

Recursive Subtyping for All

May 28, 2025

Cambium team, Inria Paris

Litao Zhou

University of Hong Kong

Programming Language Group

Supervised by Bruno C. d. S. Oliveira

Outline

Introduction

An Overview of $F_{\leq}^{\mu}$

Applications & Key Designs

Conclusion & Other Work

Recursive Types: What and Why?

- Useful for modeling structures that refer to themselves:
 - Algebraic Data Types (e.g., Lists, Trees)
 - Recursive Objects

Recursive Types: What and Why?

- Useful for modeling structures that refer to themselves:
 - Algebraic Data Types (e.g., Lists, Trees)
 - Recursive Objects

Class Example

```
class Point {  
  x : Int, y : Int,  
  move : Int → Int → Point  
}
```

As a Recursive Type

Can be represented as:

$$\mu\alpha. \{x : \text{Int}, y : \text{Int}, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}$$

Recursive Types: What and Why?

- Useful for modeling structures that refer to themselves:
 - Algebraic Data Types (e.g., Lists, Trees)
 - Recursive Objects

Class Example

```
class Point {  
  x : Int, y : Int,  
  move : Int → Int → Point  
}
```

As a Recursive Type

Can be represented as:

$$\mu\alpha. \{x : \text{Int}, y : \text{Int}, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}$$

Two Main Approaches

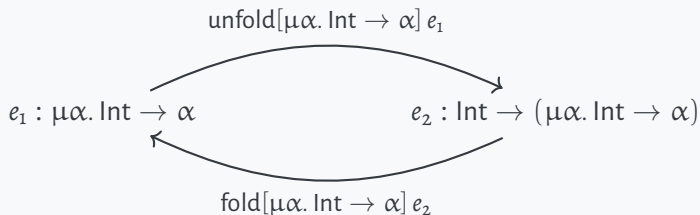
- **Equi-recursive types:** $\mu\alpha. A$ and its unfolding are considered equal.
- **Iso-recursive types:** $\mu\alpha. A$ and its unfolding $A[\mu\alpha. A/\alpha]$ are distinct but isomorphic up to unfold and fold operations. (Focus of this talk)

Iso-Recursive Types: Explicit Folding/Unfolding

- A recursive type $\mu\alpha. A$ is **not equal** to its one-step unfolding $A[\mu\alpha. A/\alpha]$.
- They are only isomorphic, requiring explicit conversion operators:
 - $\text{unfold}[\mu\alpha. A] : \mu\alpha. A \rightarrow A[\mu\alpha. A/\alpha]$
 - $\text{fold}[\mu\alpha. A] : A[\mu\alpha. A/\alpha] \rightarrow \mu\alpha. A$

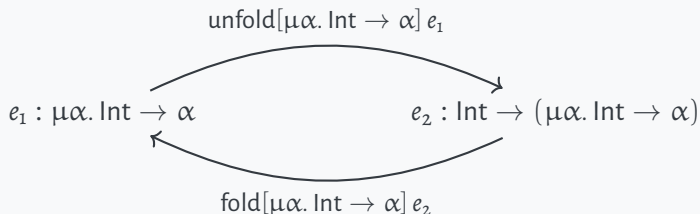
Iso-Recursive Types: Explicit Folding/Unfolding

- A recursive type $\mu\alpha. A$ is **not equal** to its one-step unfolding $A[\mu\alpha. A/\alpha]$.
- They are only isomorphic, requiring explicit conversion operators:
 - $\text{unfold}[\mu\alpha. A] : \mu\alpha. A \rightarrow A[\mu\alpha. A/\alpha]$
 - $\text{fold}[\mu\alpha. A] : A[\mu\alpha. A/\alpha] \rightarrow \mu\alpha. A$



Iso-Recursive Types: Explicit Folding/Unfolding

- A recursive type $\mu\alpha. A$ is **not equal** to its one-step unfolding $A[\mu\alpha. A/\alpha]$.
- They are only isomorphic, requiring explicit conversion operators:
 - $\text{unfold}[\mu\alpha. A] : \mu\alpha. A \rightarrow A[\mu\alpha. A/\alpha]$
 - $\text{fold}[\mu\alpha. A] : A[\mu\alpha. A/\alpha] \rightarrow \mu\alpha. A$



- unfold and fold operators are eliminated in the operational semantics.

$$\text{unfold}[A](\text{fold}[A]v) \hookrightarrow v$$

Iso-Recursive Subtyping

It is useful to have subtyping for iso-recursive types

Point Class

```
class Point {  
  x : Int, y : Int,  
  move : Int → Int → Point  
}
```

Iso-Recursive Subtyping

It is useful to have subtyping for iso-recursive types

Point Class

```
class Point {  
  x : Int, y : Int,  
  move : Int → Int → Point  
}
```

Colored Point Class (extends Point)

```
class ColorPoint {  
  color : String,  
  x : Int, y : Int,  
  move : Int → Int →  
    ColorPoint  
}
```

Iso-Recursive Subtyping

It is useful to have subtyping for iso-recursive types

Point Class

```
class Point {  
  x : Int, y : Int,  
  move : Int → Int → Point  
}
```

Colored Point Class (extends Point)

```
class ColorPoint {  
  color : String,  
  x : Int, y : Int,  
  move : Int → Int →  
    ColorPoint  
}
```

As Recursive Types

ColorPoint can be used wherever Point is expected.

$$\mu\alpha. \left\{ \begin{array}{l} \text{color : String,} \\ x : \text{Int,} \\ y : \text{Int,} \\ \text{move : Int} \rightarrow \text{Int} \rightarrow \alpha \end{array} \right\}$$

$$\leq \mu\alpha. \left\{ \begin{array}{l} x : \text{Int,} \\ y : \text{Int,} \\ \text{move : Int} \rightarrow \text{Int} \rightarrow \alpha \end{array} \right\}$$

Iso-Recursive Subtyping

It is useful to have subtyping for iso-recursive types

Point Class

```
class Point {  
  x : Int, y : Int,  
  move : Int → Int → Point  
}
```

Colored Point Class (extends Point)

```
class ColorPoint {  
  color : String,  
  x : Int, y : Int,  
  move : Int → Int →  
    ColorPoint  
}
```

As Recursive Types

ColorPoint can be used wherever Point is expected.

$$\mu\alpha. \left\{ \begin{array}{l} \text{color : String,} \\ x : \text{Int,} \\ y : \text{Int,} \\ \text{move : Int} \rightarrow \text{Int} \rightarrow \alpha \end{array} \right\}$$

$$\leq \mu\alpha. \left\{ \begin{array}{l} x : \text{Int,} \\ y : \text{Int,} \\ \text{move : Int} \rightarrow \text{Int} \rightarrow \alpha \end{array} \right\}$$

Subtyping can be tricky

We need rules to determine when $\mu\alpha. A \leq \mu\alpha. B$.

Challenges in Iso-Recursive Subtyping: Examples

A desirable property: **Unfolding Lemma** for type soundness

If $\mu\alpha. A \leq \mu\alpha. B$, then $A[\mu\alpha. A/\alpha] \leq B[\mu\alpha. B/\alpha]$.

Challenges in Iso-Recursive Subtyping: Examples

A desirable property: **Unfolding Lemma** for type soundness

If $\mu\alpha. A \leq \mu\alpha. B$, then $A[\mu\alpha. A/\alpha] \leq B[\mu\alpha. B/\alpha]$.

- **Positive occurrences** of α : Usually straightforward.
 - $\mu\alpha. \top \rightarrow \alpha \leq \mu\alpha. \text{Int} \rightarrow \alpha$ (✓, assumes $\text{Int} \leq \top$ and recursive check)
 - Unfolds to: $\top \rightarrow (\mu\alpha. \top \rightarrow \alpha) \leq \text{Int} \rightarrow (\mu\alpha. \text{Int} \rightarrow \alpha)$

Challenges in Iso-Recursive Subtyping: Examples

A desirable property: **Unfolding Lemma** for type soundness

If $\mu\alpha. A \leq \mu\alpha. B$, then $A[\mu\alpha. A/\alpha] \leq B[\mu\alpha. B/\alpha]$.

- **Positive occurrences** of α : Usually straightforward.
 - $\mu\alpha. \top \rightarrow \alpha \leq \mu\alpha. \text{Int} \rightarrow \alpha$ (✓, assumes $\text{Int} \leq \top$ and recursive check)
 - Unfolds to: $\top \rightarrow (\mu\alpha. \top \rightarrow \alpha) \leq \text{Int} \rightarrow (\mu\alpha. \text{Int} \rightarrow \alpha)$
- **Negative occurrences** of α : More complex.
 - $\mu\alpha. \alpha \rightarrow \text{Int} \not\leq \mu\alpha. \alpha \rightarrow \top$ (✗)
 - Unfolds once to: $(\mu\alpha. \alpha \rightarrow \text{Int}) \rightarrow \text{Int} \leq (\mu\alpha. \alpha \rightarrow \top) \rightarrow \top$
 - This requires $(\mu\alpha. \alpha \rightarrow \top) \leq (\mu\alpha. \alpha \rightarrow \text{Int})$ (problematic flip!)

Challenges in Iso-Recursive Subtyping: Examples

A desirable property: **Unfolding Lemma** for type soundness

If $\mu\alpha. A \leq \mu\alpha. B$, then $A[\mu\alpha. A/\alpha] \leq B[\mu\alpha. B/\alpha]$.

