

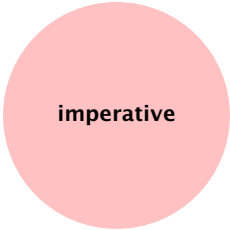
Towards Verification of GVN-CSE on a Functional Intermediate Language with System Calls

Sigurd Schneider, Sebastian Hack, Gert Smolka

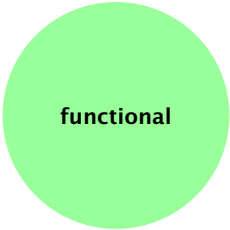
Work done during a visit to Xavier Leroy at Inria Paris-Rocquencourt

Talk at Inria Paris-Rocquencourt

02.06.2014



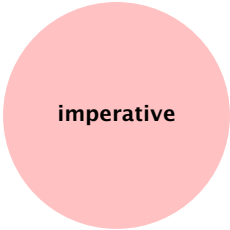
imperative



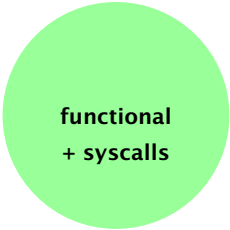
functional



machine



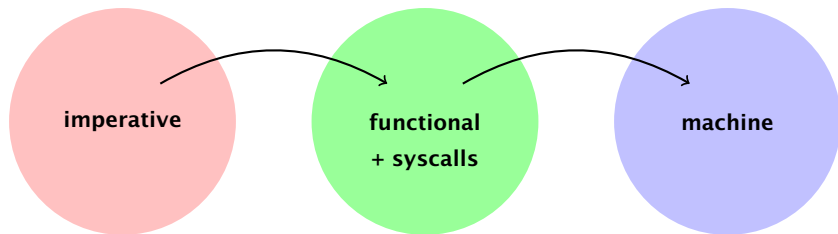
imperative

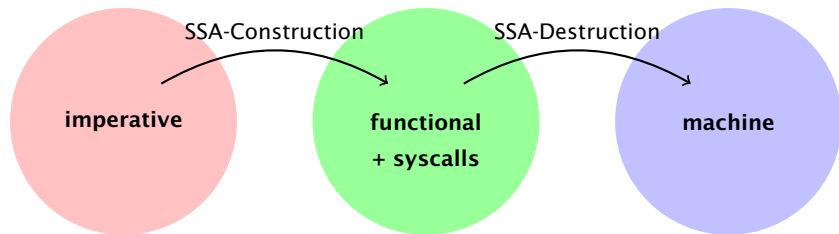


**functional
+ syscalls**

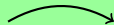


machine





value optimizations



**functional
+ syscalls**

Overview

In this talk

- 1 Program equivalence
 - ▶ System calls
 - ▶ Inductive proofs
 - ★ Allow modification of function signatures
- 2 Semantic specification of a value optimization
 - ▶ suitable for GVN-CSE
 - ▶ inductive correctness proof
- 3 Sparse Conditional Constant Propagation (SCCP) of Wegman and Zadeck (1991) in this framework

$s, t ::= \text{let } x = e \text{ in } s$	let (base-types)
$\text{if } e \text{ then } s \text{ else } t$	conditional
x	value
$\text{fun } f \bar{x} = s \text{ in } t$	recursive function
$f \bar{e}$	application
$\text{let } x = \text{extern } f \bar{e} \text{ in } s$	extern call

- First-order functional language with tail-call restriction
- Expressions in conditionals and function applications
- Non-deterministically choose return value for extern functions

$$\text{Op} \frac{V \vdash e \Downarrow v}{L \mid V \mid \text{let } x = e \text{ in } s} \rightarrow L \mid V_v^x \mid s$$

$$\text{If} \frac{\text{val2bool}(v) = i \quad V \vdash e \Downarrow v}{L \mid V \mid \text{if } x \text{ then } s_0 \text{ else } s_1} \rightarrow L \mid V \mid s_i$$

Semantics of IL/I and IL/F

Difference: Application Rule of IL/I

IL/I: Dynamic binding

```

1 x := 7;
2 fun f () = x in
3 x := 5; f ()
  
```

IL/I-Let

$$\frac{L \quad | V \quad | \text{fun } f \bar{x} = s \text{ in } t}{\rightarrow L, f := (\bar{x}, s) \quad | V \quad | t}$$

