Ornaments Exploiting Parametricity for Safer, More Automated Code Transformations

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based on joined work with Thomas Williams



informatics mathematics

Haskell Symposium 2017

$Haskell \triangleleft me_{Hope, Miranda} ML \qquad \rhd OCaml$

In common, since the origin...

- Datatypes & Pattern-matching
- First-class functions
- Polymorphism
- ▷ Type inference

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- Therefore,
 - Programs are safer by construction

 (and Haskell ones perhaps even more...)
 - Still, they sometimes need to be modified...

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- Therefore,
- ▷ Type inference

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- Still, they sometimes need to be modified...

Program refactoring and evolution

- Surprisingly, it has been little explored by our communities
- But there are interesting things we can do, because:
 - programs being structured around datatypes
 - polymorphism and type inference.

Plan

In this talk,

- I will show how a small subcase of code refactoring and code refinement based on ornements can be put into practice in languages such as OCaml or (core) Haskell.
 - Examples
 - Look under the hood
- I will also draw conclusions from this experience, and discuss code evolution in more general terms.

This is largely based on joined work with Thomas Williams.

Ornaments have been introduced by Conor McBride and explored with Pierre-Évariste Dagan in the context of Adga.

```
type exp =
 | Con of int
 | Add of exp × exp
 | Mul of exp × exp
let parse x = Add (x, Con 42)
let rec eval e = match e with
 | Con i \rightarrow i
 | Add (u, v) \rightarrow add (eval u) (eval v)
 | Mul (u, v) \rightarrow mul (eval u) (eval v)
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```

```
type binop' = Add' | Mul'

type exp' =

| Con' of int

| Bin' of binop' \times exp' \times exp'

let parse \times = Add (\times, Con 42)

let rec eval e = match e with

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| Add (u, v) \rightarrow

add (eval' u) (eval v)

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```
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    | Con' of int
    | Bin' of binop' × exp' × exp'
let parse x = Bin'(Add', x, Con' 42)
let rec eval e = match e with
    | Con i \rightarrow i
    | Add (u, v) \rightarrow
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```

However

- We have to do manually what could be done automatically
- This may be long and error prone !
- We should guarantee that the input and output programs are related

type binop' = Add' | Mul'

| Bin' of binop' × exp' × exp' let parse × = Bin'(Add', ×, Con' 42)

add (eval u) (eval v)

mul (eval u) (eval v)

let rec eval e = match e with

Bin'(Add', u, v) \rightarrow

Bin'(Mul', u, v) \rightarrow

type exp' =

Con' of int

Con' i \rightarrow i

▶ We may miss places where a change is necessary (when types agree)

```
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let exp x = Add (x, Con 42)
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```

type ornament $oexp : exp \Rightarrow exp'$ with $| Con i \Rightarrow Con' i$ $| Add(u, v) \Rightarrow Bin'(Add', u, v)$ $| Mul(u, v) \Rightarrow Bin'(Mul', u, v)$ when u v : oexp

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let exp × = Add (x, Con 42)
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    | Bin'(Add', u, v) →
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```

type ornament $oexp : exp \Rightarrow exp'$ with $| Con i \Rightarrow Con' i$ $| Add(u, v) \Rightarrow Bin'(Add', u, v) / when <math>oexp : u : exp \Rightarrow u : exp'$ $| Mul(u, v) \Rightarrow Bin'(Mul', u, v) / and <math>oexp : v : exp \Rightarrow v : exp'$

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```

```
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    | Bin' of binop' \times exp' \times exp'
let parse \times = Bin'(Add', \times, Con' 42)
let rec eval e = match e with
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    | Bin'(Add', u, v) \rightarrow
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blue + red \implies green

lifting * with oexp

(reversed)

```
type exp =
| Con of int
| Add of exp × exp
| Mul of exp × exp
let exp x = Add (x, Con 42)
let rec eval e = match e with
| Con i \rightarrow i
| Add (u, v) \rightarrow add (eval u) (eval v)
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```

