

# **Compositional Compiler Verification for a Multi-Language World**

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# Compiler Verification

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One of the “big problems” of computer science

- since *McCarthy and Painter 1967*:  
*Correctness of a Compiler for Arithmetic Expressions*

# Compiler Verification since 2006...

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*Leroy '06 : Formal certification of a compiler back-end or:  
programming a compiler with a proof assistant.*

*Lochbihler '10 : Verifying a compiler for Java threads.*

*Myreen '10 : Verified just-in-time compiler on x86.*

*Sevcik et al.'11 : Relaxed-memory concurrency and verified  
compilation.*

*Zhao et al.'13 : Formal verification of SSA-based  
optimizations for LLVM*

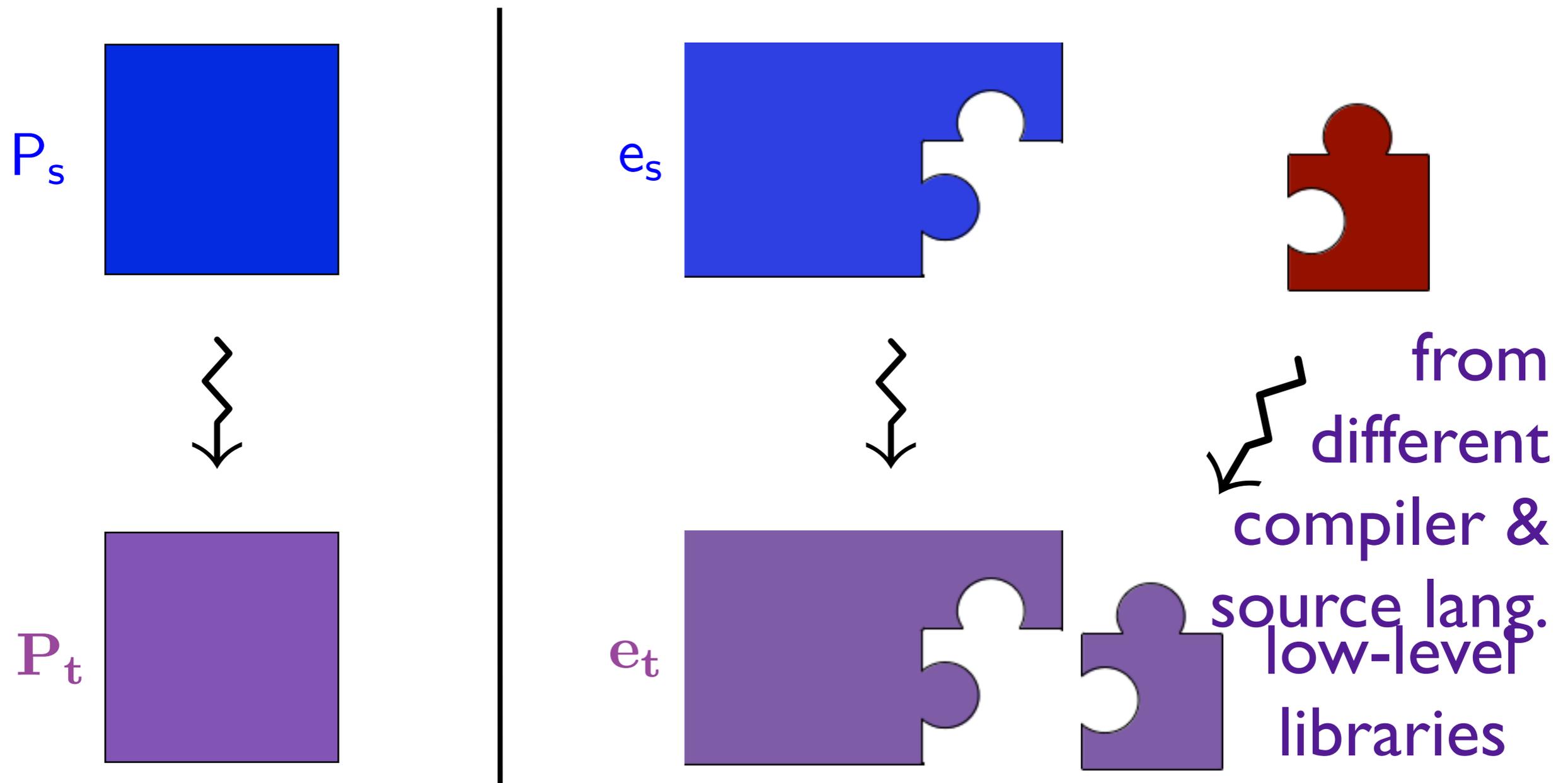
*Kumar et al.'14 : CakeML: A verified implementation of ML*

⋮

# Problem: Whole-Program Assumption

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Correct compilation guarantee only applies to **whole** programs!

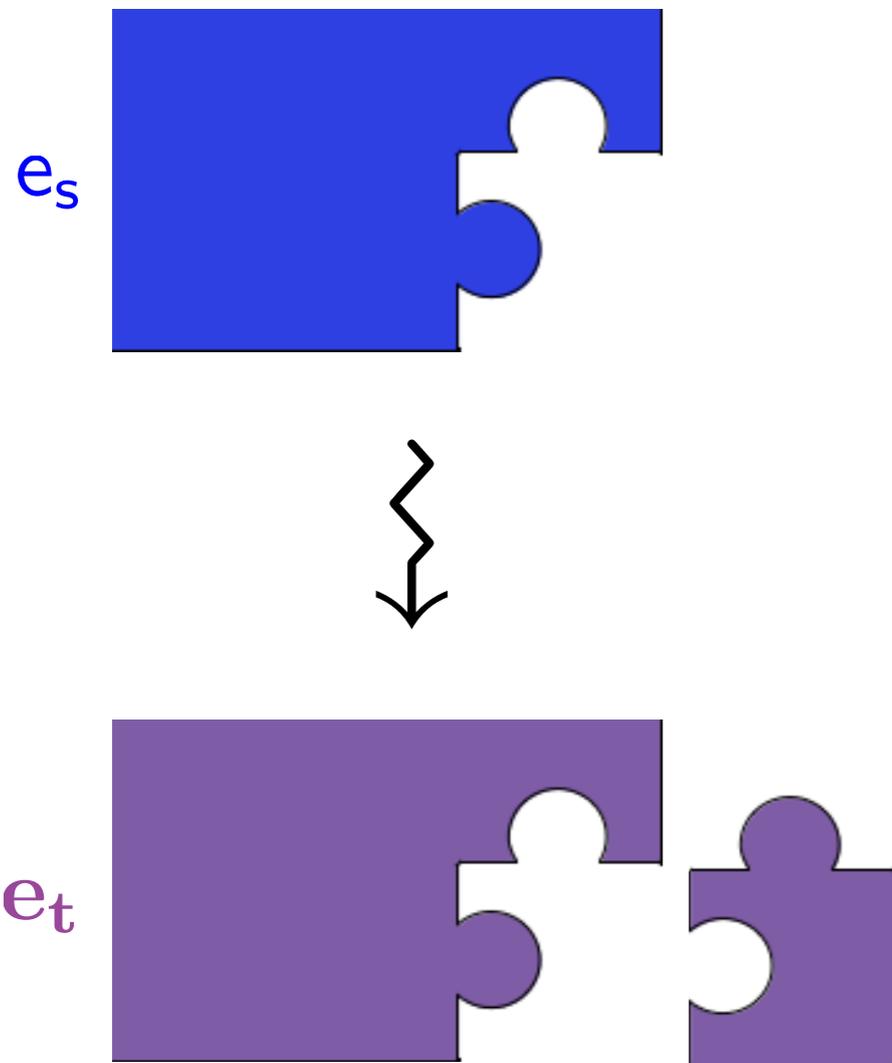


# “Compositional” Compiler Verification

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This Talk...

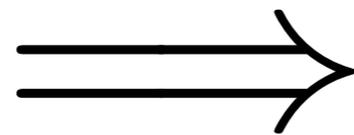
- why specifying compositional compiler correctness is hard
- survey recent results
- generic CCC theorem
- lessons for formalizing **linking** & verifying **multi-pass** compilers
- language design & control over extra-linguistic features



# Compiler Correctness

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$s \rightsquigarrow t$   
↑  
compiles to



$s \approx t$   
↑  
same behavior

# Compiler Correctness

---

$$s \rightsquigarrow t \implies s \approx t$$

↑  
expressed how?