- **Positive occurrences of α :** Usually straightforward.
 - $\mu\alpha. \top \rightarrow \alpha \leq \mu\alpha. \text{Int} \rightarrow \alpha$ (✓, assumes $\text{Int} \leq \top$ and recursive check)
 - Unfolds to: $\top \rightarrow (\mu\alpha. \top \rightarrow \alpha) \leq \text{Int} \rightarrow (\mu\alpha. \text{Int} \rightarrow \alpha)$
- **Negative occurrences of α :** More complex.
 - $\mu\alpha. \alpha \rightarrow \text{Int} \not\leq \mu\alpha. \alpha \rightarrow \top$ (✗)
 - Unfolds once to: $(\mu\alpha. \alpha \rightarrow \text{Int}) \rightarrow \text{Int} \leq (\mu\alpha. \alpha \rightarrow \top) \rightarrow \top$
 - This requires $(\mu\alpha. \alpha \rightarrow \top) \leq (\mu\alpha. \alpha \rightarrow \text{Int})$ (problematic flip!)
- **Negative occurrences with $\top$ / Reflexivity:**
 - $\mu\alpha. \alpha \rightarrow \alpha \leq \mu\alpha. \alpha \rightarrow \alpha$ (✓, by reflexivity)
 - $\mu\alpha. \top \rightarrow \alpha \leq \mu\alpha. \alpha \rightarrow \alpha$ (✓, if $\alpha \leq \top$ allowed in unfolding)
 - Unfolds to: $\top \rightarrow (\mu\alpha. \top \rightarrow \alpha) \leq (\mu\alpha. \alpha \rightarrow \alpha) \rightarrow (\mu\alpha. \alpha \rightarrow \alpha)$

Challenges in Iso-Recursive Subtyping: Examples

A desirable property: **Unfolding Lemma** for type soundness

If $\mu\alpha. A \leq \mu\alpha. B$, then $A[\mu\alpha. A/\alpha] \leq B[\mu\alpha. B/\alpha]$.

- **Positive occurrences of α :** Usually straightforward.
 - $\mu\alpha. \top \rightarrow \alpha \leq \mu\alpha. \text{Int} \rightarrow \alpha$ (✓, assumes $\text{Int} \leq \top$ and recursive check)
 - Unfolds to: $\top \rightarrow (\mu\alpha. \top \rightarrow \alpha) \leq \text{Int} \rightarrow (\mu\alpha. \text{Int} \rightarrow \alpha)$
- **Negative occurrences of α :** More complex.
 - $\mu\alpha. \alpha \rightarrow \text{Int} \not\leq \mu\alpha. \alpha \rightarrow \top$ (✗)
 - Unfolds once to: $(\mu\alpha. \alpha \rightarrow \text{Int}) \rightarrow \text{Int} \leq (\mu\alpha. \alpha \rightarrow \top) \rightarrow \top$
 - This requires $(\mu\alpha. \alpha \rightarrow \top) \leq (\mu\alpha. \alpha \rightarrow \text{Int})$ (problematic flip!)
- **Negative occurrences with $\top$ / Reflexivity:**
 - $\mu\alpha. \alpha \rightarrow \alpha \leq \mu\alpha. \alpha \rightarrow \alpha$ (✓, by reflexivity)
 - $\mu\alpha. \top \rightarrow \alpha \leq \mu\alpha. \alpha \rightarrow \alpha$ (✓, if $\alpha \leq \top$ allowed in unfolding)
 - Unfolds to: $\top \rightarrow (\mu\alpha. \top \rightarrow \alpha) \leq (\mu\alpha. \alpha \rightarrow \alpha) \rightarrow (\mu\alpha. \alpha \rightarrow \alpha)$
- **Nested Recursive Types:** $\mu\beta. \top \rightarrow (\mu\alpha. \alpha \rightarrow \beta) \quad ? \quad \mu\beta. \text{Int} \rightarrow (\mu\alpha. \alpha \rightarrow \beta)$ (✗)

Traditional Approach: The Amber Rules

The classical formulation for iso-recursive subtyping^{1 2}.

S-Amber

$$\frac{\Gamma, \alpha \leq \beta \vdash A \leq B}{\Gamma \vdash \mu\alpha. A \leq \mu\beta. B}$$

S-Assmp

$$\frac{\alpha \leq \beta \in \Gamma}{\Gamma \vdash \alpha \leq \beta}$$

S-Refl

$$\overline{\Gamma \vdash \mu\alpha. A \leq \mu\alpha. A}$$

¹Cardelli, 1985, “Amber, Combinators and Functional Programming Languages”.

²Amadio and Cardelli, 1993, “Subtyping Recursive Types”.

Traditional Approach: The Amber Rules

The classical formulation for iso-recursive subtyping^{1 2}.

S-Amber

$$\frac{\Gamma, \alpha \leq \beta \vdash A \leq B}{\Gamma \vdash \mu\alpha. A \leq \mu\beta. B}$$

S-Assmp

$$\frac{\alpha \leq \beta \in \Gamma}{\Gamma \vdash \alpha \leq \beta}$$

S-Refl

$$\frac{}{\Gamma \vdash \mu\alpha. A \leq \mu\alpha. A}$$

- **Pros:**

- Simple to state.
- Handles many cases by adding assumptions $\alpha \leq \beta$.

¹Cardelli, 1985, “Amber, Combinators and Functional Programming Languages”.

²Amadio and Cardelli, 1993, “Subtyping Recursive Types”.

Traditional Approach: The Amber Rules

The classical formulation for iso-recursive subtyping^{1 2}.

S-Amber

$$\frac{\Gamma, \alpha \leq \beta \vdash A \leq B}{\Gamma \vdash \mu\alpha. A \leq \mu\beta. B}$$

S-Assmp

$$\frac{\alpha \leq \beta \in \Gamma}{\Gamma \vdash \alpha \leq \beta}$$

S-Refl

$$\frac{}{\Gamma \vdash \mu\alpha. A \leq \mu\alpha. A}$$

- **Pros:**

- Simple to state.
- Handles many cases by adding assumptions $\alpha \leq \beta$.

- **Cons:**

- Metatheory (e.g., transitivity) is hard to prove.
- The special context makes the rules non-modular to extend.

¹Cardelli, 1985, “Amber, Combinators and Functional Programming Languages”.

²Amadio and Cardelli, 1993, “Subtyping Recursive Types”.

Traditional Approach: The Amber Rules

The classical formulation for iso-recursive subtyping^{1 2}.

S-Amber

$$\frac{\Gamma, \alpha \leq \beta \vdash A \leq B}{\Gamma \vdash \mu\alpha. A \leq \mu\beta. B}$$

S-Assmp

$$\frac{\alpha \leq \beta \in \Gamma}{\Gamma \vdash \alpha \leq \beta}$$

S-Refl

$$\frac{}{\Gamma \vdash \mu\alpha. A \leq \mu\alpha. A}$$

- **Pros:**

- Simple to state.
- Handles many cases by adding assumptions $\alpha \leq \beta$.

- **Cons:**

- Metatheory (e.g., transitivity) is hard to prove.
- The special context makes the rules non-modular to extend.

$\Rightarrow$ an alternative, more tractable iso-recursive subtyping formulation.

¹Cardelli, 1985, “Amber, Combinators and Functional Programming Languages”.

²Amadio and Cardelli, 1993, “Subtyping Recursive Types”.

Alternative: Nominal Unfolding Rules

An approach that addresses Amber rules' issues³.

- “Unfolding twice” triggers enough comparisons in covariant and contravariant positions.
- Simulates a “double unfolding” using *labeled types* A^{γ} .
- A fresh label γ is used for each recursive comparison.

S-Nominal

$$\frac{\Gamma, \alpha \vdash A[\alpha \mapsto A^{\gamma}] \leq B[\alpha \mapsto B^{\gamma}] \quad (\gamma \text{ fresh})}{\Gamma \vdash \mu\alpha. A \leq \mu\alpha. B}$$

S-Label

$$\frac{\Gamma \vdash A \leq B}{\Gamma \vdash A^{\gamma} \leq B^{\gamma}}$$

³Zhou, Zhao, and Oliveira, 2022, “Revisiting Iso-Recursive Subtyping”.

Alternative: Nominal Unfolding Rules

An approach that addresses Amber rules' issues³.

- “Unfolding twice” triggers enough comparisons in covariant and contravariant positions.
- Simulates a “double unfolding” using *labeled types* A^{γ} .
- A fresh label γ is used for each recursive comparison.

S-Nominal

$$\frac{\Gamma, \alpha \vdash A[\alpha \mapsto A^{\gamma}] \leq B[\alpha \mapsto B^{\gamma}] \quad (\gamma \text{ fresh})}{\Gamma \vdash \mu\alpha. A \leq \mu\alpha. B}$$

S-Label

$$\frac{\Gamma \vdash A \leq B}{\Gamma \vdash A^{\gamma} \leq B^{\gamma}}$$

Benefits

Type sound, same expressive power as Amber rules, transitivity, simpler metatheory.
($\Rightarrow$ *Foundation for this work* $F_{\leq}^{\mu}$).

³Zhou, Zhao, and Oliveira, 2022, “Revisiting Iso-Recursive Subtyping”.