```
type binop' = Add' | Mul'
type exp' =
    | Con' of int
    | Bin' of binop' \times exp' \times exp'
let parse \times = Bin'(Add', \times, Con' 42)
let rec eval e = match e with
    | Con' i \rightarrow i
    | Bin'(Add', u, v) \rightarrow
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```

type ornament $oexp : exp' \Rightarrow exp$ with $| Con'i \Rightarrow Coni$ $| Bin'(Add', u, v) \Rightarrow Add(u, v)$ when uv : oexp $| Bin'(Mul', u, v) \Rightarrow Mul(u, v)$ when uv : oexp

blue + red \implies green

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Input program

Input program

```
let process config x = match config with
gt x (match config with True \rightarrow 0 | False \rightarrow 1)
let myconfig = True
let main = process myconfig 42
```

Safely exchanging the values of boolean, selectively

```
type ornament inverse : bool \Rightarrow bool with| True \Rightarrow False| False \Rightarrow Truelet process = lifting process : inverse \rightarrow \_ \rightarrow \_let myconfig = lifting myconfig : inverselet main = lifting main : bool
```

Output program

```
let process config x = match config with
gt x (match config with True \rightarrow 1 | False \rightarrow 0)
let myconfig = False
let main = process myconfig 42
```

Safely exchanging the values of boolean, selectively

```
type ornament inverse : bool \Rightarrow bool with| True \Rightarrow False| False \Rightarrow Truelet process = lifting process : inverse \rightarrow \_ \rightarrow \_let myconfig = lifting myconfig : inverselet main = lifting main : bool
```

- The inverse transformation is used selectively.
- The ornamentation typechecking / inference tracks the relations between the old and new versions of bool and ensures consistency.

Output program incomplete!

```
let process config x = match config with
gt x (match config with True \rightarrow 1 | False \rightarrow 0)
let myconfig = True
let main = process [missing ornament for myconfig] 42
```

Unsafely exchanging the values of boolean, selectively

```
type ornament inverse : bool \Rightarrow bool with| True \Rightarrow False| False \Rightarrow Truelet process = lifting process : inverse \rightarrow \_ \rightarrow \_let myconfig = lifting myconfig : boollet main = lifting main : bool
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- ► The inverse transformation is used selectively.
- The ornamentation typechecking / inference tracks the relations between the old and new versions of bool and ensures consistency.

```
type exp =
   App of exp \times exp
   Con of int
   Abs of (exp \rightarrow exp)
let rec eval e = match e with
    Con i \rightarrow Some (Con i)
    Abs f \rightarrow Some (Abs f)
   App (u, v) \rightarrow
    (match eval u with
        None \rightarrow None
        Some (Con i) \rightarrow None
        Some (App (u, v)) \rightarrow None
        Some (Abs f) \rightarrow
        (match eval v with
           Some x \rightarrow \text{eval}(f x) \mid ...)
```

```
type exp =
   App of exp \times exp
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let rec eval e = match e with
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        Some (Con i) \rightarrow None
       Some (App (u, v)) \rightarrow None
        Some (Abs f) \rightarrow
        (match eval v with
           Some x \rightarrow \text{eval}(f x) \mid ...)
```

```
type exp' =
  | App' of exp' × exp'
  | Val of value'
and value' =
  | Con' of int
  | Abs' of (value' → exp')
```

```
type exp =
   App of exp \times exp
   Con of int
   Abs of (exp \rightarrow exp)
let rec eval e = match e with
    Con i \rightarrow Some (Con i)
    Abs f \rightarrow Some (Abs f)
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        Some (Con i) \rightarrow None
       Some (App (u, v)) \rightarrow None
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        (match eval v with
           Some x \rightarrow \text{eval}(f x) \mid ...)
```

```
type exp' =
   App' of exp' \times exp'
   Val of value'
and value' =
   Con' of int
   Abs' of (value' \rightarrow \exp')
let rec eval' e = match e with
   Con' i \rightarrow Some (Int i)
   Abs' f \rightarrow Some (Fun f)
   App'(u, v) \rightarrow
    (match eval' u with
       None \rightarrow None
       Some (Con' i) \rightarrow None
       Some (Abs' f) \rightarrow
        (match eval' v with
          Some x \rightarrow eval'(f x) | ...)
```

