

OCaml Modules and signatures: formalization, insights and improvements

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EPFL master thesis under the supervision of Didier Rémy and Gabriel Radanne

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Table of contents

1. The power of the OCAML Module system

Table of contents

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2. Simplifying and improving with the canonical system

Table of contents

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1. The power of the OCAML Module system
2. Simplifying and improving with the canonical system
3. Canonification and anchorability:
intuitions of the canonical system towards the source one
4. Elaboration into F^ω : guarantees for the canonical system
5. Conclusion and future work

The power of the OCaml Module system

Modules and signatures: OCaml modularity in a nutshell

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1  (* A simple module  
2    to encapsulate integers *)  
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27    ascription to abstract the set type *)  
28  module Set = functor (A: Comp) ->  
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40  
41  (* Integer sets *)  
42  module IntegerSet = Set(Integer)
```

The key mechanism: *construction* and *description* languages

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Module Expressions

$M ::= P$	<i>Variables</i>
$M.X$	<i>Projection</i>
$(P : S)$	<i>Sealing</i>
$P_1(P_2)$	<i>Functor application</i>
$(X : S) \rightarrow M$	<i>Functor</i>
$\text{struct}_Y B \text{ end}$	<i>Structure</i>

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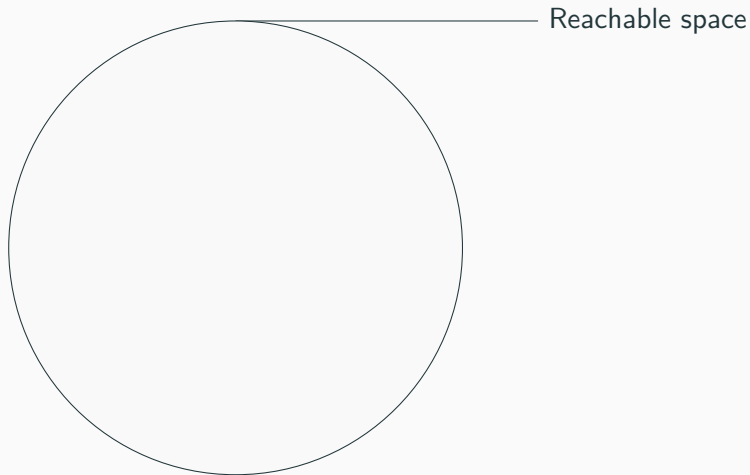
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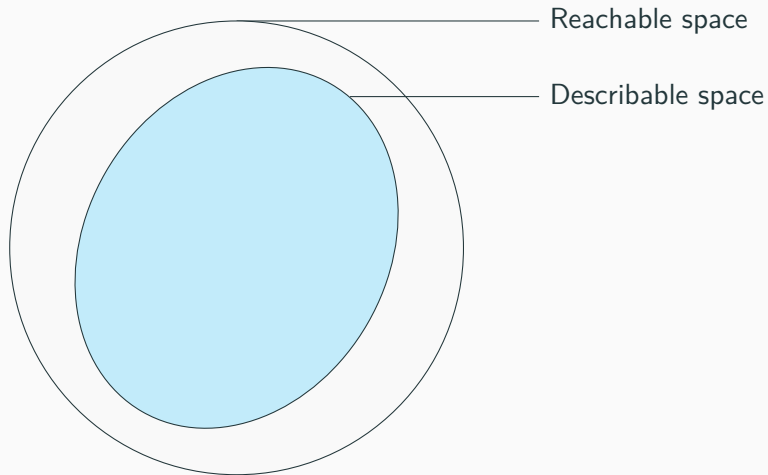