Nominal Unfolding Rules: Example

S-Nominal (Reminder)

$$\frac{\Gamma, \alpha \vdash A[\alpha \mapsto A^{\gamma}] \leq B[\alpha \mapsto B^{\gamma}] \quad (\gamma \text{ fresh})}{\Gamma \vdash \mu\alpha. A \leq \mu\alpha. B}$$

Example: $\mu\alpha. \alpha \rightarrow \text{nat} \not\leq \mu\alpha. \alpha \rightarrow \top$

S-Label (Reminder)

$$\frac{\Gamma \vdash A \leq B}{\Gamma \vdash A^{\gamma} \leq B^{\gamma}}$$

Nominal Unfolding Rules: Example

S-Nominal (Reminder)

$$\frac{\Gamma, \alpha \vdash A[\alpha \mapsto A^{\gamma}] \leq B[\alpha \mapsto B^{\gamma}] \quad (\gamma \text{ fresh})}{\Gamma \vdash \mu\alpha. A \leq \mu\alpha. B}$$

S-Label (Reminder)

$$\frac{\Gamma \vdash A \leq B}{\Gamma \vdash A^{\gamma} \leq B^{\gamma}}$$

Example: $\mu\alpha. \alpha \rightarrow \text{nat} \not\leq \mu\alpha. \alpha \rightarrow \top$

Applying S-Nominal with fresh γ , we need to show:

$$\Gamma, \alpha \vdash (\alpha \rightarrow \text{nat})^{\gamma} \rightarrow \text{nat} \leq (\alpha \rightarrow \top)^{\gamma} \rightarrow \top$$

Nominal Unfolding Rules: Example

S-Nominal (Reminder)

$$\frac{\Gamma, \alpha \vdash A[\alpha \mapsto A^{\gamma}] \leq B[\alpha \mapsto B^{\gamma}] \quad (\gamma \text{ fresh})}{\Gamma \vdash \mu\alpha. A \leq \mu\alpha. B}$$

S-Label (Reminder)

$$\frac{\Gamma \vdash A \leq B}{\Gamma \vdash A^{\gamma} \leq B^{\gamma}}$$

Example: $\mu\alpha. \alpha \rightarrow \text{nat} \not\leq \mu\alpha. \alpha \rightarrow \top$

Applying S-Nominal with fresh γ , we need to show:

$$\Gamma, \alpha \vdash (\alpha \rightarrow \text{nat})^{\gamma} \rightarrow \text{nat} \leq (\alpha \rightarrow \top)^{\gamma} \rightarrow \top$$

- Covariant: $\Gamma, \alpha \vdash \text{nat} \leq \top$ (✓)

Nominal Unfolding Rules: Example

S-Nominal (Reminder)

$$\frac{\Gamma, \alpha \vdash A[\alpha \mapsto A^{\gamma}] \leq B[\alpha \mapsto B^{\gamma}] \quad (\gamma \text{ fresh})}{\Gamma \vdash \mu\alpha. A \leq \mu\alpha. B}$$

S-Label (Reminder)

$$\frac{\Gamma \vdash A \leq B}{\Gamma \vdash A^{\gamma} \leq B^{\gamma}}$$

Example: $\mu\alpha. \alpha \rightarrow \text{nat} \not\leq \mu\alpha. \alpha \rightarrow \top$

Applying S-Nominal with fresh γ , we need to show:

$$\Gamma, \alpha \vdash (\alpha \rightarrow \text{nat})^{\gamma} \rightarrow \text{nat} \leq (\alpha \rightarrow \top)^{\gamma} \rightarrow \top$$

- Covariant: $\Gamma, \alpha \vdash \text{nat} \leq \top$ (✓)
- Contravariant: $\Gamma, \alpha \vdash (\alpha \rightarrow \top)^{\gamma} \leq (\alpha \rightarrow \text{nat})^{\gamma}$
 - By S-Label: $\Gamma, \alpha \vdash \alpha \rightarrow \top \leq \alpha \rightarrow \text{nat}$
 - This requires $\Gamma, \alpha \vdash \top \leq \text{nat}$ (✗) and $\Gamma, \alpha \vdash \alpha \leq \alpha$ (✓).

Bounded Quantification: Quick Overview

Allows abstraction over types that satisfy a subtyping constraint (a bound).

- Example: $\forall(\alpha \leq \text{Number}). \alpha \rightarrow \alpha$
- A function polymorphic in α , where α must be a subtype of `Number`.

⁴Pierce, “Bounded Quantification Is Undecidable”.

Bounded Quantification: Quick Overview

Allows abstraction over types that satisfy a subtyping constraint (a bound).

- Example: $\forall(\alpha \leq \text{Number}). \alpha \rightarrow \alpha$
- A function polymorphic in α , where α must be a subtype of `Number`.

Kernel $F_{\leq}$

- $\forall(\alpha \leq A). B_1 \leq \forall(\alpha \leq A). B_2$
- Bounds must be identical (or equivalent).
- Decidable subtyping.

Full $F_{\leq}$

- $\forall(\alpha \leq A_1). B_1 \leq \forall(\alpha \leq A_2). B_2$
- Bounds can be contravariant ($A_2 \leq A_1$).
- More expressive, but undecidable⁴.

⁴Pierce, “Bounded Quantification Is Undecidable”.

Bounded Quantification: Quick Overview

Allows abstraction over types that satisfy a subtyping constraint (a bound).

- Example: $\forall(\alpha \leq \text{Number}). \alpha \rightarrow \alpha$
- A function polymorphic in α , where α must be a subtype of `Number`.

Kernel $F_{\leq}$

- $\forall(\alpha \leq A). B_1 \leq \forall(\alpha \leq A). B_2$
- Bounds must be identical (or equivalent).
- Decidable subtyping.

Full $F_{\leq}$

- $\forall(\alpha \leq A_1). B_1 \leq \forall(\alpha \leq A_2). B_2$
- Bounds can be contravariant ($A_2 \leq A_1$).
- More expressive, but undecidable⁴.

$F_{\leq}^{\mu}$ **supports both variants**: Kernel $F_{\leq}^{\mu}$ uses (equivalent bound) kernel $F_{\leq}$ subtyping rule; Full $F_{\leq}^{\mu}$ uses the full $F_{\leq}$ subtyping rule.

⁴Pierce, “Bounded Quantification Is Undecidable”.

Our Calculus: $F_{\leq}^{\mu}$

- $F_{\leq}^{\mu}$ integrates:
 - Iso-recursive subtyping (via Nominal Unfolding rules)
 - Bounded quantification (extending Kernel $F_{\leq}$ with equivalent bounds / Full $F_{\leq}$ with contravariant bounds)
- Types =
 - $F_{\leq}$ (bounded universal types $\forall(\alpha \leq A). B$) +
 - $\mu\alpha. A$ (recursive types) and A^{γ} (labeled types).
- Terms = $F_{\leq}$ terms + iso-recursive fold/unfolds

Types	$A, B, \dots$	$::=$	$\text{nat} \mid \top \mid A_1 \rightarrow A_2 \mid \alpha \mid \mu\alpha. A \mid A^{\gamma} \mid \forall(\alpha \leq A). B$
Expressions	e	$::=$	$x \mid i \mid e_1 e_2 \mid \lambda x : A. e \mid e A \mid \bigwedge(\alpha \leq A). e \mid \text{unfold } [A] e \mid \text{fold } [A] e$
Values	v	$::=$	$i \mid \lambda x : A. e \mid \bigwedge(\alpha \leq A). e \mid \text{fold } [A] v$

Our Calculus: $F_{\leq}^{\mu}$

- Subtyping rules: Combine **$F_{\leq}$ rules** with **nominal unfolding** for μ -types.
 - The only change: recursive variable in $\mu\alpha.A$ is introduced bounded as $\alpha \leq \top$, so we have a unified type context.

Contexts $\Gamma ::= \cdot \mid \Gamma, \alpha \leq A \mid \Gamma, x : A$

S-Forall(full)

$$\frac{\Gamma \vdash A_2 \leq A_1 \quad \Gamma, a \leq A_2 \vdash B_1 \leq B_2}{\Gamma \vdash \forall(a \leq A_1). B_1 \leq \forall(a \leq A_2). B_2}$$

S-Var-trans

$$\frac{\alpha \leq A \in \Gamma \quad \Gamma \vdash A \leq B}{\Gamma \vdash \alpha \leq B}$$

S-Nominal

$$\frac{\Gamma, \alpha \leq \top \vdash A[\alpha \mapsto A^{\gamma}] \leq B[\alpha \mapsto B^{\gamma}] \quad (\gamma \text{ fresh})}{\Gamma \vdash \mu\alpha.A \leq \mu\alpha.B}$$

S-Label

$$\frac{\Gamma \vdash A \leq B}{\Gamma \vdash A^{\gamma} \leq B^{\gamma}}$$

Metatheory: Main Properties of $F_{\leq}^{\mu}$

We prove key properties for both Kernel $F_{\leq}^{\mu}$ and Full $F_{\leq}^{\mu}$, formalized in Rocq:

- **Type soundness**

- **Progress:** If $\Gamma \vdash e : A$, then e is either a value or exists a step $e \hookrightarrow e'$.
- **Preservation:** If $\Gamma \vdash e : A$ and $e \hookrightarrow e'$, then $\Gamma \vdash e' : A$.
- Key challenge: finding the correct generalization of the **unfolding lemma**
 $\mu\alpha. A \leq \mu\alpha. B \Rightarrow A[\mu\alpha. A/\alpha] \leq B[\mu\alpha. B/\alpha]$.

⁵Ghelli, 1993, “Recursive Types Are Not Conservative over $F_{\leq}$ ”.

Metatheory: Main Properties of $F_{\leq}^{\mu}$

We prove key properties for both Kernel $F_{\leq}^{\mu}$ and Full $F_{\leq}^{\mu}$, formalized in Rocq:

- **Type soundness**

- **Progress:** If $\Gamma \vdash e : A$, then e is either a value or exists a step $e \hookrightarrow e'$.
- **Preservation:** If $\Gamma \vdash e : A$ and $e \hookrightarrow e'$, then $\Gamma \vdash e' : A$.
- Key challenge: finding the correct generalization of the **unfolding lemma**
 $\mu\alpha. A \leq \mu\alpha. B \Rightarrow A[\mu\alpha. A/\alpha] \leq B[\mu\alpha. B/\alpha]$.

- **Conservativity over $F_{\leq}$**

- If $\Gamma \vdash e : A$ holds in $F_{\leq}$, then $\Gamma \vdash e : A$ in $F_{\leq}^{\mu}$.
- If $\Gamma \vdash e : A$ holds in $F_{\leq}^{\mu}$ and only contains $F_{\leq}$ constructs, then $\Gamma \vdash e : A$ also holds in $F_{\leq}$.
- Not the case in equi-recursive types + $F_{\leq}$.⁵

⁵Ghelli, 1993, “Recursive Types Are Not Conservative over $F_{\leq}$ ”.