```
type exp =
                                                      type exp' =
   App of exp \times exp
                                                          App' of exp' \times exp'
   Con of int
                                                         Val of value'
                                                      and value' =
   Abs of (exp \rightarrow exp)
                                                          Con' of int
                                                          Abs' of (value ' \rightarrow \exp)
           type ornament oexp : exp \Rightarrow exp' with
             Con i \Rightarrow Val (Con' i)
            Abs f \Rightarrow Val (Abs' f) when f : ovalue \rightarrow oexp
             App (u,v) \Rightarrow App' (u, v) when uv : oexp
           and ovalue : exp \Rightarrow value' with
             \mathsf{Con} \ \mathsf{i} \qquad \Rightarrow \ \mathsf{Con'} \ \mathsf{i}
            | Abs f \Rightarrow Abs' f when f : ovalue \rightarrow oexp
             App (u,v) \Rightarrow \sim
```

indicates an impossible case

Code specialization: sets as unit maps

A set can be seen as a unit map

```
\begin{array}{l} \textbf{type } \alpha \ \texttt{map} = \\ | \ \texttt{Mnode of} \ \alpha \ \texttt{map} \times \textbf{key} \times \alpha \times \alpha \ \texttt{map} \\ | \ \texttt{Mempty} \end{array}
```

Code specialization: sets as unit maps

A set can be seen as a unit map

```
type \alpha map =

| Mnode of \alpha map \times key \times \alpha \times \alpha map

| Mempty
```

but it can use a more compact representation:

```
type set =
    Snode of set × key × set
    Sempty
```

Code specialization: sets as unit maps

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    Snode of set × key × set
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```

We may automate the translation:

```
\begin{array}{l} \textbf{type ornament } mapset : \textbf{unit map} \Rightarrow \textbf{set with} \\ \mid \ \mathsf{Mnode}(\mathsf{l},\mathsf{k}\,,(),\,\mathsf{r}\,) \Rightarrow \ \mathsf{Snode}(\mathsf{l}\,,\mathsf{k}\,,\mathsf{r}\,) \ \textbf{when} \ \mathsf{l} \ \mathsf{r} \ : \ \mathsf{mapset} \\ \mid \ \mathsf{Mempty} \rightarrow \ \mathsf{Sempty} \end{array}
```

lifting * with mapset

NB: Will keep passing extra unit parameters in auxiliary functions

► These can also be removed by ornamentation of the arguments

Code generalization: from sets to maps

```
type set =
    Snode of set × key × set
    Sempty
```

```
type \alpha map =
| Mnode of \alpha map \times key \times \alpha \times \alpha map
| Mempty
```

```
type ornament \alpha setmap : set \Rightarrow \alpha map with
| Snode(I,k,r) \Rightarrow Mnode(I,k,_,r) when I r : \alpha setmap
| Mempty \Rightarrow Sempty
```

- ► The ornament relation α setmap is not a function: $\forall \mathbf{v} : \alpha$, $Snode(I, k, r) \Rightarrow Mnode(I, k, \mathbf{v}, r)$
- The code can only be partially lifted
- The missing parts must be user provided

This is the initial idea of Conor when introducing ornaments...
A simpler example: nat & list

(used as a running example to explain the details of lifting.)

Similar types

type nat = Z | S of nat **type** α list = Nil | Cons **of** $\alpha \times \alpha$ list

With similar values

un sin	IIIdi	values						proj. 🔪 🔪			
S	(S	(S	(Ζ)))		(length) function	٢١	Ornament. relation
Cons	(1,	Cons	(2,	Cons	(3,	Nil)))				



type ornament
$$\alpha$$
 natlist : nat $\Rightarrow \alpha$ list **with**
 $\mid Z \Rightarrow Nil$
 $\mid S m \Rightarrow Cons (_, m)$ when α natlist : $m \Rightarrow m$

The stands for any value; may only appear on the right-hand side

add & append