# Whole-Program Compiler Correctness

---

$$P_s \rightsquigarrow P_t \implies P_s \approx P_t$$

↑  
expressed how?  
“closed” simulations

## CompCert

$$\begin{array}{ccccccc} P_s & \mapsto & \dots & \mapsto & P_s^i & \mapsto & P_s^{i+1} & \mapsto & \dots \\ \text{\color{red} |} & & & & \text{\color{red} |} & & \text{\color{red} |} & & \\ R & & & & R & & R & & \\ P_t & \mapsto & \dots & \mapsto & P_t^j & \mapsto & P_t^{j+n} & \mapsto & \dots \end{array}$$

# Whole-Program Compiler Correctness

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$$P_s \rightsquigarrow P_t \implies P_t \sqsubseteq P_s$$

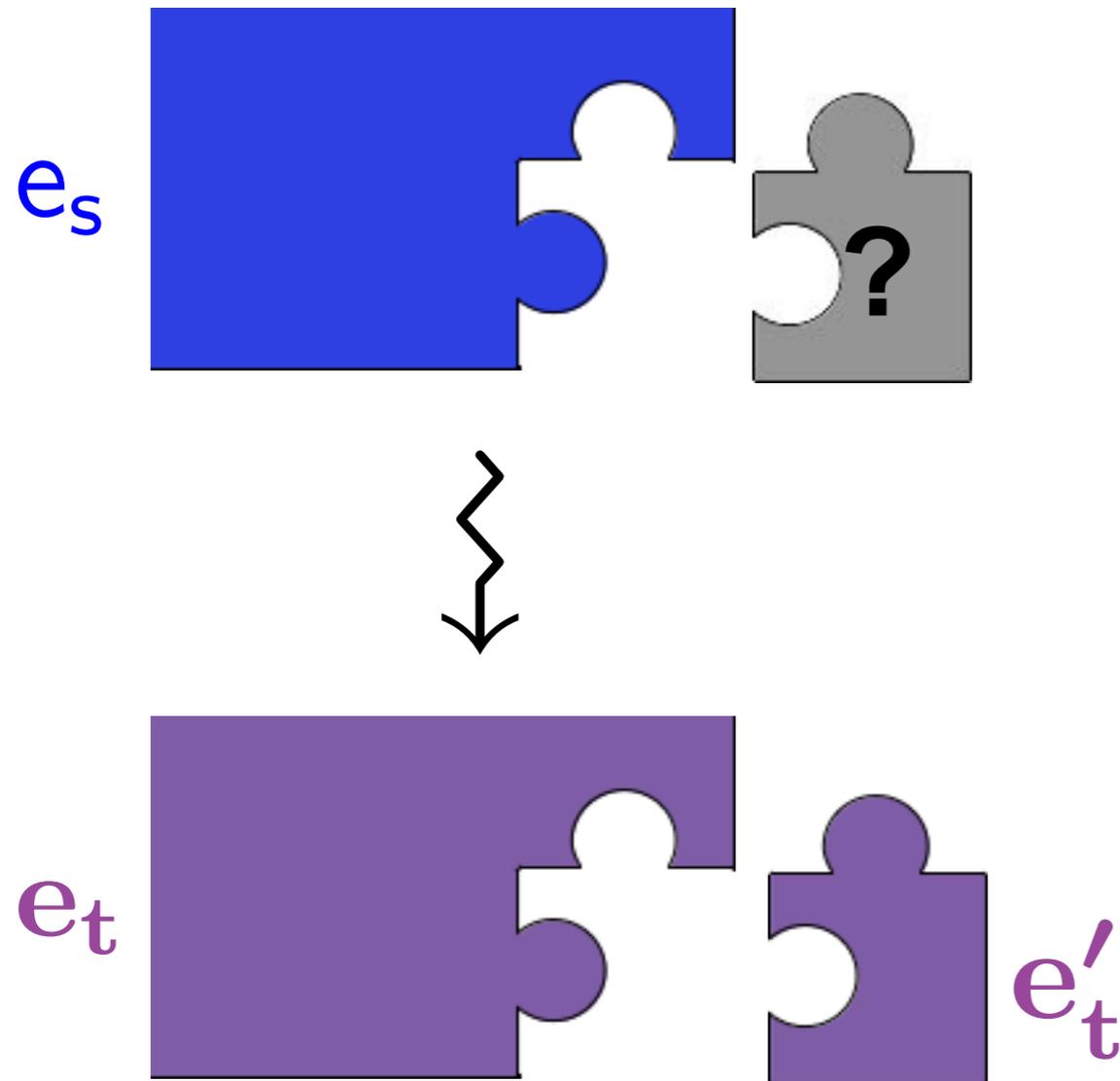
↑  
behavior refinement

$$\forall n. P_t \xrightarrow{T_t}^n P'_t \implies$$

$$\exists m. P_s \xrightarrow{T_s}^m P'_s \wedge T_t \simeq T_s$$

# Correct Compilation of Components?

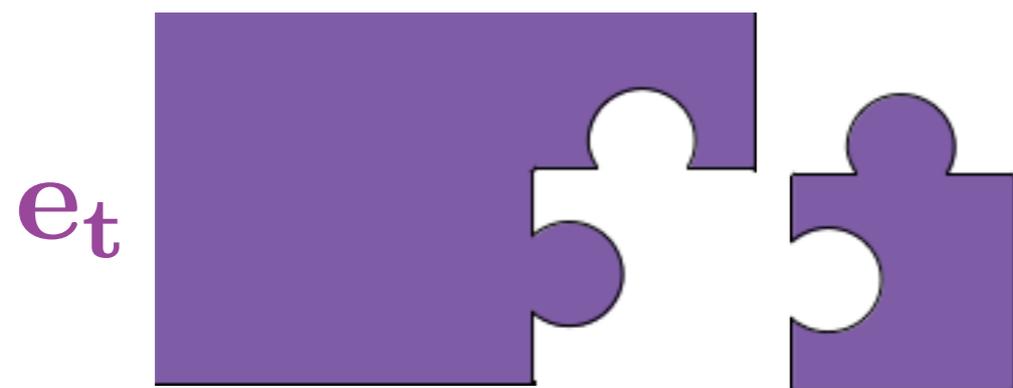
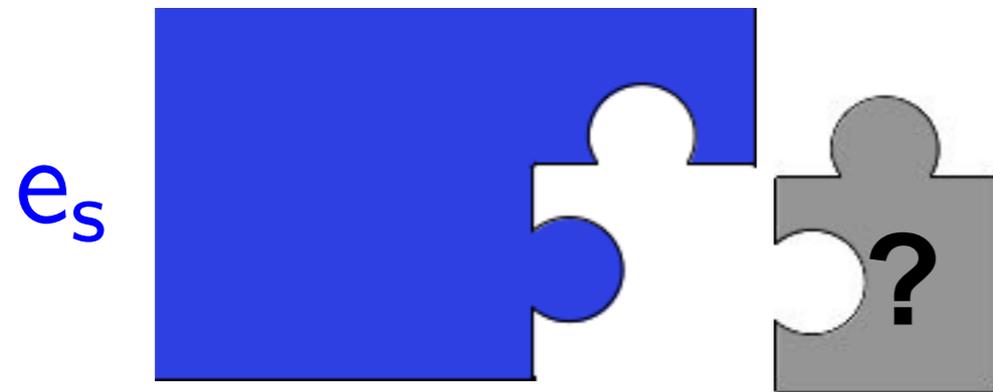
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$e_s \approx e_T$   
↑  
expressed how?

# “Compositional” Compiler Correctness

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$e'_t$

*Produced by*

- same compiler,
- diff compiler for  $S$ ,
- compiler for diff lang  $R$ ,
- $R$  that's **very** diff from  $S$ ?