Declarations

$D ::= \text{val } x : T$	<i>Values</i>
$\text{type } t = T$	<i>Types</i>
$\text{type } t$	<i>Abstract types</i>
$\text{module } X : S$	<i>Modules</i>
$\text{module type } A = S$	<i>Module types</i>

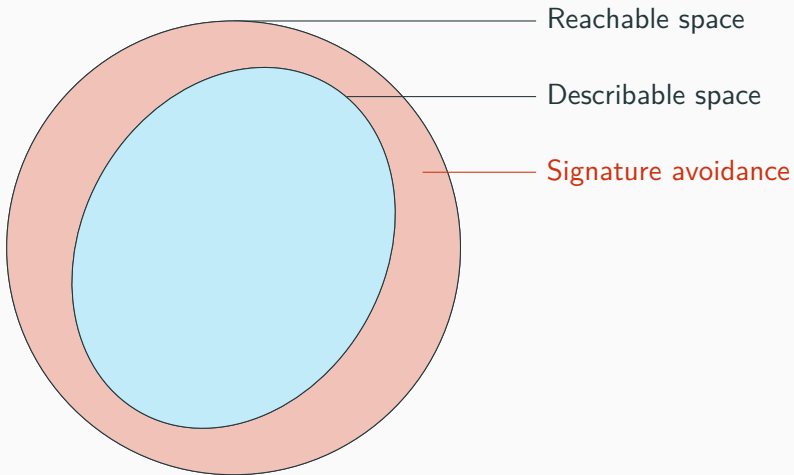
Reachable and describable spaces mismatch



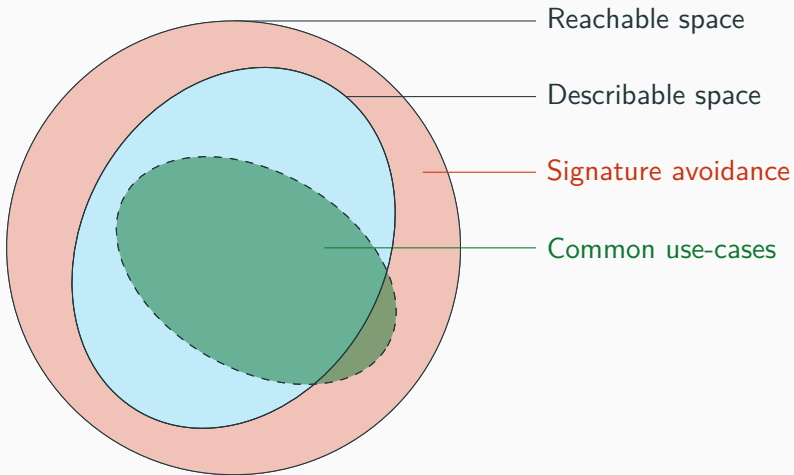
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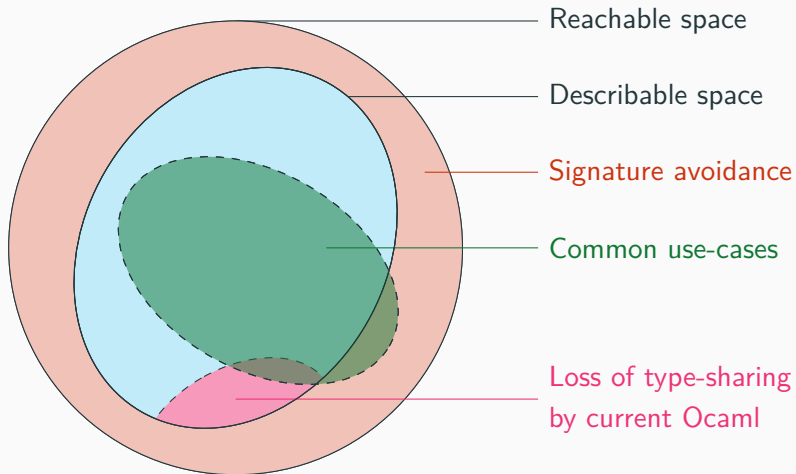
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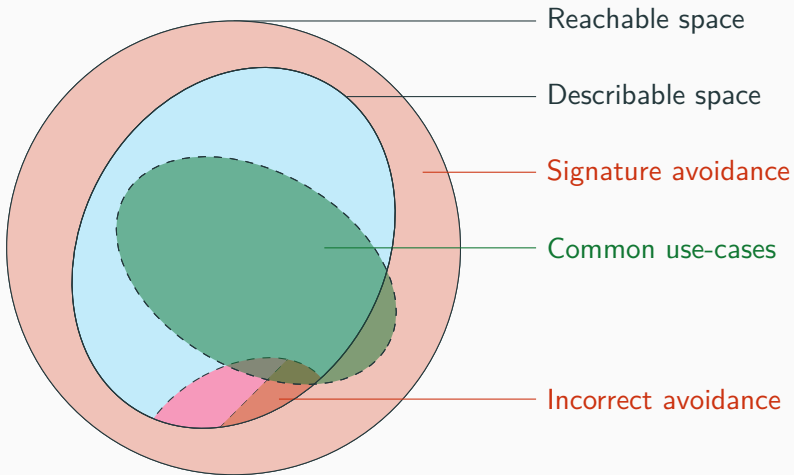
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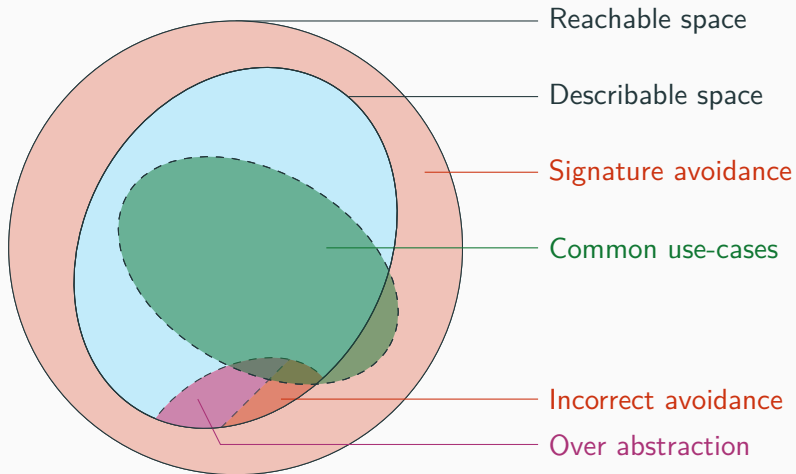
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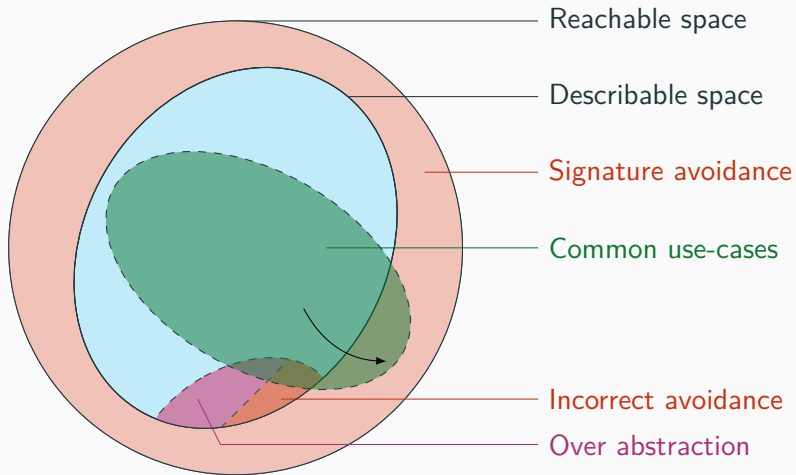
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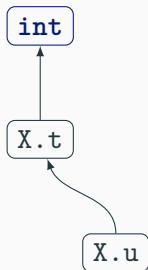


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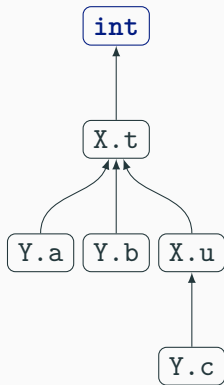
Signature avoidance and equivalence

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14
15
16
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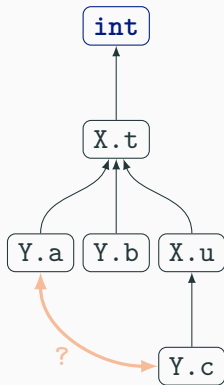
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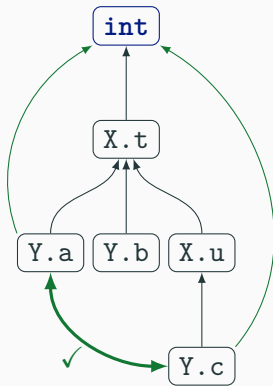
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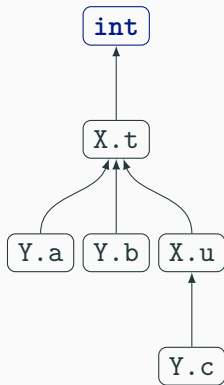
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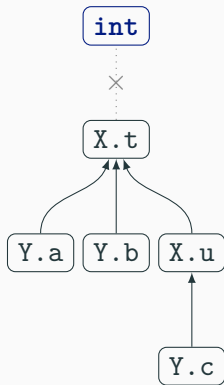
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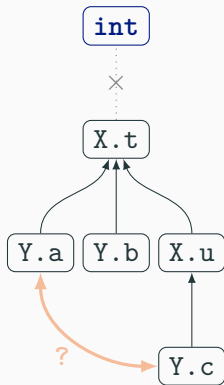
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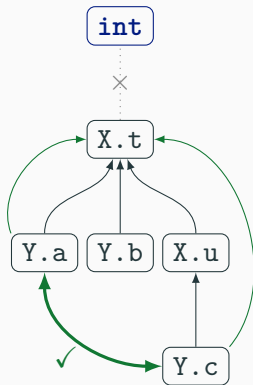
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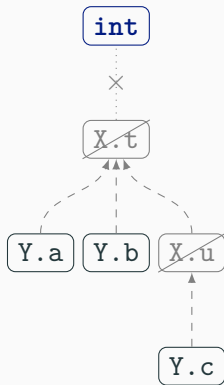
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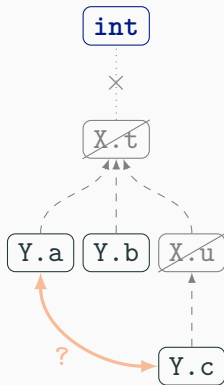