```
let rec add m n = match m with

| Z \rightarrow n

| S m' \rightarrow S (add m' n)
```

add & append



add & append

```
let rec add m n = match m with

| Z \rightarrow n

| S m' \rightarrow S (add m' n)
```

Lifting (partial) missing information

```
let rec add m n = match m with

| Z → n

| S m' → S (add m' n)

let append = lifting add : _ natlist → _ natlist → _ natlist

with #1 ← (match m with Cons (x, _) → x)

let rec append m n = match m with

| Nil → n

| Cons(x, m') → Cons (x , append m' n)
```

How to proceed?

- in a principled manner—no arbitrary choices!
- so that the lifted program behaves similarly to the base one:

(add, append) $\in \alpha$ natlist $\rightarrow \alpha$ natlist $\rightarrow \alpha$ natlist

implies:

length (append n m) = add (length n) (length m)

Code reuse by abstraction a priori

A design principle for modularity

A design principle for modularity



A design principle for modularity



A design principle for modularity



Theorems for free

Parametricity ensures that the code F A and F B behaves the same up to the differences between A and B.

Lifting

No reasonable place for abstraction a priori



Lifting

Need to ornament some of the datatypes



Abstract over (depends only on) what is ornamented.





(4)15 / 33

Specialize according to the liftting specification



Simplify





(7)15 / 33





(9)15 / 33

Representing ornaments of nat

- We introduce a skeleton (open definition) of nat, to allow for hybrid nats where the head looks like a nat but the tail need not be a nat.
 type α natS = Z' | S' of α
- ► The ornamented datatype will piggy bag on this skeleton:

$$\begin{array}{c|c} list_proj \\ \hline \alpha & list & \longleftarrow & (\alpha & list) & natS \\ \hline let & list_proj & a & = & list_inj \\ match & a & with \\ | & Nil & \rightarrow Z' \\ | & Cons(_,xs) & \rightarrow S' & xs \\ \hline \end{array}$$

Representing ornaments of nat

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- ► The ornamented datatype will piggy bag on this skeleton:

$$\begin{array}{c|c} \text{list_proj} \\ \hline{\alpha} & \text{list} & \overbrace{(\alpha \text{ list}) \text{ natS}} \\ \hline \textbf{let} & \text{list_proj a = } & \text{list_inj} & \text{let} & \text{list_inj n x = } \\ \hline \textbf{match a with} & & \textbf{match n with} \\ & | & \text{Nil} \rightarrow \text{Z'} & | & \text{Z'} \rightarrow \text{Nil} \\ & | & \text{Cons}(_,\text{xs}) \rightarrow \text{S' xs} & | & \text{S' xs} \rightarrow \text{Cons}(x, \text{ xs}) \\ \hline \textbf{For convenience, we pack them in a datatype} \\ \hline \textbf{type} & (\alpha, \beta, \gamma) \text{ orn } = \{ & \textbf{inj} : \alpha \rightarrow \beta \rightarrow \gamma; & \textbf{proj} : \gamma \rightarrow \alpha \} \\ \hline \textbf{let} & \text{natlist} : & ((\alpha & \text{list}) & \text{natS}, \beta, \alpha & \text{list}) & \text{orn} \\ & = \{ & \textbf{inj} = & \text{list_proj} \} \end{array}$$

From add to append

From add to append

From add to append

```
let add_gen orn1 orn2 patch =
  let rec add m n =
    match orn1.proj m with
    | Z' → n
    | S' m' → orn2.inj (S' (add m' n)) (patch m n)
  in add
```

and back to append

```
let add_gen orn1 orn2 patch =
  let rec add m n =
    match orn1.proj m with
    | Z' → n
    | S' m' → orn2.inj (S' (add m' n)) (patch m n)
  in add
```

From add_gen back to append let append = add_gen natlist (fun m _ → match m with Cons(x,_) → x)

or back to add