*Behavior expressible in  $S$ ?*

$$e_s \approx e_T$$

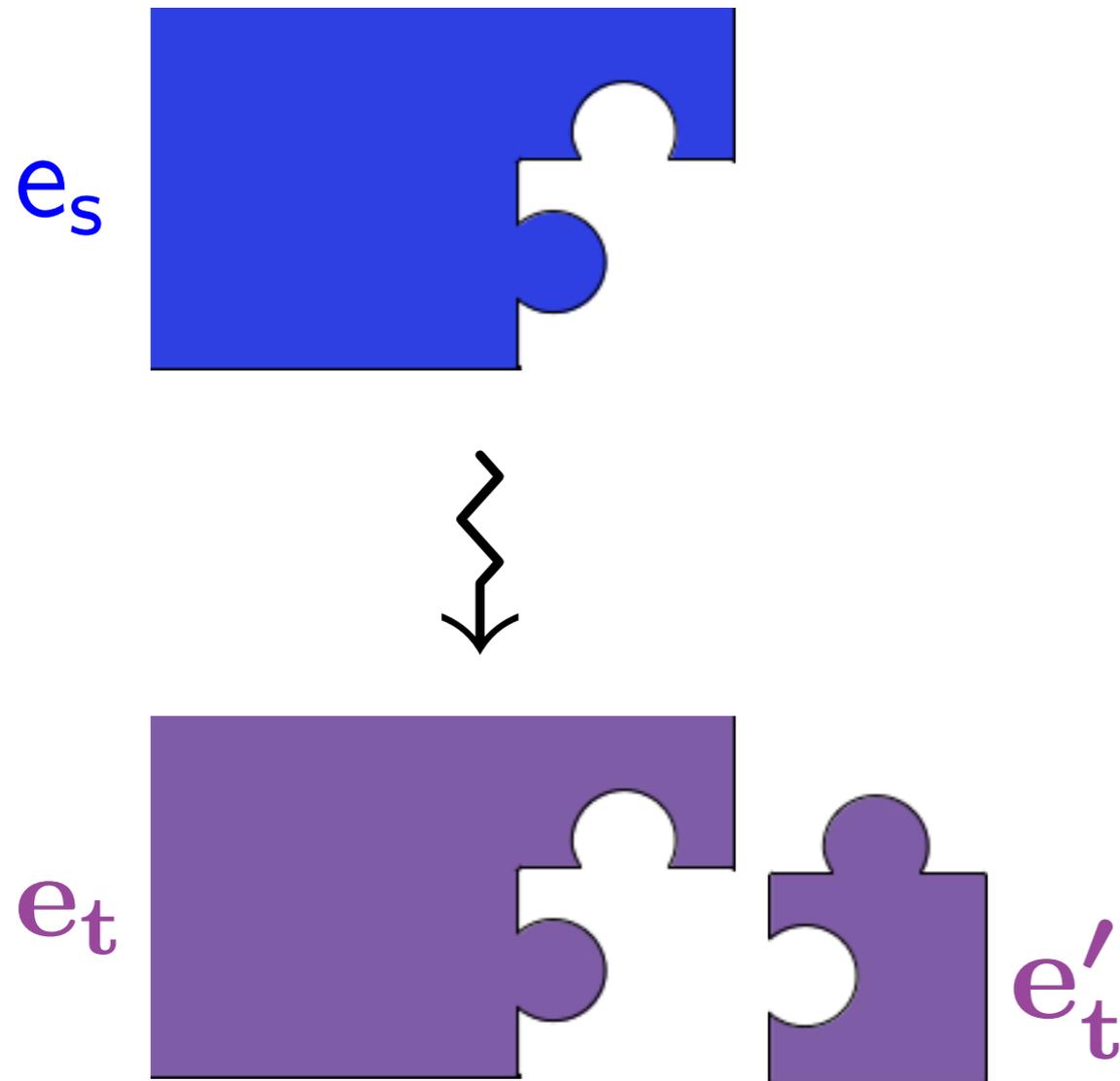


**expressed how?**

# “Compositional” Compiler Correctness

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If we want to verify realistic compilers...



$$e_s \approx e_T$$



Definition should:

- permit **linking** with target code of arbitrary provenance
- support verification of **multi-pass** compilers

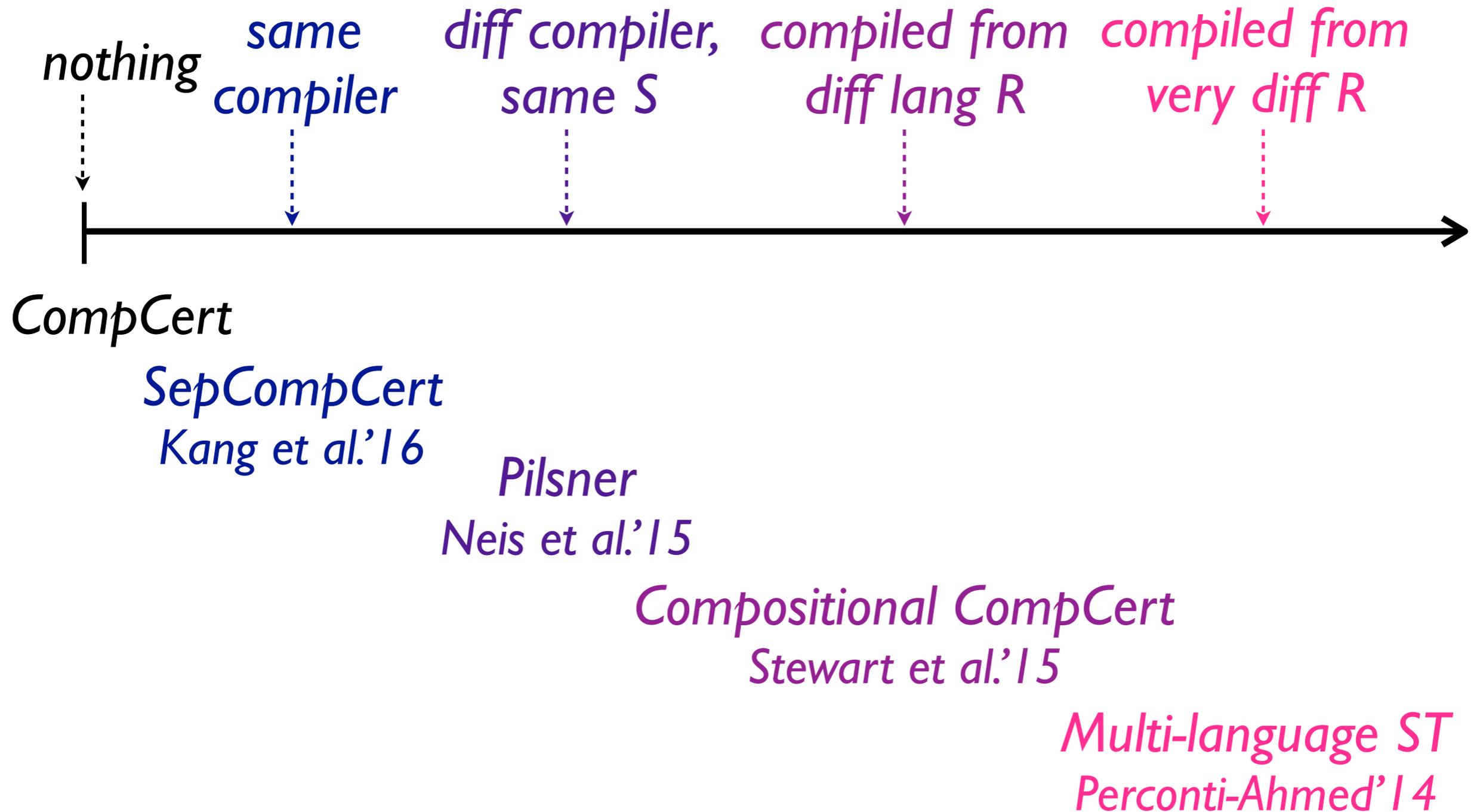
# Next: Survey of State of the Art

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- Survey of “compositional” compiler correctness results
  - how to express  $e_S \approx e_T$
- How does the choice affect:
  - what we can **link** with (*horizontal compositionality*)
  - how we check if some  $e'_t$  is okay to link with
  - effort required to prove *transitivity* for **multi-pass** compilers (*vertical compositionality*)
  - effort required to have confidence in theorem statement