IL/I-App

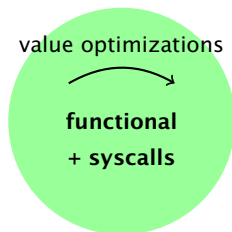
$$\frac{L, f := (\bar{x}, s), L' \quad | V \quad | f \bar{y}}{\rightarrow_l L, f := (\bar{x}, s) \quad | V_{V\bar{y}}^{\bar{x}} \quad | s}$$

V' : Closure Environment

V : Primary Environment

$$\text{Extern} \frac{V \vdash \bar{e} \Downarrow \bar{v} \quad w \in \mathbb{V}}{L \mid V \mid \text{let } x = \text{extern } f \bar{e} \text{ in } s} \\ \xrightarrow{(f, \bar{v}, w)} L \mid V_w^x \mid s$$

- Introduces non-determinism (any $w \in \mathbb{V}$ will do)
- *external* non-determinism: If observation know, deterministic
- *internal* non-determinism is not allowed
 - And our definitions exploit that



1 Program Equivalence

- ▶ Bisimilarity
- ▶ Similarity

Program Equivalence

Bisimilarity and Similarity

$$\text{BS} \frac{\sigma_1 \xrightarrow{+} \sigma'_1 \quad \sigma_2 \xrightarrow{+} \sigma'_2 \quad \sigma'_1 \sim \sigma'_2}{\sigma_1 \sim \sigma_2}$$

$$\text{BC} \frac{v \in R \cup \{\perp\} \quad \sigma_1 \Downarrow v \quad \sigma_2 \Downarrow v}{\sigma_1 \sim \sigma_2}$$

$$\text{BN} \frac{\begin{array}{l} \sigma_1, \sigma_2 \text{ activated} \\ \sigma_1 \xrightarrow{+} \sigma'_1 \\ \sigma_2 \xrightarrow{+} \sigma'_2 \end{array} \quad \begin{array}{l} \forall \sigma''_1, \sigma'_1 \xrightarrow{o} \sigma''_1 \Rightarrow \exists \sigma''_2, \sigma'_2 \xrightarrow{o} \sigma''_2 \wedge \sigma''_1 \sim \sigma''_2 \\ \forall \sigma''_2, \sigma'_2 \xrightarrow{o} \sigma''_2 \Rightarrow \exists \sigma''_1, \sigma'_1 \xrightarrow{o} \sigma''_1 \wedge \sigma''_1 \sim \sigma''_2 \end{array}}{\sigma_1 \sim \sigma_2}$$

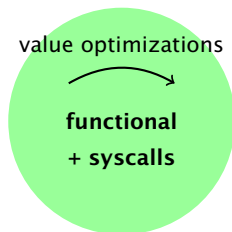
σ activated if there is a next step, and it is not silent

Program Equivalence

Bisimilarity and Similarity

$$\text{BE} \frac{\sigma_1 \Downarrow \perp}{\sigma_1 \sim \sigma_2}$$

- Bisimilarity (BS,BC,BN) preserves error
- Similarity (BS,BC,BN,BE) is an implementation relation
 - ▶ If first program errs, no further restriction on second
 - ▶ External events up to error must be preserved



1 Program Equivalence

- ▶ Bisimilarity
- ▶ Similarity

2 Inductive Proof Technique

Inductive Proof Technique

What programs do we want to prove similar?

```
1 let x = 5 + 9 in  
2 fun f (y) = y in  
3 f (x)
```

```
1 fun f () = 14 in  
2 f ()
```

- Constant folding + parameter elimination
- Suppose: proof by induction on the program
- Induction hypothesis must be strong enough to show that $f(x)$ (left) is equivalent to $f()$ (right)

Inductive Proof Technique

Extension Lemma Enables Inductive Proofs

- \mathcal{A} relates arguments, \mathcal{P} relates parameters each indexed by analysis information a
- $f := (\bar{x}, E, s), L \approx_{a,A} f' := (\bar{x}', E', s'), L'$ if
 - ▶ parameters are related: $\mathcal{P} a \bar{x} \bar{x}'$
 - ▶ for \mathcal{A} a -related arguments, f, f' equivalent (similar)
 - ▶ inductively, $L \approx_A L'$
- $\bar{x}, E, s \sim_{a,A} \bar{x}', E', s'$ if $\mathcal{P} a \bar{x} \bar{x}'$ and for all $\bar{y} \bar{y}' LL'$

$$\mathcal{A} a \bar{y} \bar{y}' \Rightarrow L \approx_{a,A} L' \Rightarrow (L, E[\bar{x} \mapsto \bar{y}], s) \sim (L', E'[\bar{x}' \mapsto \bar{y}'], s')$$