```
let add gen orn_1 orn_2 patch =
     let rec add m n =
       match orn<sub>1</sub>.proj m with
          | Z' \rightarrow n
          | S' m' \rightarrow orn<sub>2</sub>.inj (S' (add m' n)) (patch m n)
     in add
From add gen back to append
  let append = add gen natlist natlist
                            (fun m _ \rightarrow match m with Cons(x,_) \rightarrow x)
From add gen back to add: by passing the "identity" ornament
  let natnat : (nat natSkel, \alpha, nat) orn =
     { proj = (fun n \rightarrow match n with Z \rightarrow Z' | S m \rightarrow S' m)
        inj = (fun n \times \rightarrow match n with Z' \rightarrow Z | S' m \rightarrow S m)}
  let add = add gen natnat natnat (fun \_ \rightarrow ())
```

Needed for coherence

- ▶ the same base type may be ornamented differently in different places
- except if their values (may) communicate

ML-style type inference

For the ornament natlist

let add_gen (orn₀: (_, _, γ_0) orn) (orn₁: (_, β_1 , γ_1) orn) p₁ =

let rec add m n =
match orn₀.proj m with
| Z'
$$\rightarrow$$
 n
| S' m' \rightarrow orn₁.inj (S' (add m' n)) (p₁ m n : β_1)

in add

Needed for coherence

- ▶ the same base type may be ornamented differently in different places
- except if their values (may) communicate

ML-style type inference

▶ If nat had 2 successor nodes, we would get ...

```
let add_gen (orn<sub>0</sub>: (_,_,\gamma_0) orn) (orn<sub>1</sub>: (_,\beta_1,\gamma_1) orn) p<sub>1</sub>
(orn<sub>2</sub>: (_,\beta_2,\gamma_1) orn) p<sub>2</sub> =
let rec add m n =
match orn<sub>0</sub>.proj m with
| Z' \rightarrow n
| S<sub>1</sub>' m' \rightarrow orn<sub>1</sub>.inj (S<sub>1</sub>' (add m' n)) (p<sub>1</sub> m n : \beta_1)
| S<sub>2</sub>' m' \rightarrow orn<sub>2</sub>.inj (S<sub>2</sub>' (add m' n)) (p<sub>2</sub> m n : \beta_2)
in add
```

Needed for coherence

- ▶ the same base type may be ornamented differently in different places
- except if their values (may) communicate

ML-style type inference

• ... and orn_1 and orn_2 should be identified

let add_gen (orn₀: (_,_, γ_0) orn) (orn₁: (_, β_1 , γ_1) orn) p₁

let rec add m n =
match orn_0.proj m with
| Z'
$$\rightarrow$$
 n
| S₁' m' \rightarrow orn₁.inj (S₁' (add m' n)) (p₁ m n : β_1)
| S₂' m' \rightarrow orn₁.inj (S₂' (add m' n)) (p₂ m n : β_1)
in add

 $p_2 =$

Needed for coherence

- ▶ the same base type may be ornamented differently in different places
- except if their values (may) communicate

ML-style type inference

▷ Suffices here, but the injection need a dependent type in fine

let add_gen (orn₀: (_,_,
$$\gamma_0$$
) orn) (orn₁: (_, β_1 , γ_1) orn) p₁
p₂ =

let rec add m n =
match orn₀.proj m with
| Z'
$$\rightarrow$$
 n
| S₁' m' \rightarrow orn₁.inj (S₁' (add m' n)) (p₁ m n : β_1)
| S₂' m' \rightarrow orn₁.inj (S₂' (add m' n)) (p₂ m n : β_2)
in add

Staging

We need meta-reduction to

- generate readable code (the one the user would have written)
- preserve the computational behavior/complexity, not just the meaning
- bring the lifted code back to ML

Mark meta-abstractions and meta-applications that have been introduced:

Staging

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Mark meta-abstractions and meta-applications that have been introduced:

let append = add_gen # natlist # natlist # (fun m _ \rightarrow match m with Cons(x, _) \rightarrow x)

Meta-reduction of the lifted code



- Reduce #-redexes at compile time.
- ► All #-abstractions and #-applications can actually be reduced.
- This is ensured just by typing!

Meta-reduction



- There remains some redundant pattern matchings...
- Decoding list to natS and encoding natS to list.
- We can eliminate the last one by reduction



And the other by extrusion... (commuting matches)



And the other by extrusion... (commuting matches)



and reducing again



and reducing again



Cons((match m with Cons(x,_) \rightarrow x), append m' n))







- We obtain the code for append.
- > This transformation also always eliminates all uses of dependent types.

