing LTS

$$\text{PQ} \frac{\Delta_O | \Delta \Vdash (A, c) : \Delta_O(f)^\perp}{\langle \Delta_O \mid \perp; \Delta_P \rangle \xrightarrow{\bar{f}(A,c)}_{\text{Ty}} \langle \Delta_O \mid \Delta_P \cdot \Delta \rangle}$$

$$\text{PA} \frac{\Delta_O | \Delta \Vdash A : \Delta_O(c)^\perp}{\langle \Delta_O \mid \perp; \Delta_P \rangle \xrightarrow{\bar{c}(A)}_{\text{Ty}} \langle \Delta_O \mid \Delta_P \cdot \Delta \rangle}$$

$$\text{OQ} \frac{\Delta_P | \Delta \Vdash (A, c) : \Delta_P(f)^{\perp\uparrow}}{\langle \Delta_O \mid \Delta_P \rangle \xrightarrow{f(A,c)}_{\text{Ty}} \langle \Delta_O \cdot \Delta \mid \perp; \Delta_P \rangle}$$

$$\text{OA} \frac{\Delta_P | \Delta \Vdash A : \Delta_P(c)^{\perp\uparrow}}{\langle \Delta_O \mid \Delta_P \rangle \xrightarrow{c(A)}_{\text{Ty}} \langle \Delta_O \cdot \Delta \mid \perp; \Delta_P \rangle}$$

## An example of polymorphic interaction

- Interaction at type  $\forall X. \exists Y. (X \rightarrow Y) \times (Y \rightarrow Y)$
- between the term  $V \triangleq \Lambda X. \langle X; \langle \lambda x. x; \lambda x. x \rangle \rangle$ .
- and the context  
let  $z = \bullet\text{unit}$  in match  $z$  with  $\langle Y; \langle w_1; w_2 \rangle \rangle \Rightarrow w_2(w_1())$
- generates the trace

$$\overline{c_0}(f) \cdot f(\alpha, c_1) \cdot \overline{c_1}(\beta; \langle g_1; g_2 \rangle) \cdot g_1(p, c_2) \cdot \overline{c_2}(q) \cdot g_2(q, c_3) \cdot \overline{c_3}(p)$$



## Adding mutable references

- We extend the programming language with
  - ▶ reference creation  $\text{ref } M$  of type  $\tau_{\text{ref}}$ ,
  - ▶ assignment  $M := N$
  - ▶ dereferencing  $!M$
- Stores  $S$  are partial maps from locations  $\ell$  to values used to keep track of the values of references
- Operational semantics is defined via the following reduction rules:

$$\begin{aligned} (E[\text{ref } V]; S) &\mapsto_{\text{op}} (E[\ell]; S \cdot [\ell \mapsto V]) \\ (E[\ell := V; S]) &\mapsto_{\text{op}} (E[()]; S[\ell \mapsto V]) \\ (E[!\ell]; S) &\mapsto_{\text{op}} (E[S(\ell)]; S) \end{aligned}$$

- Locations  $\ell$  are parts of patterns
  - ▶ to compare physical equality of locations

## Interactive LTS in presence of references

$$\text{op} \frac{(M; S) \mapsto_{\text{op}} (N; T)}{\langle [c]M; S; \gamma \rangle \xrightarrow{\text{op}} \langle [c]N; T; \gamma \rangle}$$

$$\text{PQ} \frac{V \nearrow (A; \gamma')}{\langle K[fV]; S; \gamma \rangle \xrightarrow{\bar{f}(A,c)} \langle S; \gamma \cdot \gamma' \cdot [c \mapsto K] \rangle} \quad \text{PA} \frac{V \nearrow (A; \gamma')}{\langle [c]V; S; \gamma \rangle \xrightarrow{\bar{c}(A)} \langle S; \gamma \cdot \gamma' \rangle}$$

$$\text{OQ} \frac{}{\langle S; \gamma \rangle \xrightarrow{f(A,c)} \langle [c]\gamma(f)A; S; \gamma \rangle} \quad \text{OA} \frac{}{\langle S; \gamma \rangle \xrightarrow{c(A)} \langle \gamma(c)[M]; S; \gamma \rangle}$$

For sake of simplicity here:

- Only locations  $\ell$  of type `unit ref` are allowed to appear in abstract values  $A$
- General case: need move-with-abstract-store  $(m; R)$  with  $R$  representing the abstract values stored in the disclosed part of  $S$ .