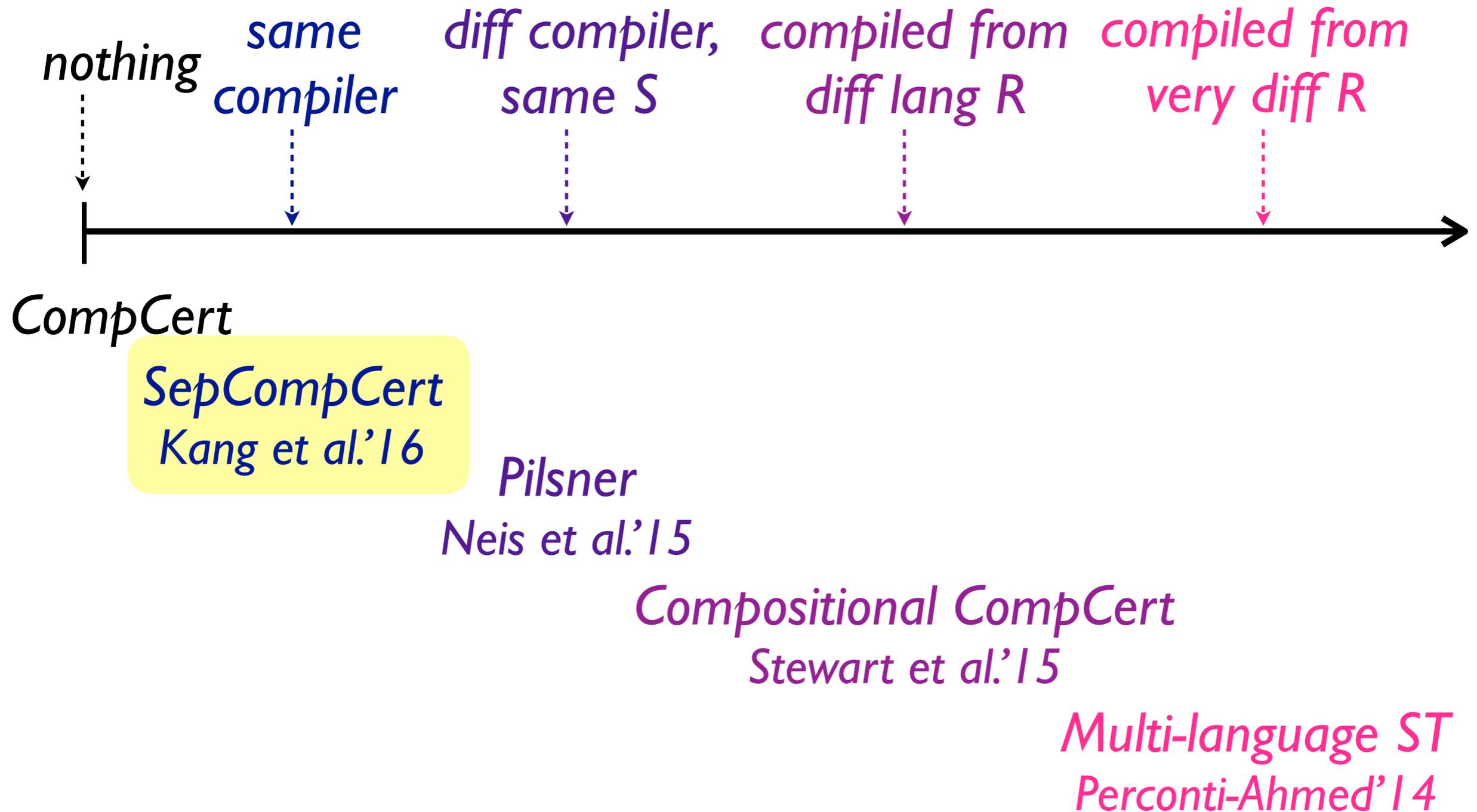
# What we can link with

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# What we can link with

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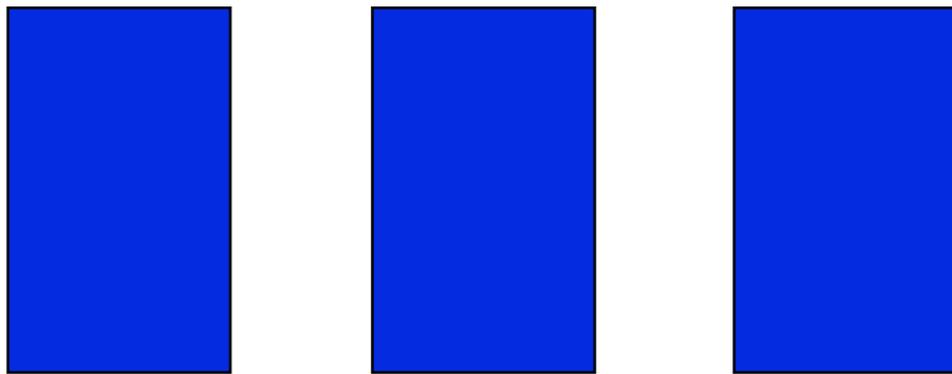


# Approach: Separate Compilation

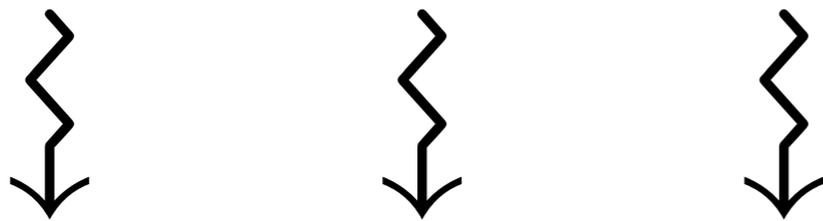
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SepCompCert