Metatheory: Main Properties of $F_{\leq}^{\mu}$

We prove key properties for both Kernel $F_{\leq}^{\mu}$ and Full $F_{\leq}^{\mu}$, formalized in Rocq:

- **Type soundness**

- **Progress:** If $\Gamma \vdash e : A$, then e is either a value or exists a step $e \hookrightarrow e'$.
- **Preservation:** If $\Gamma \vdash e : A$ and $e \hookrightarrow e'$, then $\Gamma \vdash e' : A$.
- Key challenge: finding the correct generalization of the **unfolding lemma**
 $\mu\alpha. A \leq \mu\alpha. B \Rightarrow A[\mu\alpha. A/\alpha] \leq B[\mu\alpha. B/\alpha]$.

- **Conservativity** over $F_{\leq}$

- If $\Gamma \vdash e : A$ holds in $F_{\leq}$, then $\Gamma \vdash e : A$ in $F_{\leq}^{\mu}$.
- If $\Gamma \vdash e : A$ holds in $F_{\leq}^{\mu}$ and only contains $F_{\leq}$ constructs, then $\Gamma \vdash e : A$ also holds in $F_{\leq}$.
- Not the case in equi-recursive types + $F_{\leq}$.⁵

- **Decidability** of typing & subtyping (for Kernel $F_{\leq}^{\mu}$)

⁵Ghelli, 1993, “Recursive Types Are Not Conservative over $F_{\leq}$ ”.

Comparison with Other Systems

Calculus	Recursive Types	Bounded Quant.	Decidability (Subtyping)	Transitivity (Subtyping)	Conservativity (over $F_{\leq}$)	Modular Extension	Mechanized Proofs
Amadio et al. (1993)	Iso	N/A	✓	Built-in	N/A	×	×
Zhou et al. (2022)	Iso	N/A	✓	✓	N/A	✓	✓
Ghelli (1993)	Equi	Full		×	×	×	×
Colazzo et al. (2005)	Equi	Kernel	✓	✓		×	×
Jeffrey (2001)	Equi	Full	✓	✓	×	×	×
Abadi et al. (1996)	Iso	Full		Built-in			×
Kernel $F_{\leq}^{\mu}$ (This work)	Iso	Kernel	✓	✓	✓	✓	✓
Full $F_{\leq}^{\mu}$ (This work)	Iso	Full	×	✓	✓	✓	✓

Table: Comparison among related works.

Application: Object Encodings

Objects can be encoded in typed lambda calculi in various ways⁶.

- Recursive types: $\mu\alpha.\{\dots \text{methods}(\alpha) \dots\}$ **(OR)**

⁶Bruce, Cardelli, and Pierce, 1999, “Comparing Object Encodings”.

Application: Object Encodings

Objects can be encoded in typed lambda calculi in various ways⁶.

- Recursive types: $\mu\alpha.\{\dots \text{methods}(\alpha) \dots\}$ **(OR)**
- Existential types: $\exists\beta.\beta \times (\beta \rightarrow \{\dots \text{methods}(\beta) \dots\})$ **(OE)**
 - β is interpreted as the state of the object, while methods are functions that depend on the state

⁶Bruce, Cardelli, and Pierce, 1999, “Comparing Object Encodings”.

Application: Object Encodings

Objects can be encoded in typed lambda calculi in various ways⁶.

- Recursive types: $\mu\alpha.\{\dots \text{methods}(\alpha) \dots\}$ **(OR)**
- Existential types: $\exists\beta.\beta \times (\beta \rightarrow \{\dots \text{methods}(\beta) \dots\})$ **(OE)**
 - β is interpreted as the state of the object, while methods are functions that depend on the state
- Recursive + existential types: $\mu\alpha.\exists\beta.\beta \times (\beta \rightarrow \{\dots \text{methods}(\alpha) \dots\})$ **(ORE)**
- Recursive + bounded existentials: $\mu\alpha.\exists(\beta \leq \alpha).\beta \times (\beta \rightarrow \{\dots \text{methods}(\beta) \dots\})$ **(ORBE)**

⁶Bruce, Cardelli, and Pierce, 1999, “Comparing Object Encodings”.

Application: Object Encodings

Objects can be encoded in typed lambda calculi in various ways⁶.

- Recursive types: $\mu\alpha.\{\dots \text{methods}(\alpha) \dots\}$ **(OR)**
- Existential types: $\exists\beta.\beta \times (\beta \rightarrow \{\dots \text{methods}(\beta) \dots\})$ **(OE)**
 - β is interpreted as the state of the object, while methods are functions that depend on the state
- Recursive + existential types: $\mu\alpha.\exists\beta.\beta \times (\beta \rightarrow \{\dots \text{methods}(\alpha) \dots\})$ **(ORE)**
- Recursive + bounded existentials: $\mu\alpha.\exists(\beta \leq \alpha).\beta \times (\beta \rightarrow \{\dots \text{methods}(\beta) \dots\})$ **(ORBE)**

$$\exists(\alpha \leq A).B \triangleq \forall(\beta \leq \top). (\forall(\alpha \leq A). B \rightarrow \beta) \rightarrow \beta$$

⁶Bruce, Cardelli, and Pierce, 1999, “Comparing Object Encodings”.

Application: Object Encodings

Objects can be encoded in typed lambda calculi in various ways⁶.

- Recursive types: $\mu\alpha.\{\dots \text{methods}(\alpha) \dots\}$ (**OR**)
- Existential types: $\exists\beta.\beta \times (\beta \rightarrow \{\dots \text{methods}(\beta) \dots\})$ (**OE**)
 - β is interpreted as the state of the object, while methods are functions that depend on the state
- Recursive + existential types: $\mu\alpha.\exists\beta.\beta \times (\beta \rightarrow \{\dots \text{methods}(\alpha) \dots\})$ (**ORE**)
- Recursive + bounded existentials: $\mu\alpha.\exists(\beta \leq \alpha).\beta \times (\beta \rightarrow \{\dots \text{methods}(\beta) \dots\})$ (**ORBE**)

$$\exists(\alpha \leq A).B \triangleq \forall(\beta \leq \top). (\forall(\alpha \leq A). B \rightarrow \beta) \rightarrow \beta$$

Kernel $F_{\leq}^{\mu}$ encodes **OR**, **OE**, and **ORE**. Full $F_{\leq}^{\mu}$ encodes **ORBE**.

⁶Bruce, Cardelli, and Pierce, 1999, “Comparing Object Encodings”.

Application: Precise Object Typing with Bounded Quantification

Point Object Type in (OR)

$$\text{Point} \triangleq \mu\alpha. \{x : \text{Int}, y : \text{Int}, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}$$

Application: Precise Object Typing with Bounded Quantification

Point Object Type in (OR)

$$\text{Point} \triangleq \mu\alpha. \{x : \text{Int}, y : \text{Int}, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}$$

Consider a polymorphic ‘move1’ function for points and their subtypes:

```
function move1 [P <= Point] (p : P) = (unfold [Point] p).move 1 1
```

Application: Precise Object Typing with Bounded Quantification

Point Object Type in (OR)

$$\text{Point} \triangleq \mu\alpha. \{x : \text{Int}, y : \text{Int}, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}$$

Consider a polymorphic ‘move1’ function for points and their subtypes:

```
function move1 [P <= Point] (p : P) = (unfold [Point] p).move 1 1
```

- Desired precise type: $\forall(P \leq \text{Point}). P \rightarrow P$

Application: Precise Object Typing with Bounded Quantification

Point Object Type in (OR)

$$\text{Point} \triangleq \mu\alpha. \{x : \text{Int}, y : \text{Int}, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}$$

Consider a polymorphic ‘move’ function for points and their subtypes:

```
function move1 [P <= Point] (p : P) = (unfold [Point] p).move 1 1
```

- Desired precise type: $\forall (P \leq \text{Point}). P \rightarrow P$
- Simpler systems might only give: $\forall (P \leq \text{Point}). P \rightarrow \text{Point}$ (loses precision)

Application: Precise Object Typing with Bounded Quantification

Point Object Type in (OR)

$$\text{Point} \triangleq \mu\alpha. \{x : \text{Int}, y : \text{Int}, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}$$

Consider a polymorphic ‘move1’ function for points and their subtypes:

```
function move1 [P <= Point] (p : P) = (unfold [Point] p).move 1 1
```

- Desired precise type: $\forall (P \leq \text{Point}). P \rightarrow P$
- Simpler systems might only give: $\forall (P \leq \text{Point}). P \rightarrow \text{Point}$ (loses precision)

$$\text{T-Unfold} \frac{\frac{P \leq \text{Point}, p : P \vdash p : \text{Point}}{P \leq \text{Point}, p : P \vdash \text{unfold}[\text{Point}] p : \{\dots, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}[\text{Point}/\alpha]}}{P \leq \text{Point}, p : P \vdash \text{unfold}[\text{Point}] p : \{\dots, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \text{Point}\}}$$

F-bounded Polymorphism: The Challenge and Our Approach

- **F-bounded quantification** is a known technique to achieve self-type polymorphism⁷, typically written as $\forall(\alpha \leq F[\alpha]).A$.
 - Example: $F_{\text{Point}}(\alpha) \triangleq \{x : \text{Int}, y : \text{Int}, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}$
 - `move1` can have the type $\forall(P \leq F_{\text{Point}}(P)).P \rightarrow P$

⁷Canning et al., 1989, “F-Bounded Polymorphism for Object-Oriented Programming”.

⁸Baldan, Ghelli, and Raffaetà, 1999, “Basic Theory of F-Bounded Quantification”.