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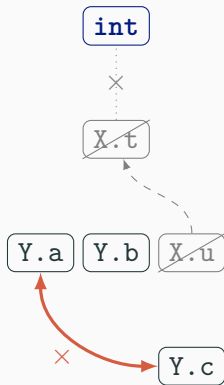
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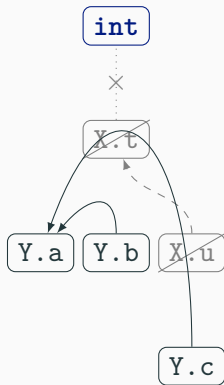
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15    type b
16    type c
17  end
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19 end) .Y
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22
23 let f (x : M.a) = (x : M.c) (* error *)
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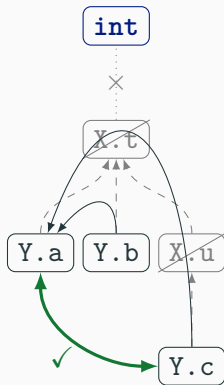
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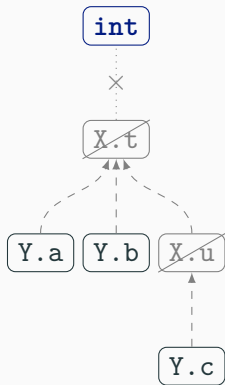
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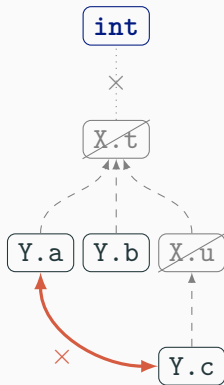
Signature avoidance - unsolvable cases

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13  module Y : struct
14    type a = X.t * int
15    type b = X.t -> string
17    type c = X.u * bool
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Signature avoidance - unsolvable cases

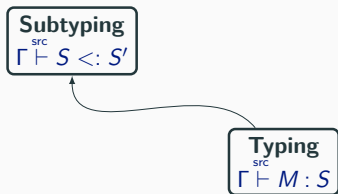
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```



A presentation of the OCaml module system

Typing
src
 $\Gamma \vdash M : S$

A presentation of the OCaml module system



A presentation of the OCaml module system

$$\begin{array}{c} \text{S-TYP-SIG} \\ \frac{\Gamma \stackrel{\text{src}}{\vdash} P : S' \quad \Gamma \stackrel{\text{src}}{\vdash} S' <: S}{\Gamma \stackrel{\text{src}}{\vdash} (P : S) : S} \end{array}$$

A presentation of the OCaml module system

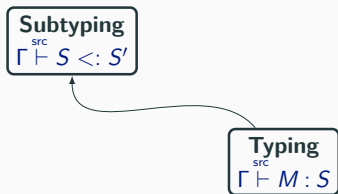
S-TYP-SIG

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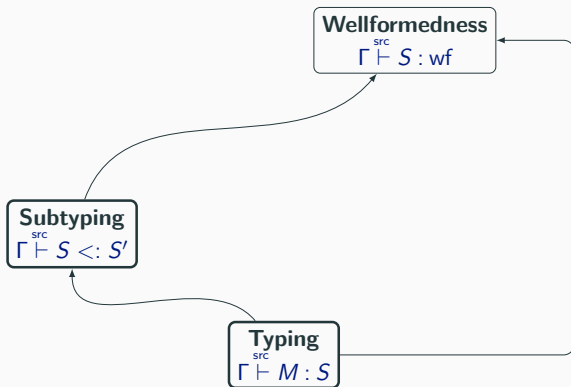
S-TYP-FCT