## Enforcing non-omniscience

- Keep track of the locations that are disclosed by one player to the other
  - ▶ escape analysis of locations.
- Via an LTS  $\mathcal{L}_{\text{discl}}$  whose configurations are sets  $D$  of locations known by both P and O.
- Enforce Opponent's *non-omniscience*: O cannot play a Proponent's location that has not been disclosed.

$$\frac{\text{fn}(m) \subseteq D \quad \text{bn}(m) \cap D = \emptyset}{\langle D \rangle \xrightarrow{m} \langle D \cup \text{bn}(m) \rangle}$$

# Exceptions and Effect Type System

(j.w.w. with Hamza Jaâfar)

- We extend the programming language with:
  - ▶ exception creation `exception e` of  $\tau$ , of type  $\tau_{\text{exn}}$ ,
  - ▶ which generates exception values `e` similar to locations  $\ell$ ;
  - ▶ raise operation of exceptions `raise V`, which inhabit any type  $\tau$ .
- both `e A` and `raise (e A)` are part of patterns.
- Effect type system  $\tau \rightarrow_{\varepsilon} \sigma$  with  $\varepsilon$  a set of exceptions  $\{e_1, \dots, e_i\}$ 
  - ▶ to restrict Opponent's behavior wrt the exceptions it can raise.
- Generalization to algebraic effects and handlers: WIP.

## Composing OGS configurations

- Specifying both the behavior of Proponent and Opponent by programs.
- Via a synchronization process defined as a parallel composition plus hiding of LTSs.

### Definition (Parallel composition with hiding)

Taking  $\mathcal{L}_1 = (\text{States}_1, \text{Actions}, \rightarrow_1)$  and  $\mathcal{L}_2 = (\text{States}_2, \text{Actions}, \rightarrow_2)$  two LTSs sharing the same set of actions, their *parallel composition with hiding*  $\mathcal{L}_1 || \mathcal{L}_2$ , is the LTS  $(\text{States}_1 \times \text{States}_2, \{\text{op}, \text{sync}\}, \rightarrow)$  with  $\rightarrow$  defined as:

$$\frac{\mathbb{I}_1 \xrightarrow{\text{op}}_1 \mathbb{J}_1}{(\mathbb{I}_1; \mathbb{I}_2) \xrightarrow{\text{op}} (\mathbb{J}_1; \mathbb{I}_2)} \quad \frac{\mathbb{I}_1 \xrightarrow{\text{op}}_2 \mathbb{J}_2}{(\mathbb{I}; \mathbb{S}) \xrightarrow{\text{op}} (\mathbb{I}_1; \mathbb{J}_2)} \quad \frac{\mathbb{I}_1 \xrightarrow{\text{m}}_1 \mathbb{J}_1 \quad \mathbb{I}_2 \xrightarrow{\bar{\text{m}}}_2 \mathbb{J}_2}{(\mathbb{I}_1; \mathbb{I}_2) \xrightarrow{\text{sync}} (\mathbb{J}_1; \mathbb{J}_2)}$$

# Full-abstraction of trace equivalence

## Theorem

*OGS Trace equivalence and contextual equivalence of the programming language coincide.*

- Soundness relies on a congruence and adequacy result for the parallel composition with hiding operator.
- Full-abstraction relies on a definability result to transform a trace  $t$  into a term that generates this trace;
  - ▶ mutable references is crucial for this result to hold;
  - ▶ needs to relax the notion of trace equivalence to *complete trace equivalence*: traces where all questions have been answered.

Guilhem Jaber and Nikos Tzevelekos. “Trace Semantics for Polymorphic References”. In: *Proceedings of the 31st Annual ACM/IEEE Symposium on Logic in Computer Science*. LICS '16

# Towards Model-Checking

## How to automatically check safety properties of the OGS LTS?

- For example "The LTS does not reach a configuration of the shape  $\langle [c_0] \text{raise } e; S; \gamma \rangle$  unless  $e$  has been raised by Opponent before".
- Difficult to prove automatically because the interactions can be arbitrary long due to
  - ▶ recursion for Proponent;
  - ▶ absence of restriction on the number of time function names can be called by Opponent.

Can we bound Opponent's behavior?

# Linearizing the OGS LTS

- Opponent uses values and contexts provided by Opponent only once, when provided:

$$\text{op} \frac{M \mapsto_{\text{op}} N}{\langle [c]M \rangle \xrightarrow{\text{op}} \langle [c]N \rangle}$$

$$\text{PQ} \frac{V \nearrow(A; \gamma)}{\langle K[fV] \rangle \xrightarrow{\bar{f}(A,c)} \langle \gamma \cdot [c \mapsto K] \rangle} \qquad \frac{V \nearrow(A; \gamma)}{\langle [c]V \rangle \xrightarrow{\bar{c}(A)} \langle \gamma \rangle} \text{PA}$$

$$\text{OQ} \frac{}{\langle \gamma \rangle \xrightarrow{f(A,c)} \langle [c]\gamma(f)A \rangle} \qquad \frac{}{\langle \gamma \rangle \xrightarrow{c(A)} \langle \gamma(c)[A] \rangle} \text{OA}$$

- This LTS generates a call-by-value variant of Bohm trees.