F-bounded Polymorphism: The Challenge and Our Approach

- **F-bounded quantification** is a known technique to achieve self-type polymorphism⁷, typically written as $\forall(\alpha \leq F[\alpha]).A$.
 - Example: $F_{\text{Point}}(\alpha) \triangleq \{x : \text{Int}, y : \text{Int}, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}$
 - `move1` can have the type $\forall(P \leq F_{\text{Point}}(P)).P \rightarrow P$
- Directly adding F-bounds to $F_{\leq}$ significantly complicates the system and its metatheory⁸.

⁷Canning et al., 1989, “F-Bounded Polymorphism for Object-Oriented Programming”.

⁸Baldan, Ghelli, and Raffaetà, 1999, “Basic Theory of F-Bounded Quantification”.

F-bounded Polymorphism: The Challenge and Our Approach

- **F-bounded quantification** is a known technique to achieve self-type polymorphism⁷, typically written as $\forall(\alpha \leq F[\alpha]).A$.
 - Example: $F_{\text{Point}}(\alpha) \triangleq \{x : \text{Int}, y : \text{Int}, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}$
 - `move1` can have the type $\forall(P \leq F_{\text{Point}}(P)).P \rightarrow P$
- Directly adding F-bounds to $F_{\leq}$ significantly complicates the system and its metatheory⁸.
- **Our Approach in $F_{\leq}^{\mu}$** : We achieve a similar expressive power for "positive" F-bounds *without* adding explicit F-bounded universal types.

⁷Canning et al., 1989, "F-Bounded Polymorphism for Object-Oriented Programming".

⁸Baldan, Ghelli, and Raffaetà, 1999, "Basic Theory of F-Bounded Quantification".

F-bounded Polymorphism: The Challenge and Our Approach

- **F-bounded quantification** is a known technique to achieve self-type polymorphism⁷, typically written as $\forall(\alpha \leq F[\alpha]).A$.
 - Example: $F_{\text{Point}}(\alpha) \triangleq \{x : \text{Int}, y : \text{Int}, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}$
 - `move1` can have the type $\forall(P \leq F_{\text{Point}}(P)).P \rightarrow P$
- Directly adding F-bounds to $F_{\leq}$ significantly complicates the system and its metatheory⁸.
- **Our Approach in $F_{\leq}^{\mu}$** : We achieve a similar expressive power for "positive" F-bounds *without* adding explicit F-bounded universal types.

⁷Canning et al., 1989, "F-Bounded Polymorphism for Object-Oriented Programming".

⁸Baldan, Ghelli, and Raffaetà, 1999, "Basic Theory of F-Bounded Quantification".

Key Design 1: Structural Folding/Unfolding Rules

Inspired by Abadi et al.⁹, we use structural rules for typing ‘fold/unfold’ terms:

T-SUnfold (Structural Unfold)

$$\frac{\Gamma \vdash e : A \quad \Gamma \vdash A \leq \mu\alpha. B}{\Gamma \vdash \text{unfold}[A]e : B[\alpha \mapsto A]}$$

T-SFold (Structural Fold)

$$\frac{\Gamma \vdash e : A[\alpha \mapsto B] \quad \Gamma \vdash \mu\alpha. A \leq B}{\Gamma \vdash \text{fold}[B]e : B}$$

⁹Abadi, Cardelli, and Viswanathan, 1996, “An Interpretation of Objects and Object Types”.

Key Design 1: Structural Folding/Unfolding Rules

Inspired by Abadi et al.⁹, we use structural rules for typing ‘fold/unfold’ terms:

T-SUnfold (Structural Unfold)

$$\frac{\Gamma \vdash e : A \quad \Gamma \vdash A \leq \mu\alpha. B}{\Gamma \vdash \text{unfold}[A]e : B[\alpha \mapsto A]}$$

T-SFold (Structural Fold)

$$\frac{\Gamma \vdash e : A[\alpha \mapsto B] \quad \Gamma \vdash \mu\alpha. A \leq B}{\Gamma \vdash \text{fold}[B]e : B}$$

$$\text{T-SUnfold} \frac{\frac{P \leq \text{Point}, p : P \vdash p : \text{Point} \quad P \leq \text{Point}}{P \leq \text{Point}, p : P \vdash \text{unfold}[\textcolor{red}{P}] p : \{\dots, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}[\textcolor{red}{P}/\alpha]}}{P \leq \text{Point}, p : P \vdash \text{unfold}[\textcolor{red}{P}] p : \{\dots, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow P\}}$$

⁹Abadi, Cardelli, and Viswanathan, 1996, “An Interpretation of Objects and Object Types”.

Key Design 1: Structural Folding/Unfolding Rules

Inspired by Abadi et al.⁹, we use structural rules for typing ‘fold/unfold’ terms:

T-SUnfold (Structural Unfold)

$$\frac{\Gamma \vdash e : A \quad \Gamma \vdash A \leq \mu\alpha. B}{\Gamma \vdash \text{unfold}[A]e : B[\alpha \mapsto A]}$$

T-SFold (Structural Fold)

$$\frac{\Gamma \vdash e : A[\alpha \mapsto B] \quad \Gamma \vdash \mu\alpha. A \leq B}{\Gamma \vdash \text{fold}[B]e : B}$$

$$\text{T-SUnfold} \frac{\frac{P \leq \text{Point}, p : P \vdash p : \text{Point} \quad P \leq \text{Point}}{P \leq \text{Point}, p : P \vdash \text{unfold}[\text{P}] p : \{\dots, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow \alpha\}[\text{P}/\alpha]}}{P \leq \text{Point}, p : P \vdash \text{unfold}[\text{P}] p : \{\dots, \text{move} : \text{Int} \rightarrow \text{Int} \rightarrow P\}}$$

$(\text{unfold} [\text{Point}] p).\text{move}$ has the type $\text{Int} \rightarrow \text{Int} \rightarrow P$ instead of $\text{Int} \rightarrow \text{Int} \rightarrow \text{Point}$
so move_1 has the type $\forall(P \leq \text{Point}). P \rightarrow P$.

⁹Abadi, Cardelli, and Viswanathan, 1996, “An Interpretation of Objects and Object Types”.

Application 2: Subtyping for Algebraic Data Types (ADTs)

The intentional behavior of ADTs can be encoded in $F_{\leq}^{\mu}$ using Scott encodings¹⁰:

```
data Exp1 = Num Int
          | Add Exp1 Exp1
          | Sub Exp1 Exp1
```

$$\text{Exp}_1 \triangleq \mu E. \forall (R \leq \top). \left\{ \begin{array}{l} \text{num} : \text{Int} \rightarrow R, \\ \text{add} : E \rightarrow E \rightarrow R, \\ \text{sub} : E \rightarrow E \rightarrow R \end{array} \right\} \rightarrow R$$

¹⁰Scott, “A System of Functional Abstraction”.

Application 2: Subtyping for Algebraic Data Types (ADTs)

The intentional behavior of ADTs can be encoded in $F_{\leq}^{\mu}$ using Scott encodings¹⁰:

```
data Exp1 = Num Int
          | Add Exp1 Exp1
          | Sub Exp1 Exp1
```

$$\text{Exp}_1 \triangleq \mu E. \forall (R \leq \top). \left\{ \begin{array}{l} \text{num} : \text{Int} \rightarrow R, \\ \text{add} : E \rightarrow E \rightarrow R, \\ \text{sub} : E \rightarrow E \rightarrow R \end{array} \right\} \rightarrow R$$

Constructors (Num_1 , Add_1) and case analysis functions (eval) are definable.

```
function Num1 (n : Int) = fold [Exp1] (∧ A. λ e. (e.num n))
function Add1 (e1 : Exp1, e2 : Exp1) = fold [Exp1] (∧ A. λ e. (e.add e1 e2))

function eval (e : Exp1) = (unfold [Exp1] e) [Int] {
  num = λn. n,
  add = λe1 e2. (eval e1 + eval e2),
  sub = λe1 e2. (eval e1 - eval e2)
}
```

¹⁰Scott, “A System of Functional Abstraction”.

Application 2: Subtyping for Algebraic Data Types (ADTs)

Similarly, we can define Exp_2 extending Exp_1 with a Neg constructor:

$$\text{Exp}_2 \triangleq \mu E. \forall (R \leq \top). \left\{ \begin{array}{l} \text{num} : \text{Int} \rightarrow R, \\ \text{add} : E \rightarrow E \rightarrow R, \\ \text{sub} : E \rightarrow E \rightarrow R, \\ \text{neg} : E \rightarrow R \end{array} \right\} \rightarrow R$$

Application 2: Subtyping for Algebraic Data Types (ADTs)

Similarly, we can define Exp_2 extending Exp_1 with a Neg constructor:

$$\text{Exp}_2 \triangleq \mu E. \forall (R \leq \top). \left\{ \begin{array}{l} \text{num} : \text{Int} \rightarrow R, \\ \text{add} : E \rightarrow E \rightarrow R, \\ \text{sub} : E \rightarrow E \rightarrow R, \\ \text{neg} : E \rightarrow R \end{array} \right\} \rightarrow R$$

In $F_{\leq}^{\mu}$, we can show that $\text{Exp}_1 \leq \text{Exp}_2$ with structural subtyping.

Application 2: Subtyping for Algebraic Data Types (ADTs)

Similarly, we can define Exp_2 extending Exp_1 with a Neg constructor:

$$\text{Exp}_2 \triangleq \mu E. \forall (R \leq \top). \left\{ \begin{array}{l} \text{num} : \text{Int} \rightarrow R, \\ \text{add} : E \rightarrow E \rightarrow R, \\ \text{sub} : E \rightarrow E \rightarrow R, \\ \text{neg} : E \rightarrow R \end{array} \right\} \rightarrow R$$

In $F_{\leq}^{\mu}$, we can show that $\text{Exp}_1 \leq \text{Exp}_2$ with structural subtyping.