```
let rec append m n =
  match m with
   | Nil \rightarrow n
   | Cons (x, xs) \rightarrow
        Cons (x, append m' n)
```

- ▶ We obtain the code for append.
- ► This transformation also always eliminates all uses of dependent types.

Beyond ornaments

Theoretical limits of ornaments

Theorem

The lifted code behaves as the base code up to the relation between values of the base type and values of the lifted type.

Corollary

Ornaments cannot change the behavior of the base code.

- X fix bugs
- 🗙 turn an implementation of merge sort into quick sort

Based on datatype transformations

- modify the control, e.g. CPS transform, defunctionalization, etc. deforestation
- × add a new unrelated constructor to a datatype (datatype extension)

Practical limits of ornaments

Lifting is syntactic

- × ornamentation points are derived from the syntax.
- X unfolding of recursion

A useful scenario for unfolding of recursion

- Use (homogeneous) fix-length (long enough) lists instead of tuples to benefit from library functions (*e.g.* maps and folds).
- Lift the code back into tuples for efficiency.

Solutions

- perform unfolding as a preprocessing
- extend the notion of syntactic lifting?







Why useful?

- undo the ornamentation...
- ▶ offer a simplified view: locations, type annotations on ASTs, etc.
- remove information in datatypes that became obsolete/erroneous
- change information by combination of with re-ornamentation



Trival case

 (binop example): ornamentation is bijective (no green) de-ornamentation is an an ornamentation.



Normal case

- The source is an ornamentation or the target.
 Need to throw away the group code (should be dead code of the source).
 - Need to throw away the green code (should be dead code on the left)



Normal case

- The source is an ornamentation or the target.
 Need to throw away the green code (should be dead code on the left)
- Related work: Type theory in color by Bernardy and Moulin (ICFP 2013) A type system to check (non) dependencies. The blue parts need to coincide exactly.



General case

 The blue may be depend on the green.
 Need code patches in the target to replace missed bindings and pattern matchings





 $P \longrightarrow P_1 \longrightarrow P_2 \cdots P_2$

General tooling already needed for pre/post processing

- Generate good names for new variables
- Pattern matching:
 - Transform deep pattern matching into narrow pattern matching.
 - Inverse transformation that restores deep pattern matching.
 - Factor identical branches.
- Introduce / inline let bindings.



General tooling already needed for pre/post processing

Code inference

- Could autofill or propose some of the patches
- Inferring code from types, possibly with addition constraints
- Any other forms of code inference could be used.



General tooling already needed for pre/post processing

Code inference

Ornamentation like transformations

- Ornamenting in several steps: complex but isomorphic transformations, followed by simpler, non-reversible ornamentations.
- ► Deornamentation could precede (or follow) ornamentation.
- Extensible datatypes ?
 See *Trees that grows* by Shayan Najd & Simon Peyton Jones:
 - Their solution is by abstraction a priori.
 - Abstraction a posteriori alternative?



General tooling already needed for pre/post processing

Code inference

Ornamentation like transformations

Other useful semantic preserving transformations?

- CPS transformation, Defunctionalization, Deforestation, etc.
- Many compiler optimisations could be made available to the user



General tooling already needed for pre/post processing

Code inference

Ornamentation like transformations

Other useful semantic preserving transformations?

Non-semantic preserving transformations

- Necessary, for completeness, and to fix bugs!
- Hopefully, can be reduced to only a few, small transformations inserted between well-behaved ones.

Modes of interaction

- The most appealing usage is probably in an interactive mode, in some IDE with in place changes.
- We also need a batch mode
 - ▶ to separate the concerns, be independent of any IDE
 - we may wish to maintain two versions in sync (e.g. locations)
 - or maintain older versions for archival
- Raises new questions:
 - Design the right syntax for describing transformations
 - Robustness to source changes:
 - Can a patch from A to B be adapted when A changes?
 - Merging of two transformations done in parallel . . .

Conclusion

We need a toolbox for safer, easier software evolution!

- ▶ With simple, composable, well-understood transformations
- Typed languages are a good setting:
 - ► Focus on type transformations, prior to code transformations.
 - Separate what can be automated, from what must be user provided
 - Abstraction a posteriori provides guidance and ensures a semantic preservation property
- Other applications of abstraction a posteriori? (boilerplate code?)

Ornaments are just one little tool

fits well within ML and could be further explored in many directions
(see more at http://gallium.inria.fr/~remy/ornaments/)

Let's automate the boring parts of programming!