$$\frac{X \notin \Gamma \quad \Gamma; \text{module } X : S_a \stackrel{\text{src}}{\vdash} M : S_r}{\Gamma \stackrel{\text{src}}{\vdash} ((X : S_a) \rightarrow M) : (X : S_a) \rightarrow S_r}$$

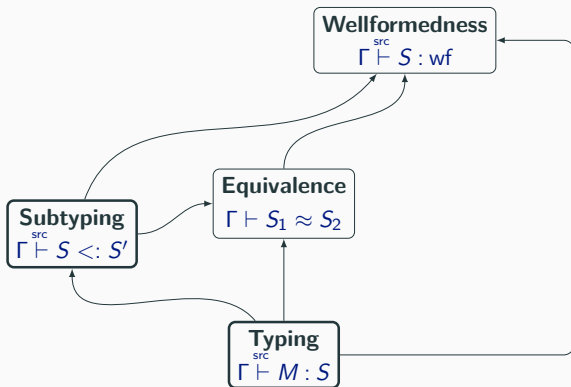
A presentation of the OCaml module system



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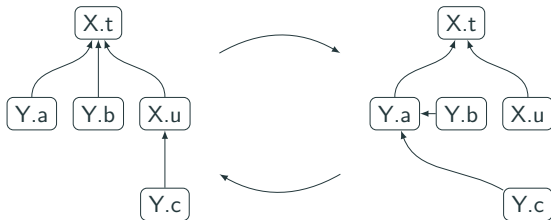
S-EQV-TYPE

$$\Gamma \vdash T_1 \approx T_2$$
$$\frac{\Gamma \vdash T_1 \approx T_2}{\Gamma \vdash_{\gamma} (\text{type } t = T_1) \approx (\text{type } t = T_2)}$$

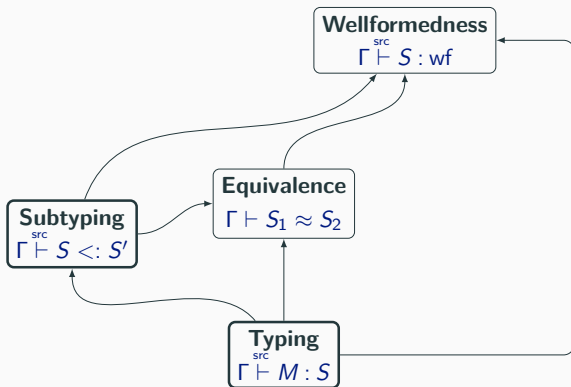
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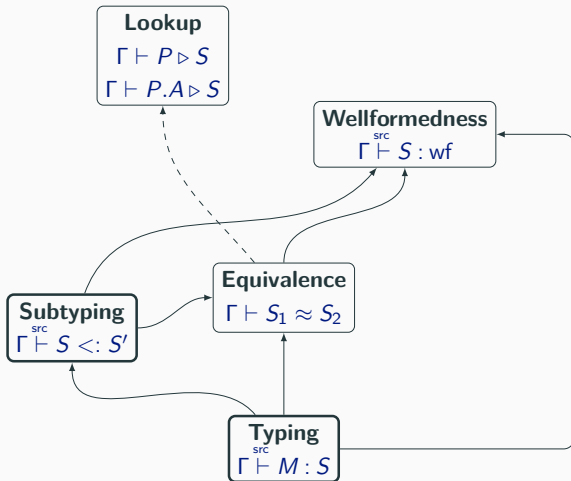
$$\frac{\Gamma \vdash T_1 \approx T_2}{\Gamma \vdash_{\mathcal{Y}} (\text{type } t = T_1) \approx (\text{type } t = T_2)}$$



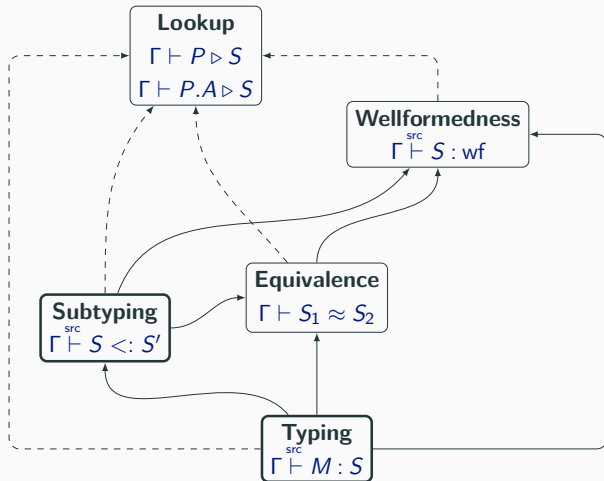
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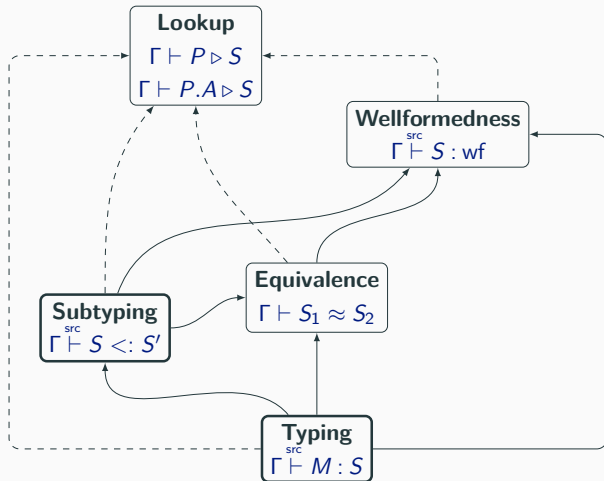
$$\begin{array}{c} \text{S-LKP-ALIAS} \\ \Gamma \vdash P \triangleright P'.A \quad \Gamma \vdash P'.A \triangleright S \\ \hline \Gamma \vdash P \triangleright S \end{array}$$

A presentation of the OCaml module system

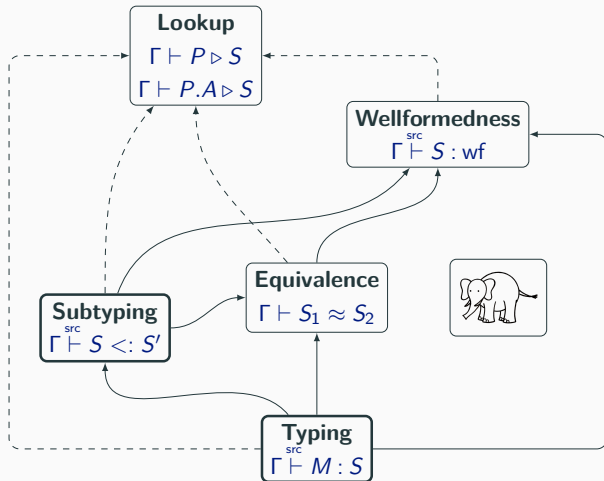
$$\frac{\text{S-LKP-ALIAS} \quad \Gamma \vdash P \triangleright P'.A \quad \Gamma \vdash P'.A \triangleright S}{\Gamma \vdash P \triangleright S}$$

$$\frac{\text{S-LKP-PROJ-MOD} \quad \Gamma \vdash P \triangleright \text{sig}_Y \overline{D} \text{ end} \quad (\text{module } X : S) \in \overline{D}}{\Gamma \vdash P.X \triangleright S[Y \mapsto P]}$$

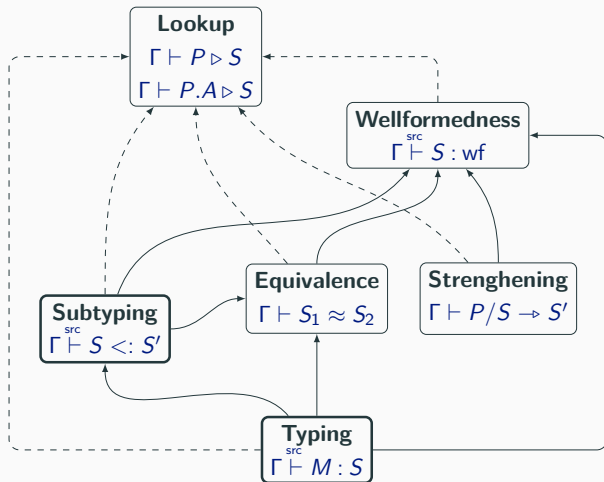
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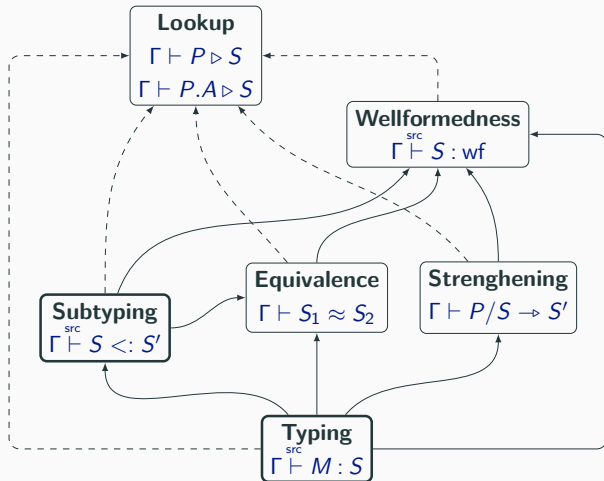
A presentation of the OCaml module system



A presentation of the OCaml module system



A presentation of the OCaml module system



A Note on Strengthening