Benefits of ADT Subtyping ($\text{Exp}_1 \leq \text{Exp}_2$)

- **Code Reuse:** A function processing Exp_2 ($\text{eval}_2 : \text{Exp}_2 \rightarrow \text{Int}$) can operate on Exp_1 terms.
- **Polymorphic Constructors:** Avoid code duplication but preserve type precision.

ADTs: Polymorphic Constructors & Lower Bounds

Instead of writing individual constructors for each ADT:

```
function Add1 (e1 : Exp1, e2 : Exp1) = fold [Exp1] (∧ A. λ e. (e.add e1 e2))  
function Add2 (e1 : Exp2, e2 : Exp2) = fold [Exp2] (∧ A. λ e. (e.add e1 e2))
```

ADTs: Polymorphic Constructors & Lower Bounds

Instead of writing individual constructors for each ADT:

```
function Add1 (e1 : Exp1, e2 : Exp1) = fold [Exp1] (∧ A. λ e. (e.add e1 e2))  
function Add2 (e1 : Exp2, e2 : Exp2) = fold [Exp2] (∧ A. λ e. (e.add e1 e2))
```

We can define a single constructor for both Exp₁ and Exp₂:

```
function Add∀ [E ≥ Exp1] (e1 : E, e2 : E) = fold [E] (∧ A. λ e. (e.add e1 e2))
```

ADTs: Polymorphic Constructors & Lower Bounds

Instead of writing individual constructors for each ADT:

```
function Add1 (e1 : Exp1, e2 : Exp1) = fold [Exp1] (∧ A. λ e. (e.add e1 e2))
function Add2 (e1 : Exp2, e2 : Exp2) = fold [Exp2] (∧ A. λ e. (e.add e1 e2))
```

We can define a single constructor for both Exp₁ and Exp₂:

```
function Add∀ [E ≥ Exp1] (e1 : E, e2 : E) = fold [E] (∧ A. λ e. (e.add e1 e2))
```

Using Add_∀ : $\forall (E \geq \text{Exp}_1). E \rightarrow E \rightarrow E$, we can construct terms of type Exp₁, Exp₂, etc., if they are all supertypes of some base expression type.

Required $F_{\leq}^{\mu}$ ingredients:

- **Structural folding rules** for fold expressions
- **Lower bounded quantification**, also studied in this work.

Key Design: Lower Bounded Quantification for ADTs

- Extends Kernel $F^{\mu}_{\leq}$ with:
 - Lower bounded quantification: $\forall(\alpha \geq A). B$ (type α is a supertype of A).
 - Note, a variable can only be either upper or lower bounded, not both.
 - Bottom type ($\perp$), single field record types ($\{l : A\}$), and intersection types for records ($\&$).
$$\{x : \text{Int}, y : \text{Int}\} \triangleq \{x : \text{Int}\} \& \{y : \text{Int}\}$$

Key Design: Lower Bounded Quantification for ADTs

- Extends Kernel $F^{\mu}_{\leq}$ with:
 - Lower bounded quantification: $\forall(\alpha \geq A). B$ (type α is a supertype of A).
 - Note, a variable can only be either upper or lower bounded, not both.
 - Bottom type ($\perp$), single field record types ($\{l : A\}$), and intersection types for records ($\&$).

$$\{x : \text{Int}, y : \text{Int}\} \triangleq \{x : \text{Int}\} \& \{y : \text{Int}\}$$
- $F^{\mu}_{\leq}^{\wedge}$ successfully types polymorphic ADT constructors like $\text{Add}_{\forall}$.
- Same metatheory results (type soundness, conservativity, decidability) proved for $F^{\mu}_{\leq}^{\wedge}$.

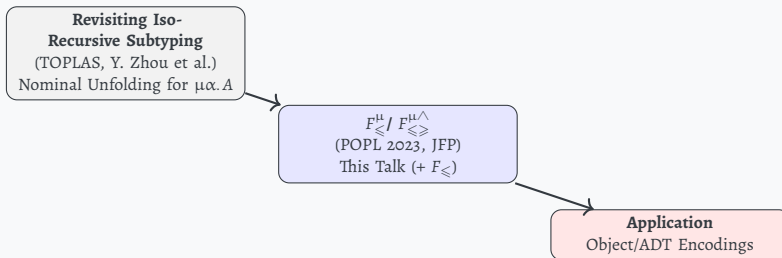
Conclusion

- Successfully integrated iso-recursive types (nominal unfolding) with kernel and full $F_{\leq}$.
 - **Kernel** $F_{\leq}^{\mu} / F_{\leq}^{\mu \wedge}$: Transitive, decidable subtyping, conservative over kernel $F_{\leq}$, type sound.
 - **Full** $F_{\leq}^{\mu}$: Transitive, undecidable (as expected) subtyping, conservative over full $F_{\leq}$, type sound.
- Applications:
 - Foundational calculus for well-known object encodings
 - Encoding positive forms of F-bounded quantification
 - Enable subtyping for algebraic data types (ADTs) and polymorphic ADT constructors

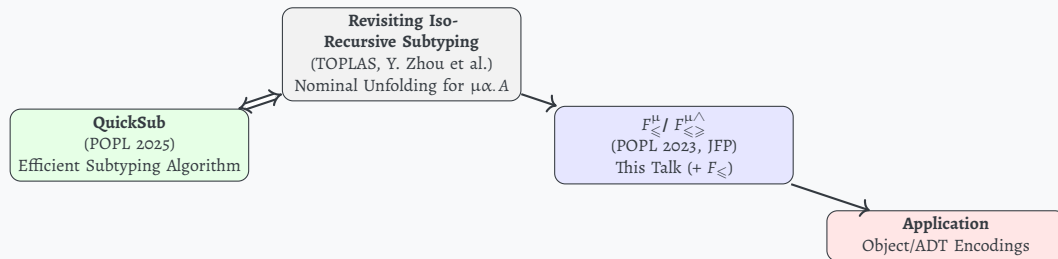
Other work on iso-recursive types

**Revisiting Iso-
Recursive Subtyping**
(TOPLAS, Y. Zhou et al.)
Nominal Unfolding for $\mu\alpha.A$

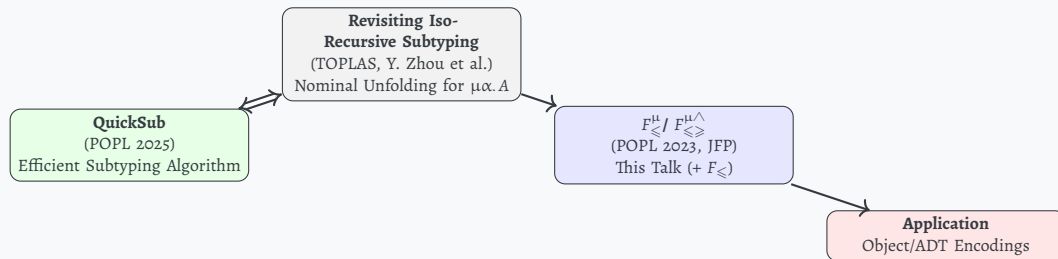
Other work on iso-recursive types



Other work on iso-recursive types



Other work on iso-recursive types

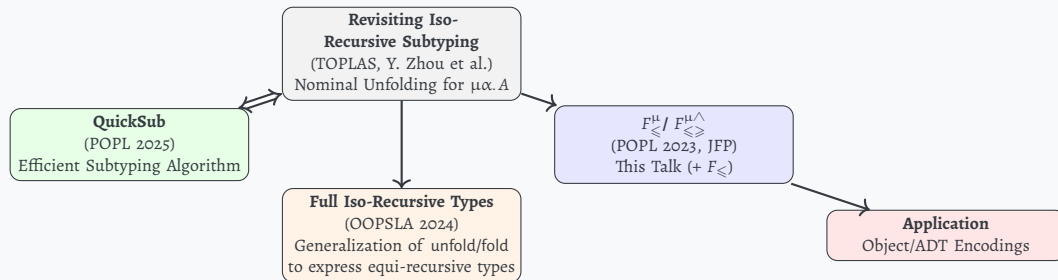


QuickSub: Efficient Iso-Recursive Subtyping

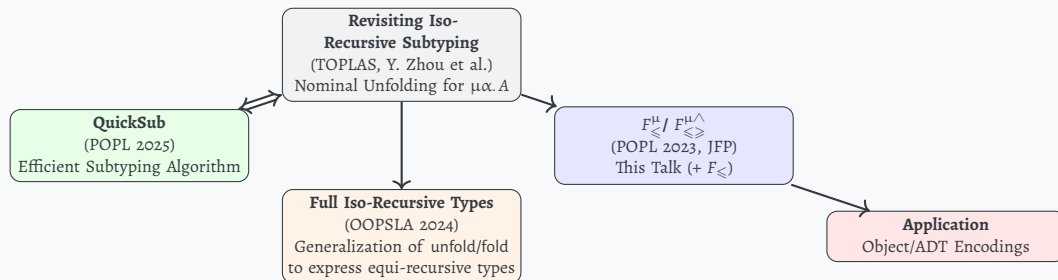
$$\Psi \vdash_{\oplus} A \lesssim B$$

- Ideas: Tracking polarity $\oplus$, distinguish strict subtypes with equivalence on the fly ($\lesssim ::= < \mid \approx_s$).
- Equivalent to Amber rules, **linear complexity** for practical subtypes