## Correspondence of bisimilarities

- Bisimilarity for this LTS is exactly Lassen's eager normal-form bisimilarity.

Søren B. Lassen. "Eager normal form bisimulation". In: *20th Annual IEEE Symposium on Logic in Computer Science (LICS'05)*. 2005

- For the pure cbv  $\lambda$ -calculus, OGS bisimilarity and eager normal-form bisimilarity coincide.

Guilhem Jaber and Davide Sangiorgi. "Games, mobile processes, and functions". In: *30th EACSL Annual Conference on Computer Science Logic (CSL 2022)*. 2022

In presence of local references,  
this linearization is not sound anymore!

$$\text{op} \frac{(M; S) \mapsto_{\text{op}} (N; T)}{\langle [c]M; S \rangle \xrightarrow{\text{op}} \langle [c]N; T \rangle}$$

$$\text{PQ} \frac{V \nearrow (A; \gamma)}{\langle K[fV]; S \rangle \xrightarrow{\bar{f}(A,c)} \langle S; \gamma \cdot [c \mapsto K] \rangle} \qquad \frac{V \nearrow (A; \gamma)}{\langle [c]V; S \rangle \xrightarrow{\bar{c}(A)} \langle S; \gamma \rangle} \text{PA}$$

$$\text{OQ} \frac{}{\langle S; \gamma \rangle \xrightarrow{f(A,c)} \langle [c]\gamma(f)A; S \rangle} \qquad \frac{}{\langle S; \gamma \rangle \xrightarrow{c(A)} \langle \gamma(c)[A]; S \rangle} \text{OA}$$

```
let count = ref 0 (* private *)
let inc () = count := !count+2
let check () = assert (not(odd !count))
```

One needs to test `inc` and `check` on all *reachable* values stored in `count`.

## Store invariants

Consider formulas specifying sets of stored values, for example

$$\{[\text{count} \mapsto 2k] \mid k \in \mathbb{N}\}$$

$$\text{op} \frac{(M; S) \mapsto_{\text{op}} (N; T)}{\langle M; S; \mathcal{I} \rangle \xrightarrow{\text{op}} \langle N; T; \mathcal{I} \rangle}$$

$$\text{PQ} \frac{V \nearrow (A; \gamma) \quad S \in \mathcal{I}}{\langle K[fV]; S; \mathcal{I} \rangle \xrightarrow{\bar{f}(A, c)} \langle \mathcal{I}; \gamma \cdot [c \mapsto K] \rangle}$$

$$\text{PA} \frac{V \nearrow (A; \gamma) \quad S \in \mathcal{I}}{\langle [c]V; S; \mathcal{I} \rangle \xrightarrow{\bar{c}(A)} \langle \mathcal{I}; \gamma \rangle}$$

$$\text{OQ} \frac{S \in \mathcal{I}}{\langle \mathcal{I}; \gamma \rangle \xrightarrow{f(A, c)} \langle [c]\gamma(f)A; S; \mathcal{I} \rangle}$$

$$\text{OA} \frac{S \in \mathcal{I}}{\langle \mathcal{I}; \gamma \rangle \xrightarrow{c(A)} \langle \gamma(c)[A]; S; \mathcal{I} \rangle}$$

## Framing store invariants

- Introduce a separating conjunction  $*$  between invariants
- provide a way to add new invariants during the interaction for freshly-allocated references.

$$\text{op} \frac{(M; S) \mapsto_{\text{op}} (N; T)}{\langle M; S; \mathcal{I} \rangle \xrightarrow{\text{op}} \langle N; T; \mathcal{I} \rangle}$$

$$\frac{V \nearrow (A; \gamma) \quad S \in \mathcal{I} * \mathcal{J}}{\langle K[fV]; S; \mathcal{I} \rangle \xrightarrow{\bar{f}(A, c)} \langle \mathcal{I} * \mathcal{J}; \gamma \cdot [c \mapsto K] \rangle}$$

$$\frac{V \nearrow (A; \gamma) \quad S \in \mathcal{I} * \mathcal{J}}{\langle [c]V; S; \mathcal{I} \rangle \xrightarrow{\bar{c}(A)} \langle \mathcal{I} * \mathcal{J}; \gamma \rangle}$$

$$\text{OQ} \frac{S \in \mathcal{I}}{\langle \mathcal{I}; \gamma \rangle \xrightarrow{f(A, c)} \langle [c]\gamma(f)A; S; \mathcal{I} \rangle}$$

$$\frac{S \in \mathcal{I}}{\langle \mathcal{I}; \gamma \rangle \xrightarrow{c(A)} \langle \gamma(c)[A]; S; \mathcal{I} \rangle} \text{OA}$$