```
1 | module M = (... : sig
2 |   type t
3 |   type u = t
4 | end)
5 |
6 |
```



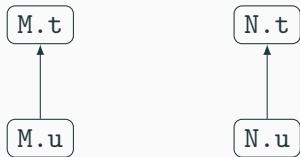
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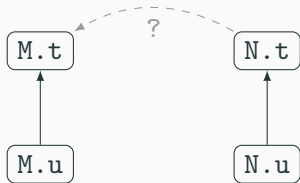
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7   include M
8 end (* : sig
9   type t
10  type u = t
11 *)
```



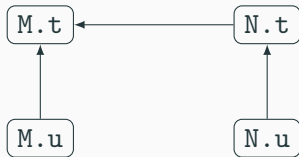
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```



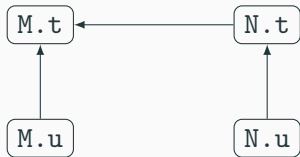
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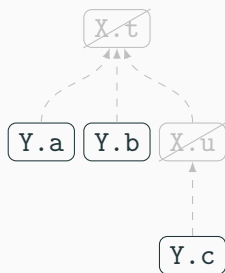
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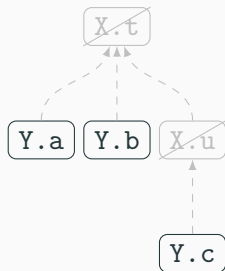
Simplifying and improving with the canonical system

Simplifying equivalence



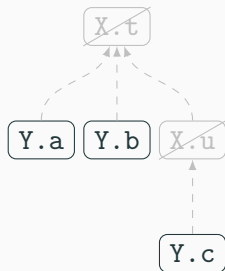
Simplifying equivalence

- Representing equalities through single links in an alias tree is **fragile**



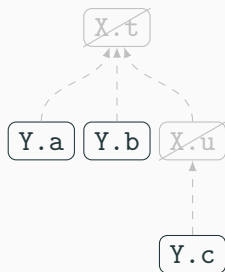
Simplifying equivalence

- Representing equalities through single links in an alias tree is **fragile**
- Only **connected components** matter



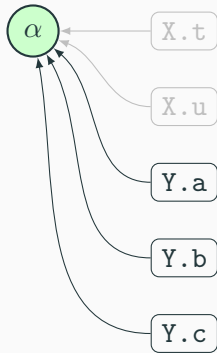
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- Representing equalities through single links in an alias tree is **fragile**
- Only **connected components** matter
- Identify **where** abstract types are created



Existential types

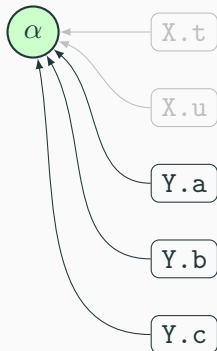
Existential types $\exists \alpha$



Existential types

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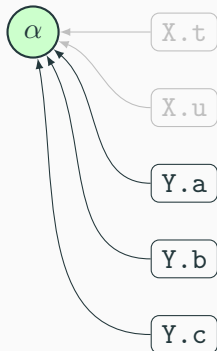
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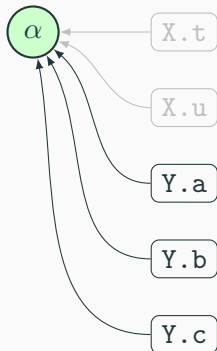
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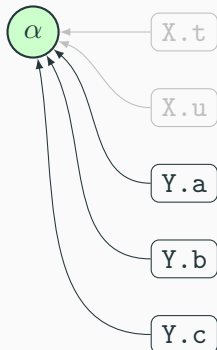
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- Identify where abstract types (new types) are created
- Give a *path-independent* way to refer to a type (type $t = \alpha$)
- Logical meaning of existential (no introspection)
- No naming issues (α -convertibility)



The idea of existential types

ML-Modules rich history

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- Core and module united 1ML Rossberg [2018]

The *canonical* system - Grammar extensions

Canonical Types

$$\tau ::= \dots \mid \alpha$$

Existential identifier

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$$\mathcal{C} ::= \exists \bar{\alpha}. \mathcal{R}$$

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$$\begin{aligned} \mathcal{R} ::= & \forall \bar{\alpha}. (X : \mathcal{R}) \rightarrow \mathcal{C} \\ & \mid \text{sig}_Y \bar{D} \text{ end} \end{aligned}$$

Functor

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$\mathcal{D} ::= \text{val } x : (\approx \tau)$

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$\mid \text{type } t \approx \tau$

Types

$\mid \text{module } X : \mathcal{R}$

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$\mid \text{module type } A = \mathcal{C}$

Module types

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But same module expressions !

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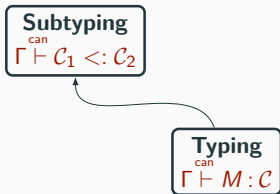
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The *canonical* system - Judgments

Typing
can
 $\Gamma \vdash M : C$

The *canonical* system - Judgments



The *canonical* system - Judgments

C-TYP-PROJ

$$\frac{\Gamma \vdash^{\text{can}} M : \exists \bar{\alpha}. \text{sig}_Y \bar{\mathcal{D}}_1, \text{ module } X : \mathcal{R}, \bar{\mathcal{D}}_2 \text{ end} \quad \Gamma \vdash^{\text{can}} \exists \bar{\alpha}. \mathcal{R} : \text{wf}}{\Gamma \vdash^{\text{can}} M.X : \exists \bar{\alpha}. \mathcal{R}}$$

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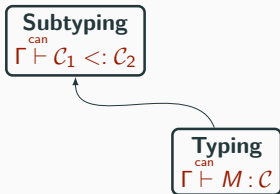
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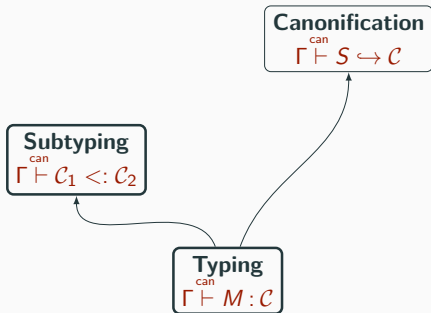
C-TYP-SIG

$$\frac{\Gamma \vdash^{\text{can}} S \hookrightarrow \mathcal{C} \quad \Gamma \vdash^{\text{can}} P : \mathcal{R} \quad \Gamma \vdash^{\text{can}} \mathcal{R} <: \mathcal{C}}{\Gamma \vdash^{\text{can}} (P : S) : \mathcal{C}}$$