Other work on iso-recursive types



Other work on iso-recursive types

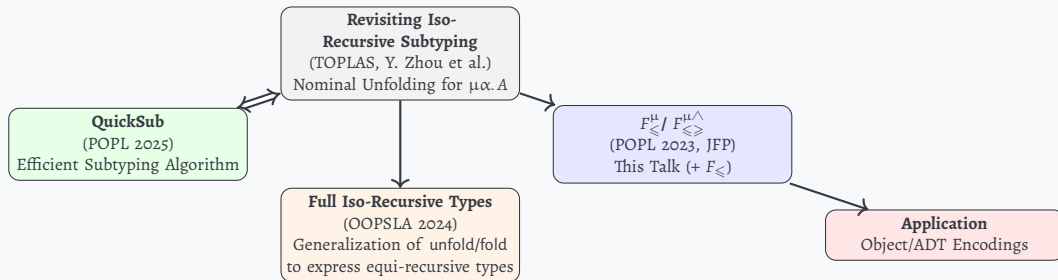


Full Iso-Recursive Types

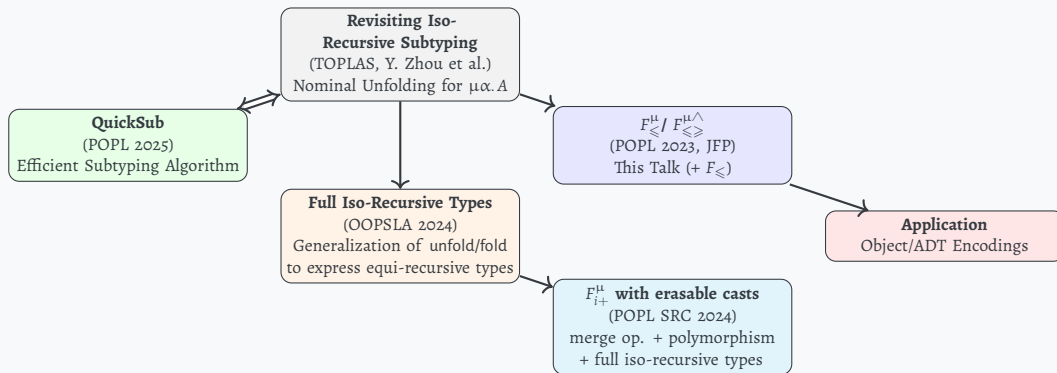
$$e ::= \dots \mid \text{cast}[c]e \quad c ::= \text{unfold}_{\mu\alpha.A} \mid \text{fold}_{\mu\alpha.A} \mid c_1 \rightarrow c_2 \mid \text{fix } \iota.c \mid \dots$$

- Idea: Replace the standard unfold/fold operator with cast's, to enable deep isomorphic transformations on recursive types.
- Same expressiveness of equi-recursive types (easy proof!), casts are runtime irrelevant

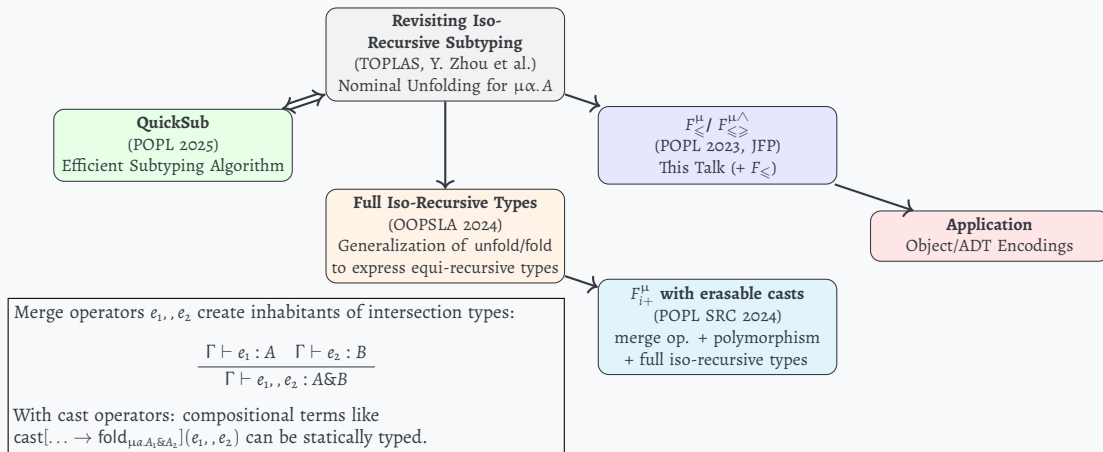
Other work on iso-recursive types



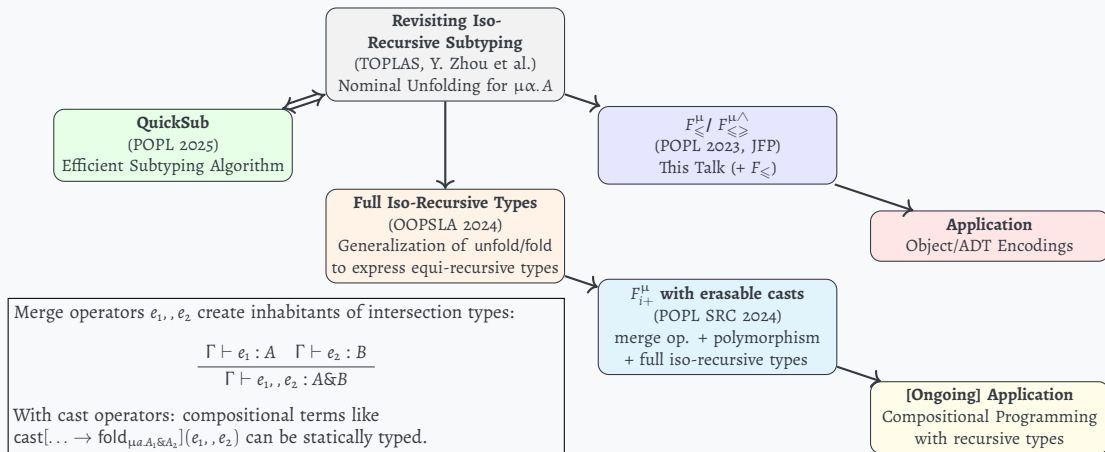
Other work on iso-recursive types



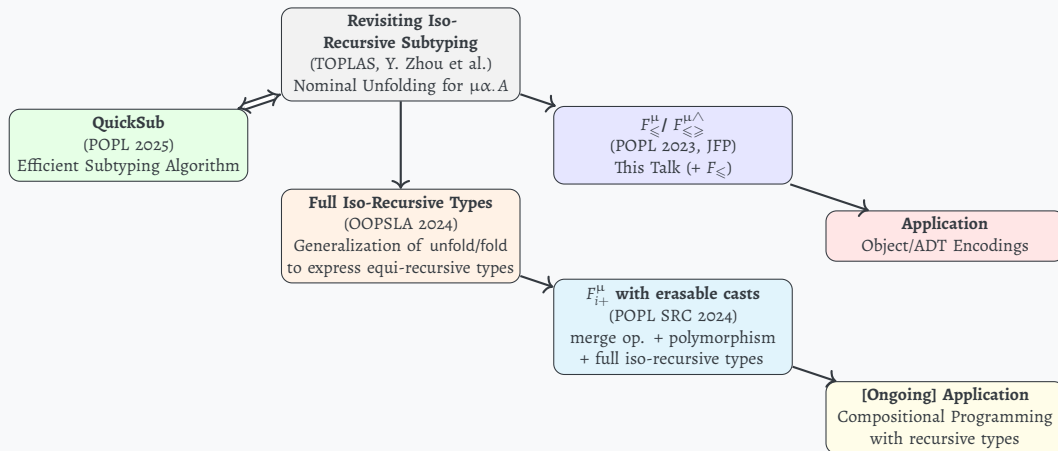
Other work on iso-recursive types



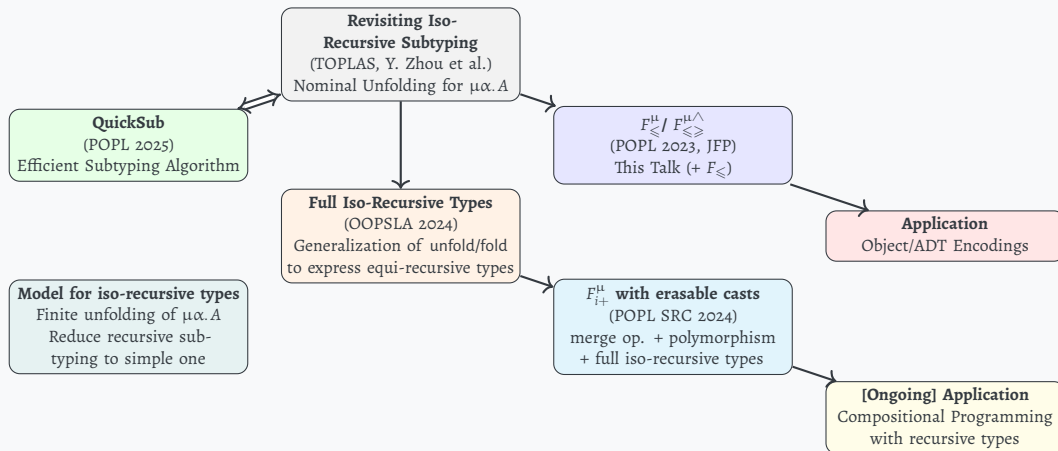
Other work on iso-recursive types



Other work on iso-recursive types

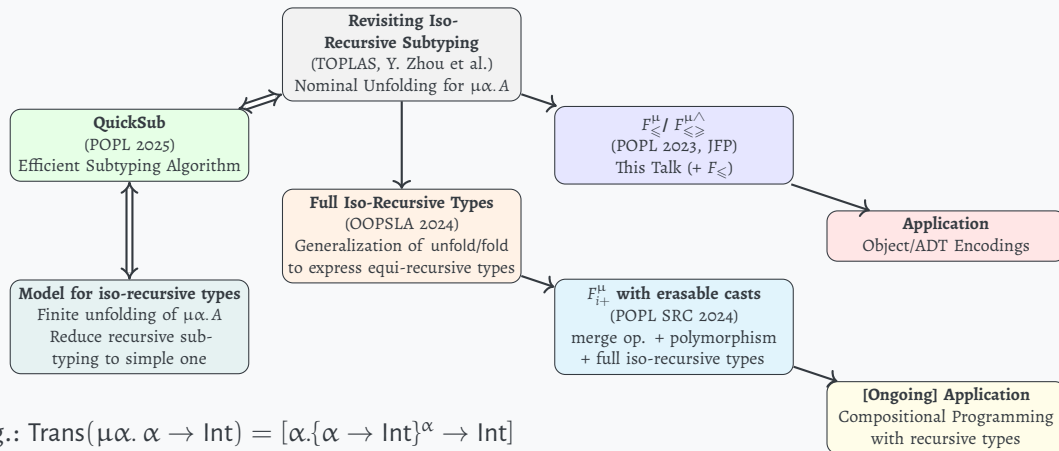


Other work on iso-recursive types



Idea: First translate recursive types into their (nominal) unfolding and then use the translation as a model for subtyping. $A \leq B \triangleq \text{Trans}(A) <: \text{Trans}(B)$.