Lemma (Extension)

If $L \approx_A L'$ and $\bar{x}, E, s \sim_{a,A} \bar{x}', E', s'$ then

$$f := (\bar{x}, E, s), L \approx_{a,A} f' := (\bar{x}', E', s'), L'$$

- 1 Suppose equivalence proof by induction on the syntax:

$$L \approx_A L' \Rightarrow (L, E, s) \sim (L', E', s')$$

Inductive Proof Technique

Extension Lemma

- 1 Suppose equivalence proof by induction on the syntax:

$$L \approx_A L' \Rightarrow (L, E, s) \sim (L', E', s')$$

- 2 Critical case is function definition:

$$(L, E, \text{fun } f \bar{x} = s \text{ in } t) \sim (L', E', \text{fun } f \bar{x}' = s' \text{ in } t')$$

Inductive Proof Technique

Extension Lemma

- 1 Suppose equivalence proof by induction on the syntax:

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- 3 \sim closed under expansion, reduce one step (left and right):

$$(f := (\bar{x}, E, s); L, E, t) \sim (f := (\bar{x}', E', s'); L', E', t')$$

Inductive Proof Technique

Extension Lemma

- 1 Suppose equivalence proof by induction on the syntax:
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- 4 Apply IH for t , but now have to show
 $f := (\bar{x}, E, s), L \approx_{a,A} f := (\bar{x}', E', s'), L'$

Inductive Proof Technique

Extension Lemma

- 1 Suppose equivalence proof by induction on the syntax:

$$L \approx_A L' \Rightarrow (L, E, s) \sim (L', E', s')$$

- 2 Critical case is function definition:

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- 4 Apply IH for t , but now have to show

$$f := (\bar{x}, E, s), L \approx_{a,A} f' := (\bar{x}', E', s'), L'$$

- 5 Apply extension lemma, reduces goal to: For all $y y' L_1 L'_1$

$$\mathcal{A} a y y' \Rightarrow L_1 \approx_{a,A} L'_1 \Rightarrow (L_1, E[\bar{x} \mapsto \bar{y}], s) \sim (L'_1, E'[\bar{x}' \mapsto \bar{y}'], s')$$

Inductive Proof Technique

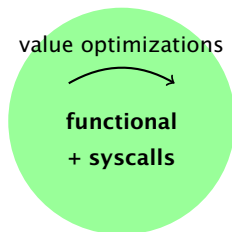
Extension Lemma

- 1 Suppose equivalence proof by induction on the syntax:
 $L \approx_A L' \Rightarrow (L, E, s) \sim (L', E', s')$
- 2 Critical case is function definition:
 $(L, E, \text{fun } f \bar{x} = s \text{ in } t) \sim (L', E', \text{fun } f \bar{x}' = s' \text{ in } t')$
- 3 \sim closed under expansion, reduce one step (left and right):
 $(f := (\bar{x}, E, s); L, E, t) \sim (f := (\bar{x}', E', s'); L', E', t')$
- 4 Apply IH for t , but now have to show
 $f := (\bar{x}, E, s), L \approx_{a,A} f := (\bar{x}', E', s'), L'$
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 $\mathcal{A} a y y' \Rightarrow L_1 \approx_{a,A} L'_1 \Rightarrow (L_1, E[\bar{x} \mapsto \bar{y}], s) \sim (L'_1, E'[\bar{x}' \mapsto \bar{y}'], s')$
- 6 Discharge by IH for s

Inductive Proof Technique

Extension Lemma

- Extension lemma works for
 - ▶ both bisimilarity and similarity
 - ▶ IL/F (shown before)
 - ▶ IL/I (analogous, but slightly different definition)
- Examples proven with extension lemma
 - ▶ Dead variable elimination
 - ▶ Value Optimizations (up next)
- Optimizations that remove code are no problem



- 1 Program Equivalence
 - Bisimilarity
 - Similarity
- 2 Inductive Proof Technique
- 3 Value Optimizations

Value Optimizations

Considerations for a correctness criterion for value optimizations

- When can an expression e be replaced by an expression e' ?

If e' evaluates in at least the environments occurring during program execution to the same value as e

- What if e does not evaluate to a value?