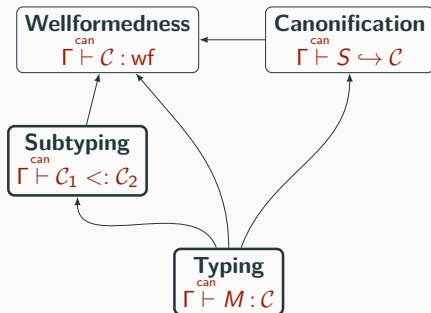
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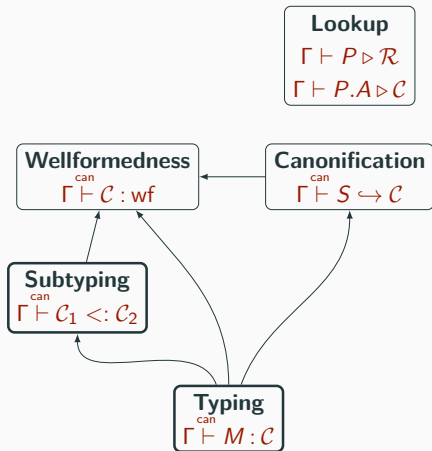
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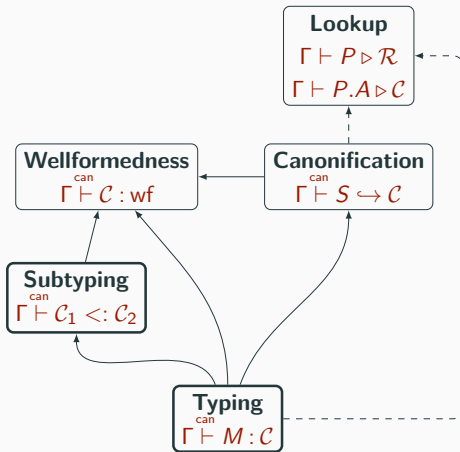
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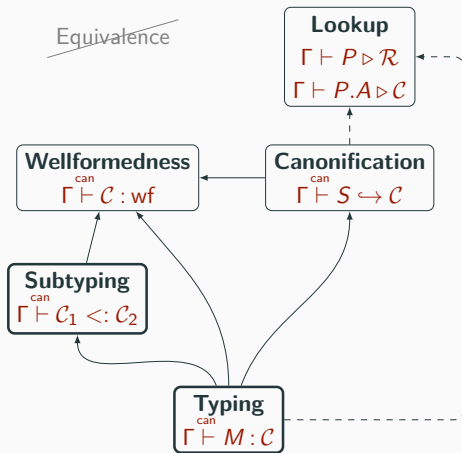
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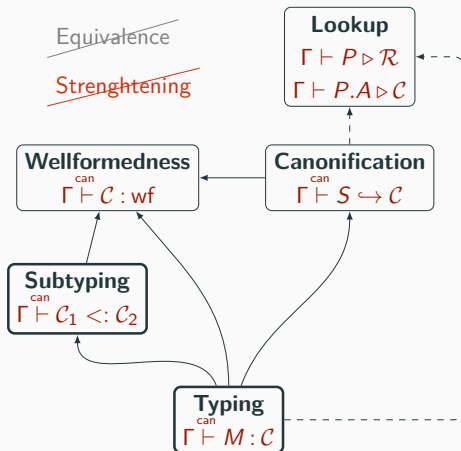
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**Canonification and anchorability:
intuitions of the canonical system
towards the source one**

Canonification results

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Theorem (Canonification of typing)

Given any environment Γ , module M , and signature S , we have:

$$\Gamma \stackrel{\text{src}}{\vdash} M : S \implies \exists C', \begin{cases} \Gamma^c \stackrel{\text{can}}{\vdash} M : C' \\ \Gamma^c \stackrel{\text{can}}{\vdash} C' \prec: C(S) \end{cases} \quad 1$$

Anchorability : existence vs identity - example

```
1 module M = (struct
2   ...
3   module Y : struct
4     type a = X.t
5     type b = X.t
6     type c = X.u
7   end
8 end).Y
9
10
```

```
1 module M = (struct
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4     type a = X.t -> string
5     type b = X.t -> int
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```
11 (* M.Y :  $\exists \alpha. \text{sig}$ 
12    type a =  $\alpha$ 
13    type b =  $\alpha$ 
14    type c =  $\alpha$ 
15    end *)
```

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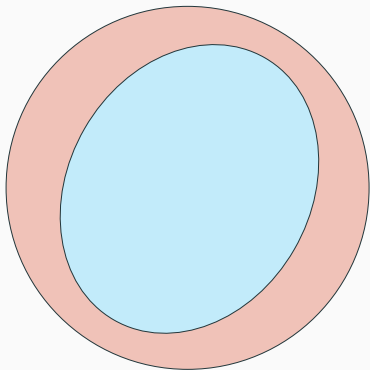
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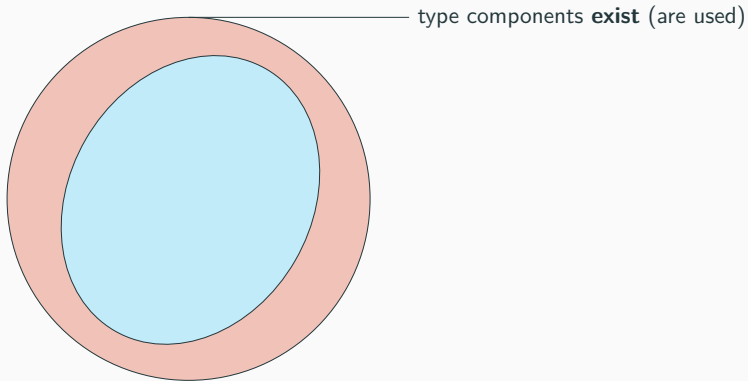
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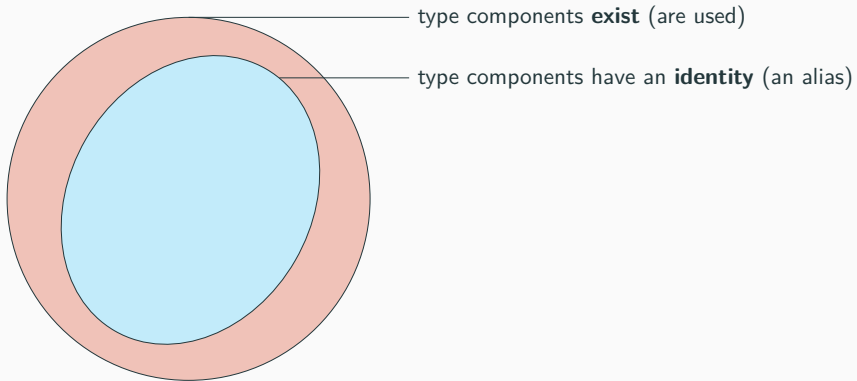