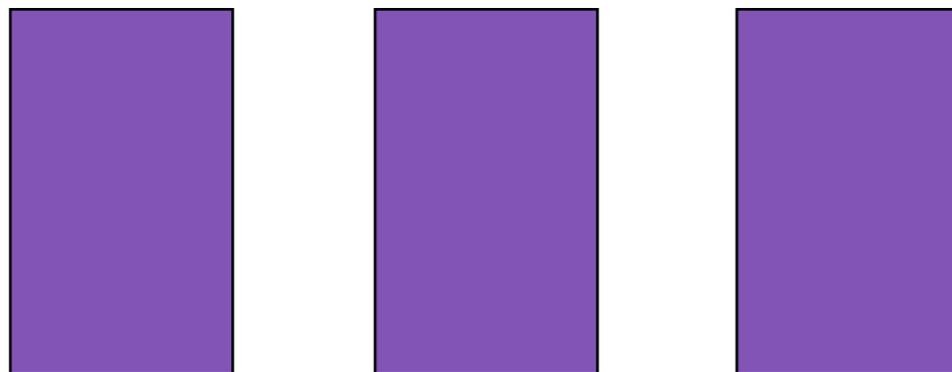
*[Kang et al. '16]*



*Level A correctness:  
exactly same compiler*

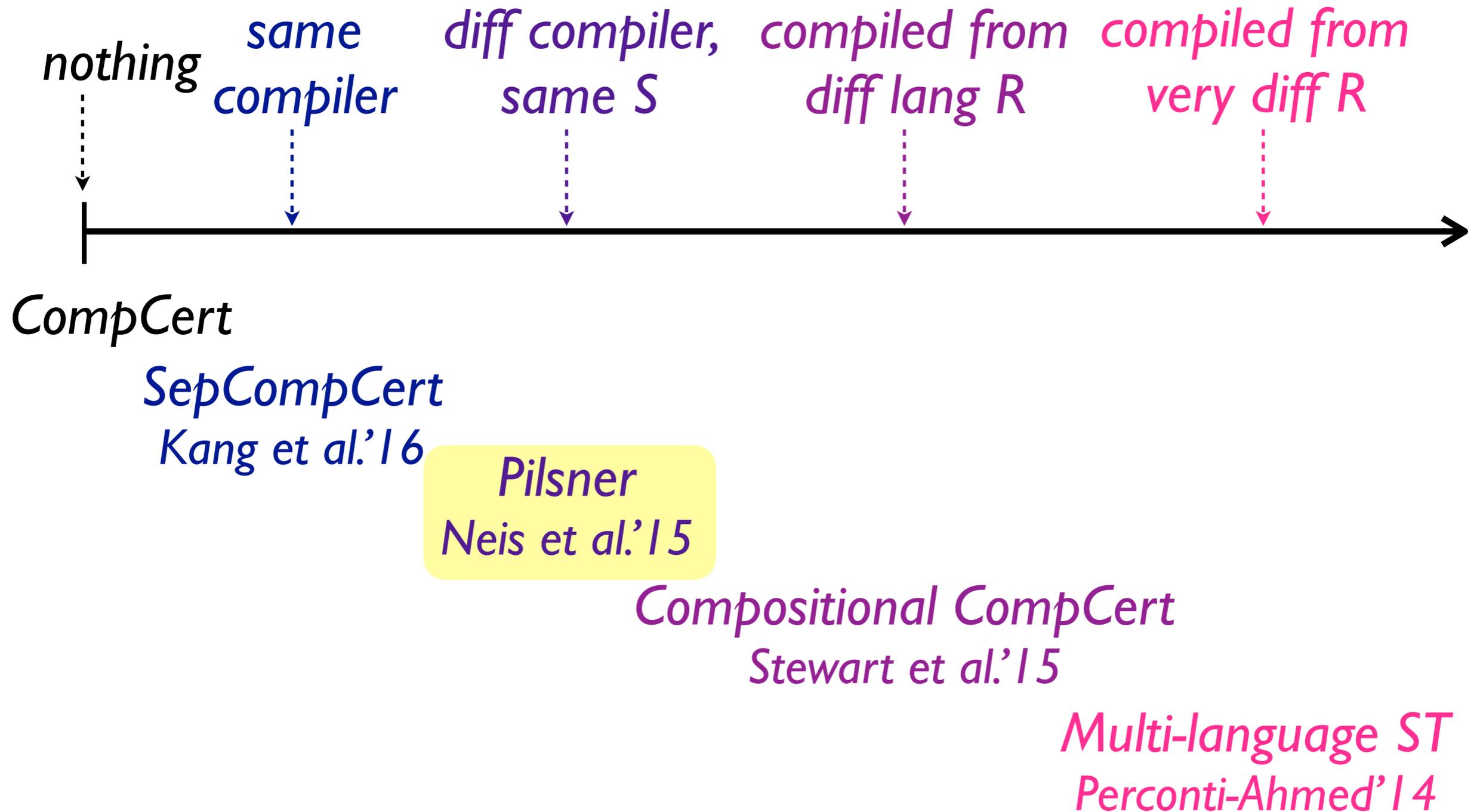


*Level B correctness:  
can omit some intra-language  
(RTL) optimizations*



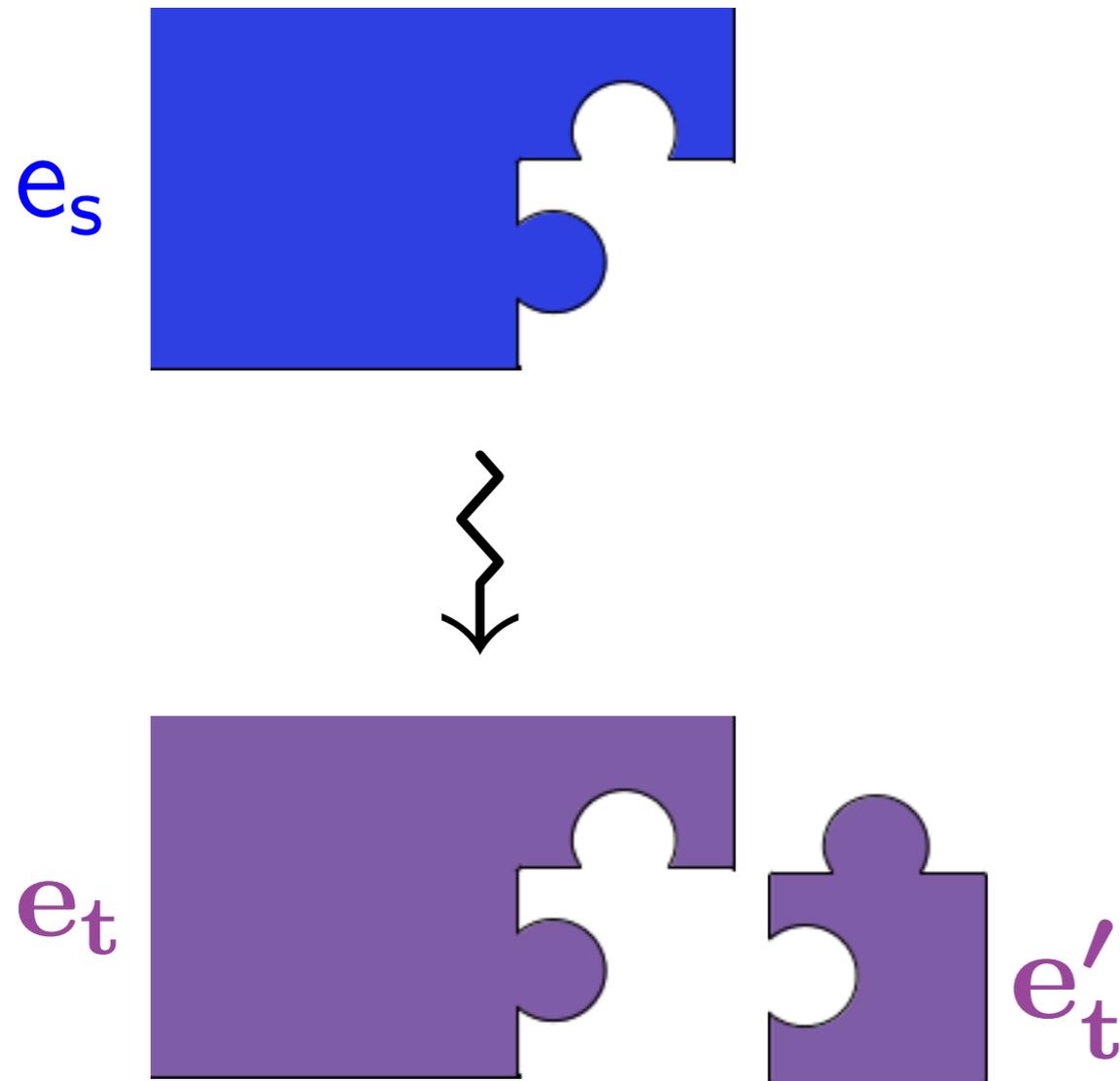
# What we can link with

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# Approach: Cross-Language Relations

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Cross-language relation

$$e_s \approx e_T$$

Compiling ML-like langs:

*Logical relations*

- No transitivity!

*Parametric inter-language simulations (PILS)*

- Prove transitivity, but requires effort!