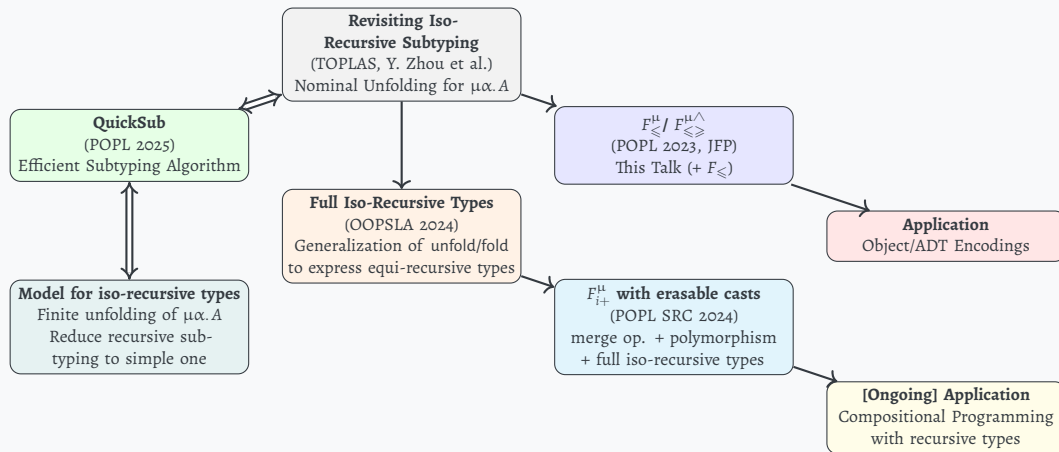
Other work on iso-recursive types



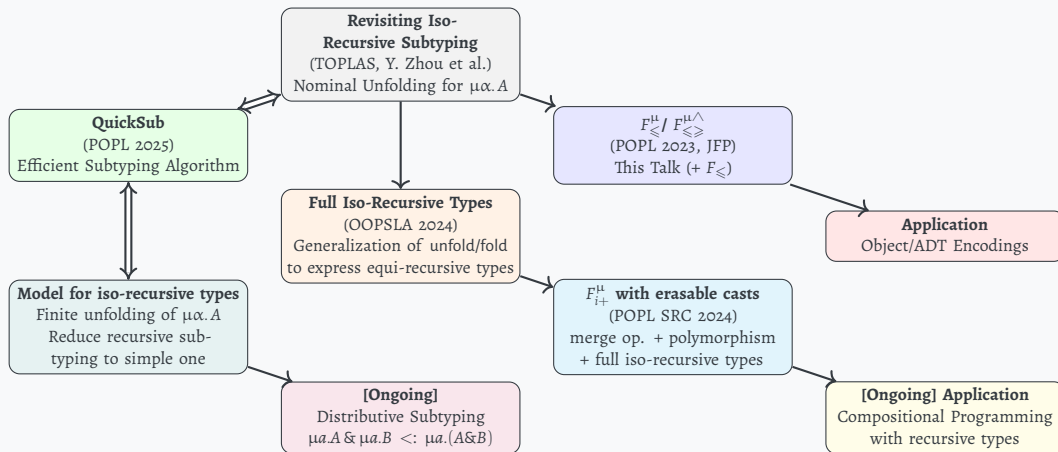
e.g.: $\text{Trans}(\mu\alpha. \alpha \rightarrow \text{Int}) = [\alpha. \{\alpha \rightarrow \text{Int}\}^{\alpha} \rightarrow \text{Int}]$

Idea: First translate recursive types into their (nominal) unfolding and then use the translation as a model for subtyping. $A \leq B \triangleq \text{Trans}(A) <: \text{Trans}(B)$.

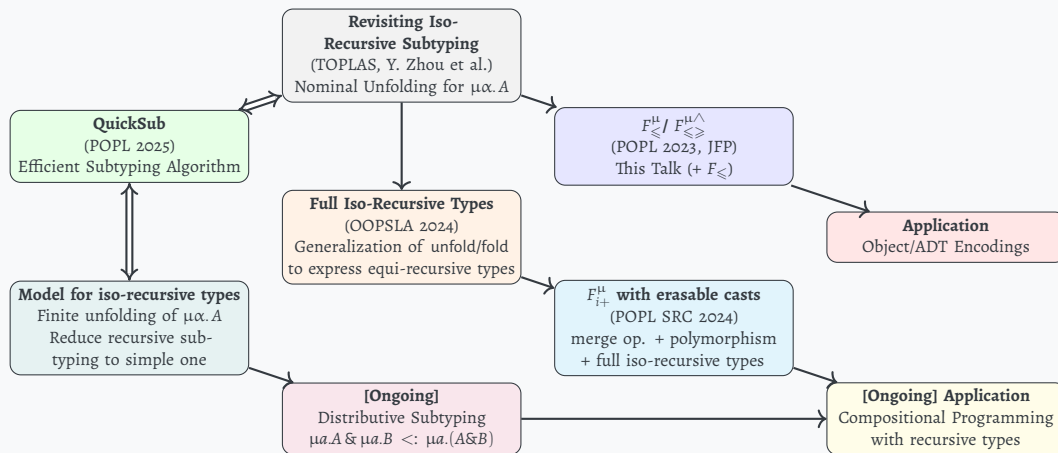
Other work on iso-recursive types



Other work on iso-recursive types



Thank you!



References I

-  Abadi, Martín, Luca Cardelli, and Ramesh Viswanathan. “An Interpretation of Objects and Object Types”. In: *Proceedings of the 23rd ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*. POPL ’96. New York, NY, USA: Association for Computing Machinery, Jan. 1996, pp. 396–409. ISBN: 978-0-89791-769-8. DOI: 10.1145/237721.237809. (Visited on 02/08/2024).
-  Amadio, Roberto M and Luca Cardelli. “Subtyping Recursive Types”. In: *ACM Transactions on Programming Languages and Systems (TOPLAS)* 15.4 (1993), pp. 575–631. DOI: 10.1145/155183.155231.
-  Baldan, Paolo, Giorgio Ghelli, and Alessandra Raffaetà. “Basic Theory of F-Bounded Quantification”. In: *Information and Computation* 153.2 (Sept. 1999), pp. 173–237. ISSN: 08905401. DOI: 10.1006/inco.1999.2802. (Visited on 07/27/2024).
-  Bruce, Kim B., Luca Cardelli, and Benjamin C. Pierce. “Comparing Object Encodings”. In: *Information and Computation* 155.1 (Nov. 1999), pp. 108–133. ISSN: 0890-5401. DOI: 10.1006/inco.1999.2829. (Visited on 02/09/2024).

References II

-  Canning, Peter et al. “F-Bounded Polymorphism for Object-Oriented Programming”. In: *Proceedings of the Fourth International Conference on Functional Programming Languages and Computer Architecture*. Fpca 1989. Imperial College, London, United Kingdom, 1989. DOI: 10.1145/99370.99392.
-  Cardelli, Luca. “Amber, Combinators and Functional Programming Languages”. In: *Proc. of the 13th Summer School of the LITP, Le Val D’Ajol, Vosges (France)*. 1985.
-  Colazzo, Dario and Giorgio Ghelli. “Subtyping Recursion and Parametric Polymorphism in Kernel Fun”. In: *Information and Computation* 198.2 (2005), pp. 71–147. DOI: 10.1016/j.ic.2004.11.003.
-  Ghelli, Giorgio. “Recursive Types Are Not Conservative over $F_{\mu}^{\leq}$ ”. In: *International Conference on Typed Lambda Calculi and Applications*. Springer, 1993, pp. 146–162. DOI: 10.1007/BFb0037104.
-  Jeffrey, Alan. “A Symbolic Labelled Transition System for Coinductive Subtyping of $F_{\mu}^{\leq}$ Types”. In: *2001 IEEE Conference on Logic and Computer Science (LICS 2001)*. Vol. 323. 2001.

References III

-  Pierce, Benjamin C. “Bounded Quantification Is Undecidable”. In: *Information and Computation* 112.1 (1994), pp. 131–165. DOI: 10.1006/inco.1994.1055.
-  Scott, Dana. “A System of Functional Abstraction”. Lectures delivered at University of California, Berkeley, California, USA, 1962/63. 1962.
-  Zhou, Yaoda, Jinxu Zhao, and Bruno C. d. S. Oliveira. “Revisiting Iso-Recursive Subtyping”. In: *ACM Transactions on Programming Languages and Systems (TOPLAS)* 44.4 (2022), pp. 1–54. DOI: 10.1145/3549537.