Anchorability : existence vs identity



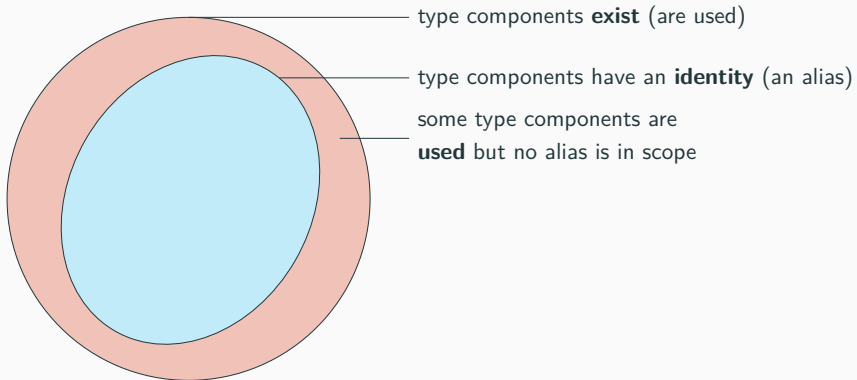
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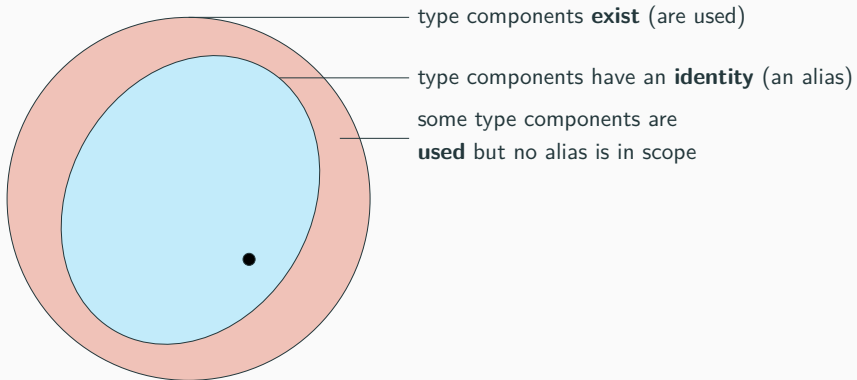
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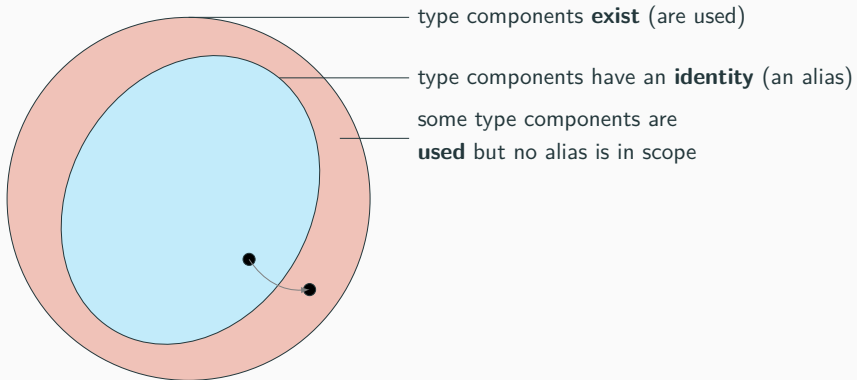
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Anchorability : existence vs identity



Anchorability : existence vs identity



Anchorability results

- Restricting the use of existential types

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- Storing only existentials with a path

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Theorem (Anchorable typing)

Given any environment Γ , module expression M and (canonical) signature \mathcal{C} , we have:

$$\Gamma \vdash_a M : \mathcal{C} \implies \exists \Gamma_s, S, \begin{cases} \Gamma_s \hookrightarrow \Gamma \\ \Gamma \vdash^{can} S \hookrightarrow \mathcal{C} \\ \Gamma_s \vdash^{src} M : S \end{cases} \quad 2$$

Anchorability results

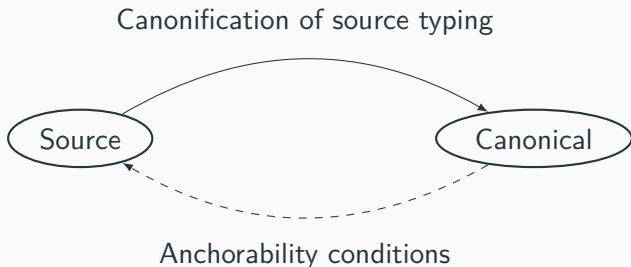
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$$\Gamma \vdash_a M : \mathcal{C} \implies \exists \Gamma_s, S, \begin{cases} \Gamma_s \hookrightarrow \Gamma \\ \Gamma \vdash^{can} S \hookrightarrow \mathcal{C} \\ \Gamma_s \vdash^{src} M : S \end{cases} \quad 2$$

Canonical and source system links



**Elaboration into F^ω : guarantees for
the canonical system**

Standard F^ω with records and existential types

$\kappa ::= \star \mid \kappa \rightarrow \kappa$ *kinds*

$\tau ::= \alpha \mid \tau \rightarrow \tau \mid \{\overline{I : \tau}\} \mid \forall \alpha : \kappa. \tau \mid \exists \alpha : \kappa. \tau \mid \lambda \alpha : \kappa. \tau \mid \tau \tau$ *types*

$e ::= x \mid \lambda x : \tau. e \mid e e \mid \{\overline{I : e}\} \mid e.I \mid \Lambda \alpha : \kappa. e \mid e \tau$
 $\mid \text{pack } \langle \tau, e \rangle_\tau \mid \text{unpack } \langle \alpha, x \rangle = e \text{ in } e$ *terms*

$v ::= \lambda x : \tau. e \mid \{\overline{I : v}\} \mid \lambda \alpha : \kappa. e \mid \text{pack } \langle \tau, v \rangle_\tau$ *values*

$\Theta ::= \cdot \mid \Theta, \alpha : \kappa \mid \Theta, x : \tau$ *environments*

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$\kappa ::= \star \mid \kappa \rightarrow \kappa$ *kinds*

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No subtyping !