# Cross-Language Relation (Pilsner)

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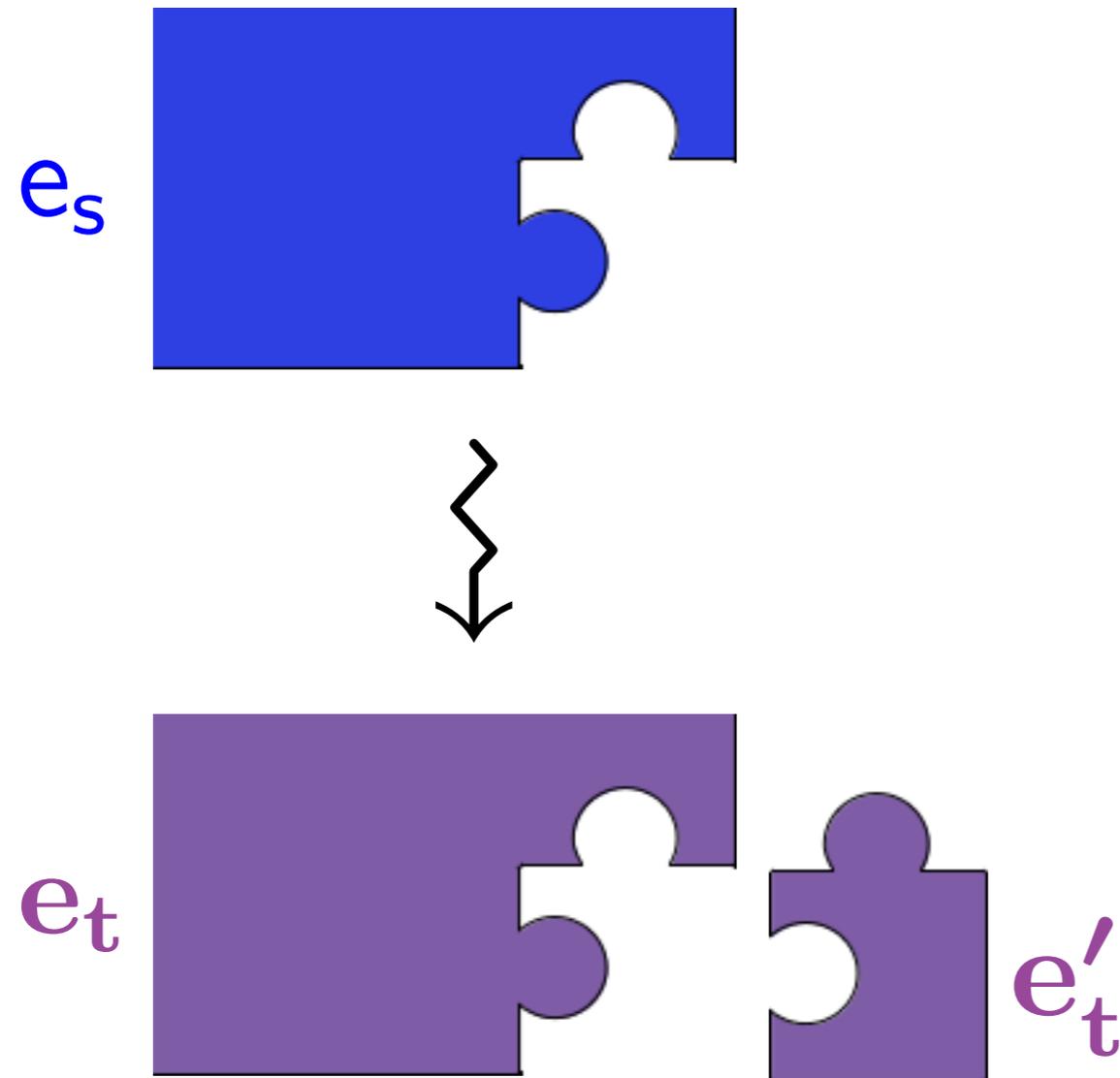
$$x : \tau' \vdash e_s : \tau \rightsquigarrow e_t \implies x : \tau' \vdash e_s \simeq e_t : \tau$$

cross-language relation

$$\forall e'_s, e'_t. \vdash e'_s \simeq e'_t : \tau' \implies \vdash e_s[e'_s/x] \simeq e_t[e'_t/x] : \tau$$

# Cross-Language Relation (Pilsner)

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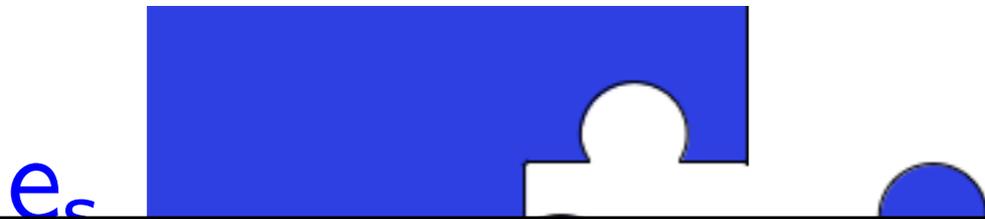


Have  $x : \tau' \vdash e_s \simeq e_t : \tau$

*Does the compiler  
correctness theorem  
permit linking with  $e'_t$ ?*

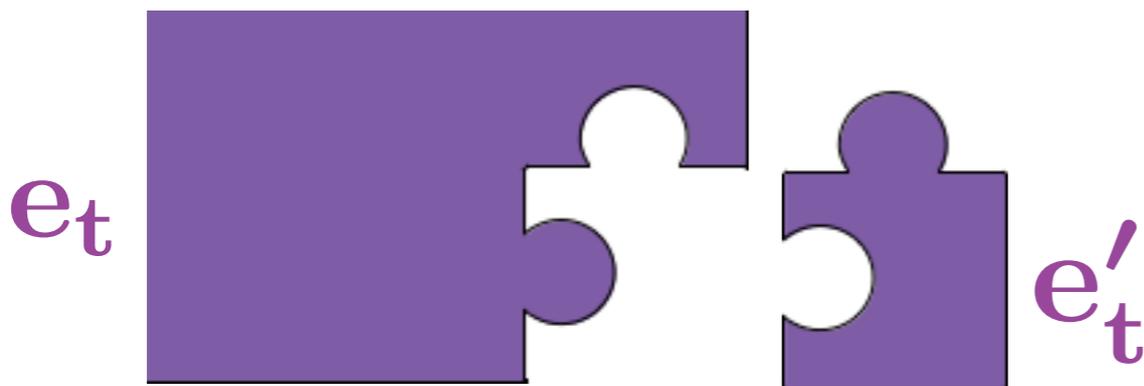
# Cross-Language Relation (Pilsner)

Have  $x : \tau' \vdash e_s \simeq e_t : \tau$



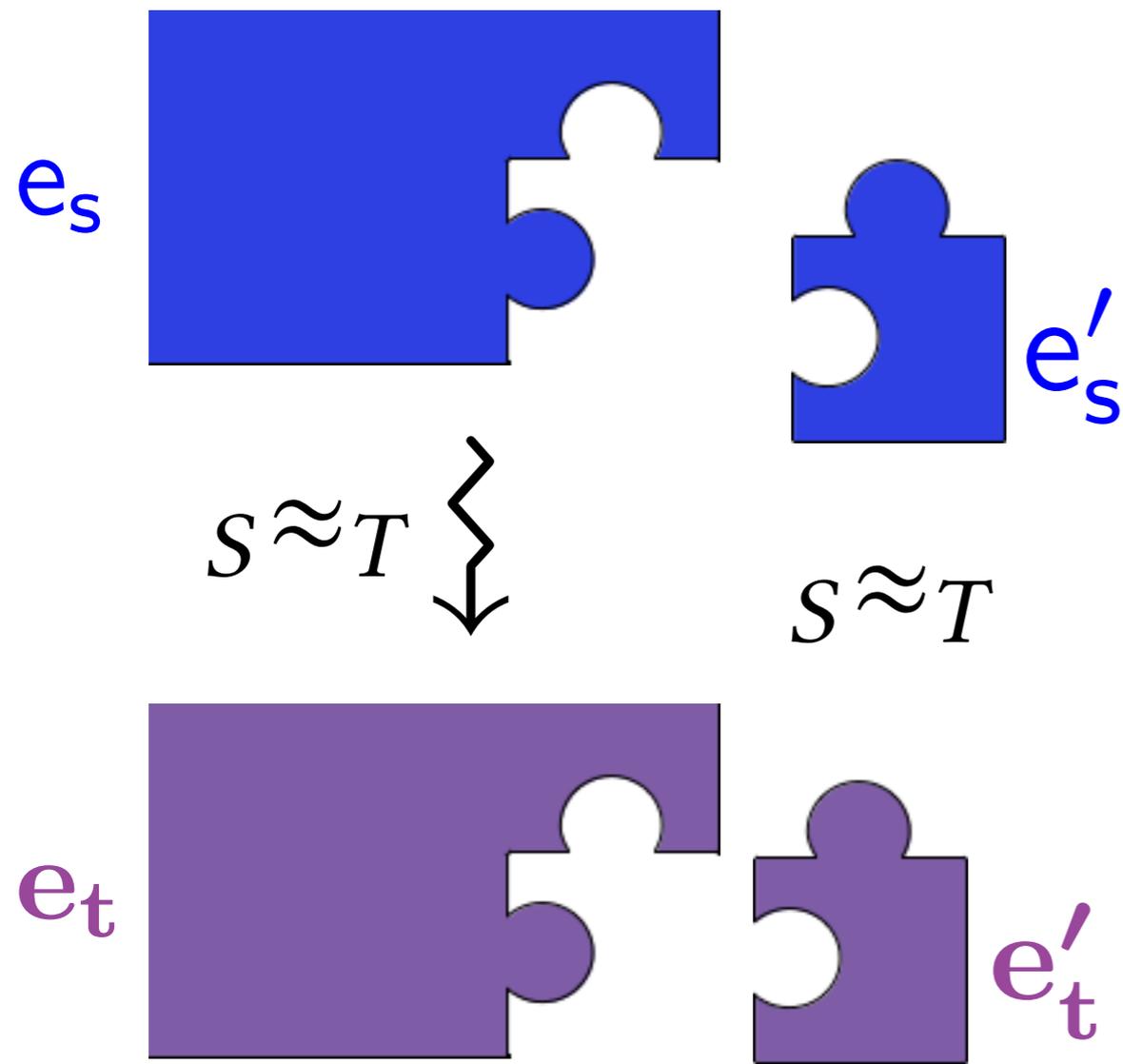
cross-language relation

$$\forall e'_s, e'_t. \vdash e'_s \simeq e'_t : \tau' \implies \vdash e_s[e'_s/x] \simeq e_t[e'_t/x] : \tau$$