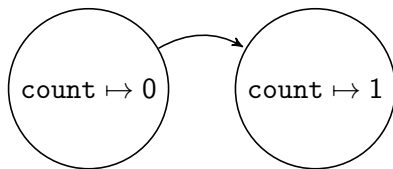
# Invariants

- Computed via symbolic evaluation  $(M; \mathcal{I}) \mapsto_{\text{op}} (N; \mathcal{J})$ 
  - ▶ via *predicate transformer* semantics.
- For location disclosure (`unit ref`): invariants are enough
  - ▶ WIP with Daniel Hirshckoff and Enguerrand Prebet.
- For polymorphic values, we *conjecture* that invariants are enough too
  - ▶ if true, this would have important consequences for full-abstraction of logical relations for System F.

## Invariants are not enough for mutable store

```
let count = ref 0 (* private *)  
let awk f = count := 1; f(); assert (!count=1)
```

One needs a *transition system of invariants*



- (Worlds,  $\sqsubseteq$ ) with worlds  $\mathcal{W} \in \text{Worlds}$  of the shape  $(s, \mathcal{I})$  with  $s$  an abstract state and  $\mathcal{I}$  an invariant.
- Allow Opponent to navigate arbitrarily far in this transition system
  - ▶ using the reflexive-transitive closure  $\sqsubseteq^*$ .

Derek Dreyer, Georg Neis, and Lars Birkedal. “The impact of higher-order state and control effects on local relational reasoning”. In: *Journal of Functional Programming* 22.4-5 (2012), pp. 477–528

# Kripke OGS

$$\text{op} \frac{(M; S) \mapsto_{\text{op}} (N; T)}{\langle M; S; \mathcal{W} \rangle \xrightarrow{\text{op}} \langle N; T; \mathcal{W} \rangle}$$

$$\text{PQ} \frac{V \nearrow (A; \gamma) \quad \mathcal{W} \sqsubseteq \mathcal{W}' \quad s \in \mathcal{W}'.\mathcal{I}}{\langle K[fV]; S; \mathcal{W} \rangle \xrightarrow{\bar{f}(A,c)} \langle \mathcal{W}'; \gamma \cdot [c \mapsto K] \rangle}$$

$$\text{PA} \frac{V \nearrow (A; \gamma) \quad \mathcal{W} \sqsubseteq \mathcal{W}' \quad s \in \mathcal{W}'.\mathcal{I}}{\langle [c]V; S; \mathcal{W} \rangle \xrightarrow{\bar{c}(A)} \langle \mathcal{W}; \gamma \rangle}$$

$$\text{OQ} \frac{\mathcal{W} \sqsubseteq^* \mathcal{W}' \quad s \in \mathcal{W}'.\mathcal{I}}{\langle \mathcal{W}; \gamma \rangle \xrightarrow{f,c(A)} \langle [c]\gamma(f)A; S; \mathcal{W}' \rangle} \qquad \frac{\mathcal{W} \sqsubseteq^* \mathcal{W}' \quad s \in \mathcal{W}'.\mathcal{I}}{\langle \gamma \rangle \xrightarrow{c(A)} \langle S; \mathcal{W}'; \gamma(c)[A] \rangle} \text{OA}$$

# Kripke Eager Normal Form Bisimulation

- Bisimilarity over this LTS
  - ▶ using worlds with relational invariants over store
  - ▶ incorporates the well-bracketed constraints of  $\mathcal{L}_{WB}$  by distinguishing OQ and OA evolutions of worlds
- Fully-abstract for the a language with higher-order references.

Guilhem Jaber and Andrzej S. Murawski. “Compositional Relational Reasoning via Operational Game Semantics”. In: *Proceedings of the 36th Annual ACM/IEEE Symposium on Logic in Computer Science*. 2021



# Temporal reasoning

## (WIP with Andrzej Murawski)

- Abstract away the worlds
  - ▶ using temporal modalities  $\diamond$  and  $\square$ .
- Distinguish OQ and OA evolutions of worlds
  - ▶ OA transitions of worlds are well-bracketed
  - ▶ represented using visibly-pushdown modalities.
- Interactions inside `while`-loops represented using greatest fixpoints formulas of the  $\mu$ -calculus.

# Conclusion

- First steps towards automated verifications of effectful polymorphically typed programs.
  - ▶ Safety property as a model-checking problem over infinite trees
  - ▶ with linearized Opponent behavior
  - ▶ and temporal reasoning over resources.
- Future work:
  - ▶ Other OCaml's features
    - ★ GADTs;
    - ★ module system.
  - ▶ Invariant generation
    - ★ via weakest precondition generators;
    - ★ abstract interpretation;
    - ★ abstract domains for ADTs.
  - ▶ Reducing the model-checking problem to satisfiability of Constrained Horn Clauses.
  - ▶ Implementation!