Encoding signatures into F^ω terms/types

Signatures

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$\Pi := \exists \bar{\alpha}. \Sigma$

abstract signatures

Encoding signatures into F^ω terms/types

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$\Sigma := \llbracket \tau \rrbracket \mid \llbracket = \tau : \star \rrbracket \mid \llbracket = \Pi \rrbracket \mid \{ \overline{l_X : \Sigma} \} \mid \forall \alpha. \Sigma \rightarrow \Pi$

concrete signatures

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concrete signatures

Types

$$\llbracket \tau \rrbracket \triangleq \{ \text{val} : \tau \}$$

value

$$\llbracket = \tau : \star \rrbracket \triangleq \{ \text{typ} : \forall \alpha : (\star \rightarrow \star). \alpha \tau \rightarrow \alpha \tau \}$$

type

$$\llbracket = \Pi \rrbracket \triangleq \{ \text{sig} : \Pi \rightarrow \Pi \}$$

module type

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module type

Terms

$$\llbracket e \rrbracket \triangleq \{ \text{val} = e \}$$

value

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module type

Encoding example

```
1  module M = struct
2
3
4
5
6
7
8
9
10 end)
```

Encoding example

```
1 module M = struct
2     module X = struct
3         type t = int
4         let x = 42
5     end
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Encoding example

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1 module M = struct
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1 module M = struct
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Module

$$l_X : \left\{ \begin{array}{l} l_t = \llbracket \text{int} : \star \rrbracket \\ l_x = \llbracket 42 \rrbracket \end{array} \right\}$$

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$$l_X : \text{pack} \left\langle \text{int}, \left\{ \begin{array}{l} l_t = \llbracket \text{int} : \star \rrbracket \\ l_x = \llbracket 42 \rrbracket \end{array} \right\} \right\rangle$$

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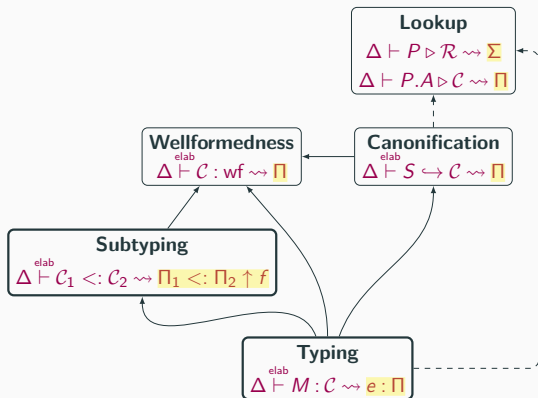
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Full elaboration of the judgments



Full elaboration of the judgments

E-TYP-LET

$$\frac{\Delta \vdash^{elab} E : T \rightsquigarrow e : \tau \quad Y.x \notin \Delta}{\Delta \vdash_Y^{elab} (\text{let } x = E) : (\text{val } x : (\approx \tau)) \rightsquigarrow \{l_x = e\} : \{l_x : [\tau]\}}$$

E-TYP-TYPE

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$$\frac{\Delta \vdash^{\text{elab}} M : \exists \bar{\alpha}. \mathcal{R} \rightsquigarrow e : \exists \bar{\alpha}. \Sigma \quad Y.X \notin \Delta}{\Delta \vdash_{\mathcal{Y}}^{\text{elab}} (\text{module } X = M) : (\exists \bar{\alpha}. \text{module } X : \mathcal{R}) \rightsquigarrow \text{unpack } \langle \bar{\alpha}, x \rangle = e \text{ in pack } \langle \bar{\alpha}, \{l_x = x\} \rangle : \exists \bar{\alpha}. \{l_x : \Sigma\}}$$

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Theorem (Correctness of elaboration)

Given an environment Δ , a module expression M , a signature \mathcal{C} , a term e and an encoded signature Π , we have:

$$\Delta \vdash^{elab} M : \mathcal{C} \rightsquigarrow e : \Pi \implies \omega(\Delta) \vdash^{F\omega} e : \Pi.$$

3

Link with the canonical system

Theorem (Elaboration of typing)

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4

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4

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Given an environment Δ , a module expression M , a signature \mathcal{C} , a term e and an encoded signature Π , we have:

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5

The big picture

Source

The big picture

Source

Canonical

The big picture

Source

Canonical

Elaborated

The big picture

Source

Canonical

Elaborated



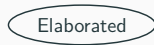
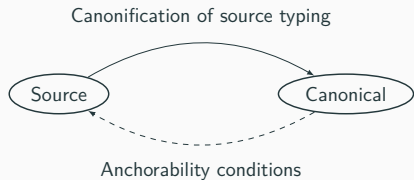
F^{ω}

The big picture

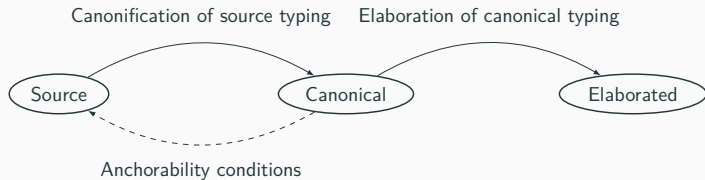
Canonification of source typing



The big picture

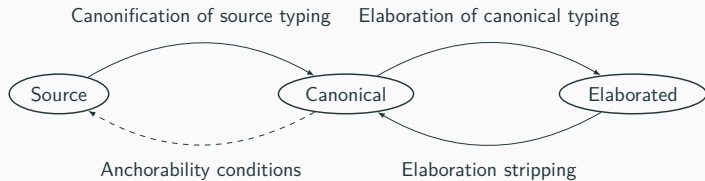


The big picture

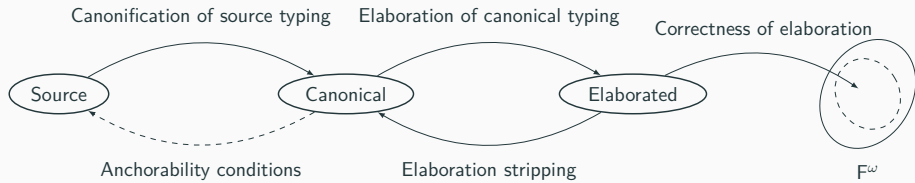


F^ω

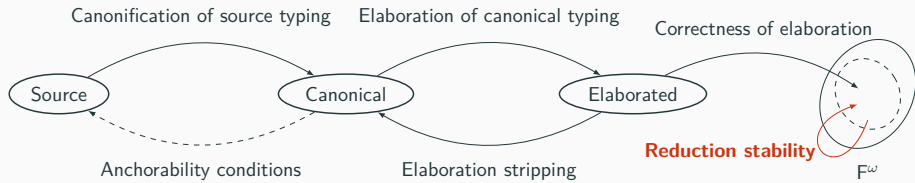
The big picture



The big picture



The big picture



Conclusion and future work

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Support a more significant subset of OCaml

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- Extend the system to applicative functors

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- How about a mixed interface (existentials only appear in signature-avoidance cases) ?

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Takeway

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