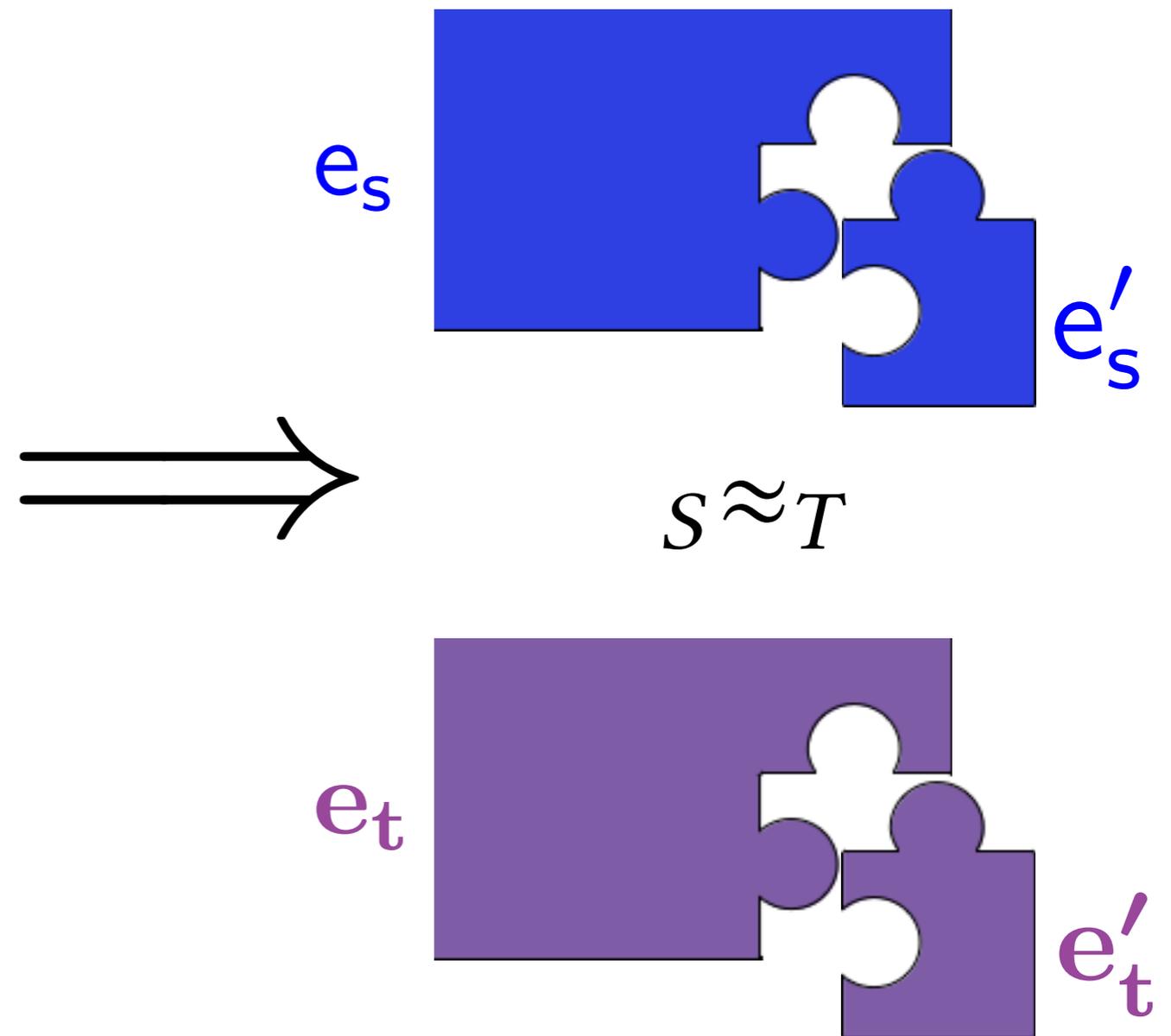


- Need to come up with  $e'_s$   
-- not feasible in practice!
- Cannot link with  $e'_t$   
whose behavior cannot be expressed in source.