Impose no requirement on e'

- How to characterize the environments that occur during program execution?

Restrict to environments that satisfy a certain set of equations

Value Optimizations

Some semantic definitions

- Define $E \models e = e'$ to hold if e and e' evaluate to the same value or are both undefined under E
- Lift to sets of equations Γ in point-wise manner: $E \models \Gamma$
- Define $E \models e \sqsubseteq e'$ to hold if whenever e evaluates to a value under E , then e' evaluates to the same value
- Define approximation $\Gamma \models e \sqsubseteq e'$ as

$$\forall E, E \models \Gamma \implies E \models e \sqsubseteq e'$$

Value Optimization

A word on decidability

- $E \models \Gamma$ decidable (for finite Γ , simple enough expression language, and finite value domain)
 - ▶ Challenge: efficient decision procedure
 - ▶ In this talk: decidability not required
- Semantic specification is suitable for a wide range of value optimizations:
 - ▶ GVN-based common subexpression elimination (not done)
 - ▶ copy propagation (done)
 - ▶ conditional constant propagation (done)

Soundness for Value Optimizations

Judgement

$$\Lambda \mid \Delta \vdash \mathbf{vopt} \ s / \ s' : \Gamma$$

Λ	information about functions
Δ	set of defined variables
s	source program
s'	translated program
Γ	set of equations

*Under assumptions Λ about the functions appearing in s and s' ,
 and assuming all variables in Δ are defined
 s' simulates s
 in every environment that satisfies the equations Γ*

- inductively defined
- requires s to be renamed apart

$$\boxed{\Lambda \mid \Delta \vdash \mathbf{vopt} \ s / s' : \Gamma}$$

$$\text{Op} \frac{\Gamma \models e \sqsubseteq e' \quad \mathcal{V}(e') \subseteq \Delta \quad \Lambda \mid \Delta \cup \{x\} \vdash \mathbf{vopt} \ s / s' : \{x = e, x = e'\} \cup \Gamma}{\Lambda \mid \Delta \vdash \mathbf{vopt} \ \text{let } x = e \text{ in } s / \text{let } x = e' \text{ in } s' : \Gamma}$$

$$\Lambda \mid \Delta \vdash \mathbf{vopt} \ s / s' : \Gamma$$

$$\text{Op} \frac{\Gamma \models e \sqsubseteq e' \quad \mathcal{V}(e') \subseteq \Delta \quad \Lambda \mid \Delta \cup \{x\} \vdash \mathbf{vopt} \ s / s' : \{x = e, x = e'\} \cup \Gamma}{\Lambda \mid \Delta \vdash \mathbf{vopt} \ \text{let } x = e \text{ in } s / \text{let } x = e' \text{ in } s' : \Gamma}$$

■ Γ may become inconsistent

- ▶ No E with $E y = 0$ will satisfy $\{x = y \cdot y/y, x = y\}$
- ▶ But if $E y = 0$ behavior undefined after executing $y \cdot y/y$
- ▶ Strengthens what $\Gamma \models e \sqsubseteq e'$ means here

Soundness for Value Optimizations

Rules

$$\text{Fun} \frac{
 \begin{array}{l}
 \Lambda, f : (\bar{x}, \Delta, \Gamma_f, \Gamma') \mid \Delta \vdash \mathbf{vopt} \ t / t' : \Gamma \qquad \Gamma \Rightarrow \Gamma' \\
 \Lambda, f : (\bar{x}, \Delta, \Gamma_f, \Gamma') \mid \Delta \cup \bar{x} \vdash \mathbf{vopt} \ s / s' : \Gamma' \cup \Gamma_f \qquad \mathcal{V}(\Gamma_f) \subseteq \Delta \cup \bar{x} \\
 \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \mathcal{V}(\Gamma') \subseteq \Delta
 \end{array}
 }{
 \Lambda \mid \Delta \vdash \mathbf{vopt} \ \mathbf{fun} \ f \ \bar{x} = s \ \mathbf{in} \ t / \mathbf{fun} \ f \ \bar{x} = s' \ \mathbf{in} \ t' : \Gamma
 }$$

```

1 fun f (y) = y in
2 let x = ... in
3 f (x)
  
```

- $\mathcal{V}(\Gamma_f) \subseteq \Delta \cup \bar{x}$ required for scoping
- $\mathcal{V}(\Gamma') \subseteq \Delta$ because Γ could be inconsistent

$$\text{App} \frac{\Gamma \models \bar{y} \sqsubseteq \bar{y}' \quad \Gamma \Rightarrow \Gamma_f[\bar{x} \mapsto \bar{y}']}{\Lambda, f : (\bar{x}, \Delta_f, \Gamma_f, \Gamma'_f), \Lambda' \mid \Delta \vdash \mathbf{vopt} f \bar{y} / f \bar{y}' : \Gamma}$$

- $\Gamma \models \bar{y} \sqsubseteq \bar{y}'$ means either both lists fully defined and equivalent, or first list contains at least one expression that is undefined
- $\Gamma_f[\bar{x} \mapsto \bar{y}']$ does not mention parameters anymore

$$\text{Cond} \frac{\begin{array}{l} \Gamma \not\models e \neq 0 \Rightarrow \Lambda \mid \Delta \vdash \mathbf{vopt} \ s / s' : \Gamma \cup \{e \neq 0\} \\ \Gamma \not\models e = 0 \Rightarrow \Lambda \mid \Delta \vdash \mathbf{vopt} \ t / t' : \Gamma \cup \{e = 0\} \end{array} \quad \Gamma \models e \sqsubseteq e'}{\Lambda \mid \Delta \vdash \mathbf{vopt} \ \text{if } e \text{ then } s \text{ else } t / \text{if } e' \text{ then } s' \text{ else } t' : \Gamma}$$

■ Supports *conditional* analyses

- ▶ If according to Γ , a branch is unreachable, no proof obligation
- ▶ Exploit knowledge about value of condition inside branch

Value Optimizations

Correctness proofs for value optimization

■ Proof without *vopt*

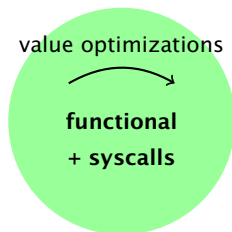
- 1 Specify sound analysis result (s.t. efficiently decidable)
- 2 Write transformation
- 3 Prove correctness semantically

■ Proof with *vopt*

- 1 Specify sound analysis result (s.t. efficiently decidable)
- 2 Write transformation
- 3 Prove that *vopt* holds given sound analysis result

■ Examples proven with *vopt*

- ▶ Copy Propagation
- ▶ Sparse Conditional Constant Propagation (in this talk)
- ▶ GVN-CSE (not finished yet)



- 1 Program Equivalence
 - Bisimilarity
 - Similarity
- 2 Inductive Proof Technique
- 3 Value Optimizations
- 4 Constant Propagation

Constant Propagation with Conditional Branches

MARK N. WEGMAN and F. KENNETH ZADECK
IBM T. J. Watson Research Center

- POPL 1991: Sparse Conditional Constant Propagation (SCCP)
- Sparse
 - ▶ Analysis tracks one global mapping $\mathcal{V} \rightarrow \mathbb{V} \cup \{\top\}$
 - ▶ Sparseness is one of the original SSA-promises (and we achieve it)
- Conditional
 - ▶ Use analysis information to identify unreachable branches in conditionals *during fixpoint computation*
 - ▶ Accommodated by Cond-rule
- More powerful than applying dead code elimination and constant propagation individually

Constant Propagation with Conditional Branches

MARK N. WEGMAN and F. KENNETH ZADECK
IBM T. J. Watson Research Center

Conditional

```
1 fun f (x) =  
2   if x then x  
3   else f (x - 1)  
4 in f (5)
```

↓ Optimization

```
1 fun f () = 5  
2 in f ()
```

Constant Propagation with Conditional Branches

MARK N. WEGMAN and F. KENNETH ZADECK
 IBM T. J. Watson Research Center

Conditional

```

1 fun f (x) =
2   if x then x
3   else f (x - 1)
4 in f (5)
  
```

↓ Optimization

```

1 fun f () = 5
2 in f ()
  
```

Uses conditions

```

1 if x = 5 then x
2 else y
  
```

↓ Optimization

```

1 if x = 5 then 5
2 else y
  
```


- ***vopt*** does not support dead code elimination
- Two phases: optimization + dead variable elimination (DVE)
 - ▶ Analysis is conditional
 - ▶ Optimization leaves the conditional intact, but replaces the condition with constant
 - ▶ Run DVE afterwards (removes conditionals with constant condition)
 - ▶ Same principle for dead variables
- DVE is proven with extension lemma by induction (material for another talk)

Sparse Conditional Constant Propagation

Example

```
1 let x = 5 + 9 in  
2 fun f (y) = y in  
3 f (x)
```

↓ SCCP

```
1 let x = 14 in  
2 fun f (y) = 14 in  
3 f (14)
```

↓ DVE

```
1 fun f () = 14 in  
2 f ()
```

$$\Lambda \vdash \mathit{sccp} \ s : \kappa$$

Λ information about functions
 κ constants $\mathcal{V} \rightarrow \mathbb{V} \cup \{\top\}$
 s source program

Under assumptions Λ about the functions appearing in s variables in s can be replaced according to κ in every environment that refines κ on $\mathcal{V}(s)$

- inductively defined
- requires s to be renamed apart

$$\text{Cond} \frac{\begin{array}{l} \text{val2bool}(\llbracket e \rrbracket \kappa) \neq 0 \Rightarrow \Lambda \vdash \mathbf{sccp} \ s : \text{upd} \ \kappa \ e \ 1 \\ \text{val2bool}(\llbracket e \rrbracket \kappa) \neq 1 \Rightarrow \Lambda \vdash \mathbf{sccp} \ t : \text{upd} \ \kappa \ e \ 0 \end{array}}{\Lambda \vdash \mathbf{sccp} \ \text{if } e \ \text{then } s \ \text{else } t : \kappa}$$

$$\text{upd} \ \kappa \ e \ b = \begin{cases} \kappa[x \mapsto 0] & b = 0 \wedge e \equiv x \\ \kappa[x \mapsto c] & b = 1 \wedge e \equiv x = c \\ \kappa[x \mapsto c] & b = 0 \wedge e \equiv x \neq c \end{cases}$$

Soundness for Value Optimizations

Rules

$$\text{Cond} \frac{\begin{array}{l} \text{val2bool}(\llbracket e \rrbracket \kappa) \neq 0 \Rightarrow \Lambda \vdash \mathbf{sccp} \ s : \text{upd} \ \kappa \ e \ 1 \\ \text{val2bool}(\llbracket e \rrbracket \kappa) \neq 1 \Rightarrow \Lambda \vdash \mathbf{sccp} \ t : \text{upd} \ \kappa \ e \ 0 \end{array}}{\Lambda \vdash \mathbf{sccp} \ \text{if } e \ \text{then } s \ \text{else } t : \kappa}$$

- κ can be turned into a set of equations $\llbracket \kappa \rrbracket_{\Delta}$ (restricted to variables from Δ)
- $\llbracket \kappa \rrbracket_{\Delta} \not\# e = 0 \Rightarrow \text{val2bool}(\llbracket e \rrbracket \kappa) \neq 0$
- $\llbracket \kappa \rrbracket_{\Delta} \cup \{e \neq 0\} \Rightarrow \llbracket \text{upd} \ \kappa \ e \ 1 \rrbracket_{\Delta}$
- Similar obligations for alternative branch

- 1** Inductive method scales to interesting transformations
 - ▶ Dead variable elimination
 - ▶ Value optimizations
- 2** Constant Propagation (SCCP) in a functional setting
 - ▶ Sparse analysis as promised by SSA
 - ▶ Inductive Proof
- 3** Challenges
 - ▶ GVN fixpoint analysis
 - ▶ Performance

Thank you for listening! Questions?

- Barthe, Gilles, Delphine Demange, and David Pichardie (2012). “A Formally Verified SSA-Based Middle-End - Static Single Assignment Meets CompCert”. In: *Programming Languages and Systems - 21st European Symposium on Programming*. Ed. by Helmut Seidl. LNCS. Tallinn, Estonia.
- Leroy, Xavier (2009). “A Formally Verified Compiler Back-end”. In: *JAR* 4.
- Wegman, Mark N. and F. Kenneth Zadeck (1991). “Constant Propagation with Conditional Branches”. In: *ACM Trans. Program. Lang. Syst.* 2. issn: 0164-0925. doi: 10.1145/103135.103136.

We present a semantic specification of a common subexpression elimination (CSE) based on global value numbering (GVN) in the setting of a functional intermediate language. The language includes system calls and expressions that may err (division by zero). Semantic preservation for GVN-CSE is shown via induction. So far, we have implemented and verified copy propagation and constant folding against the specification; the implementation of GVN analysis is in progress.