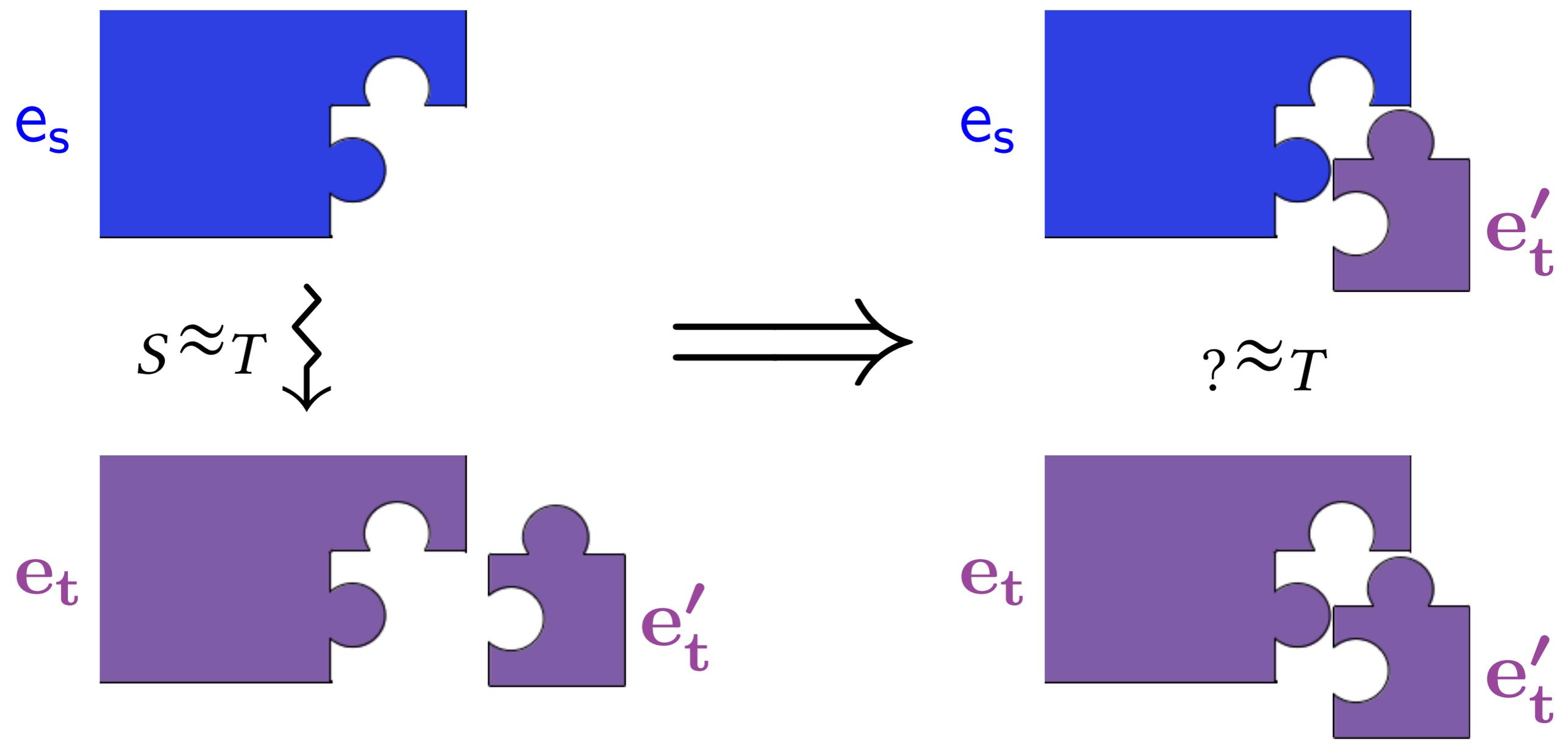
# Horizontal Compositionality



# Linking

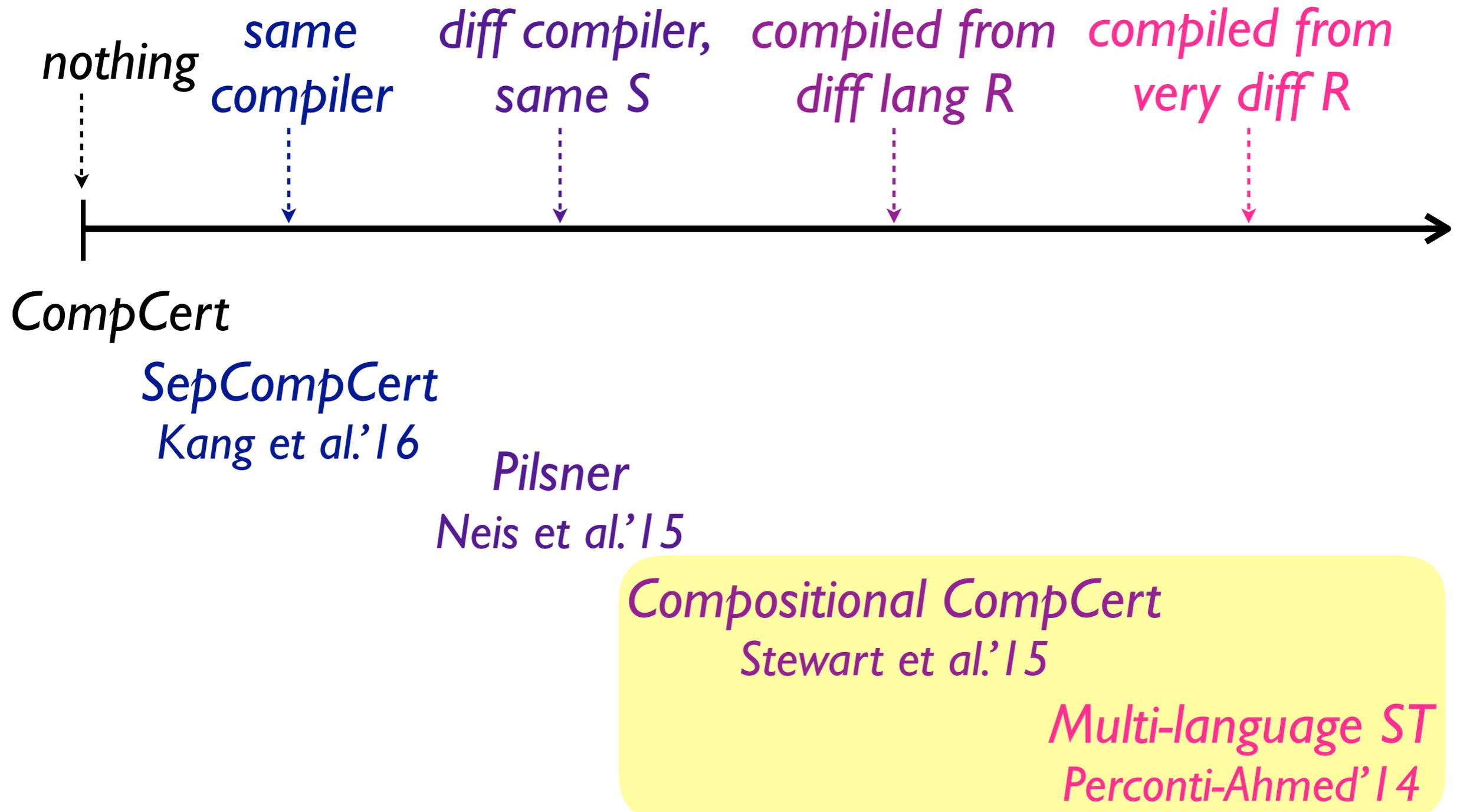


# Linking



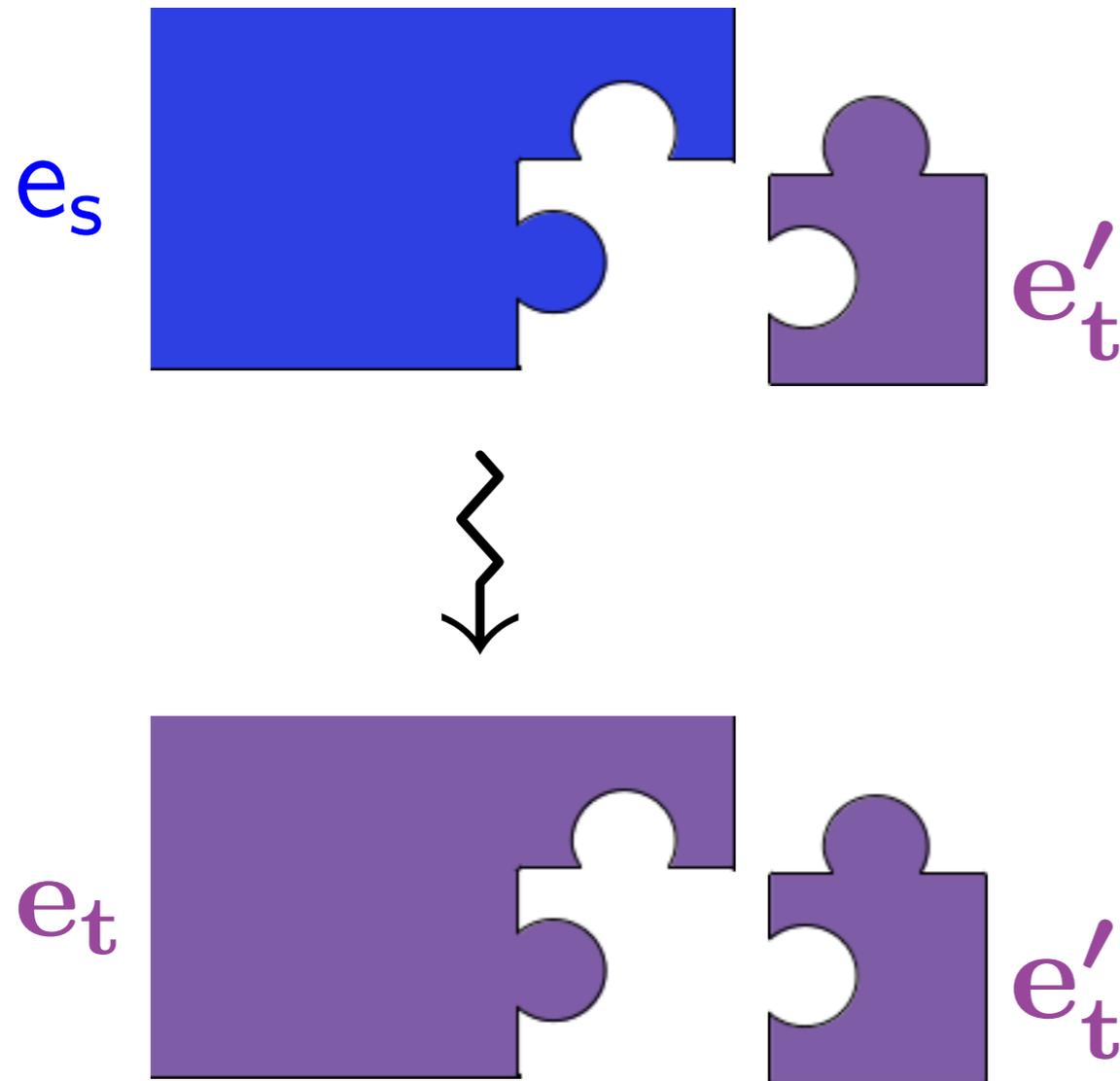
# What we can link with

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# Correct Compilation of Components?

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$$e_s \approx e_T$$

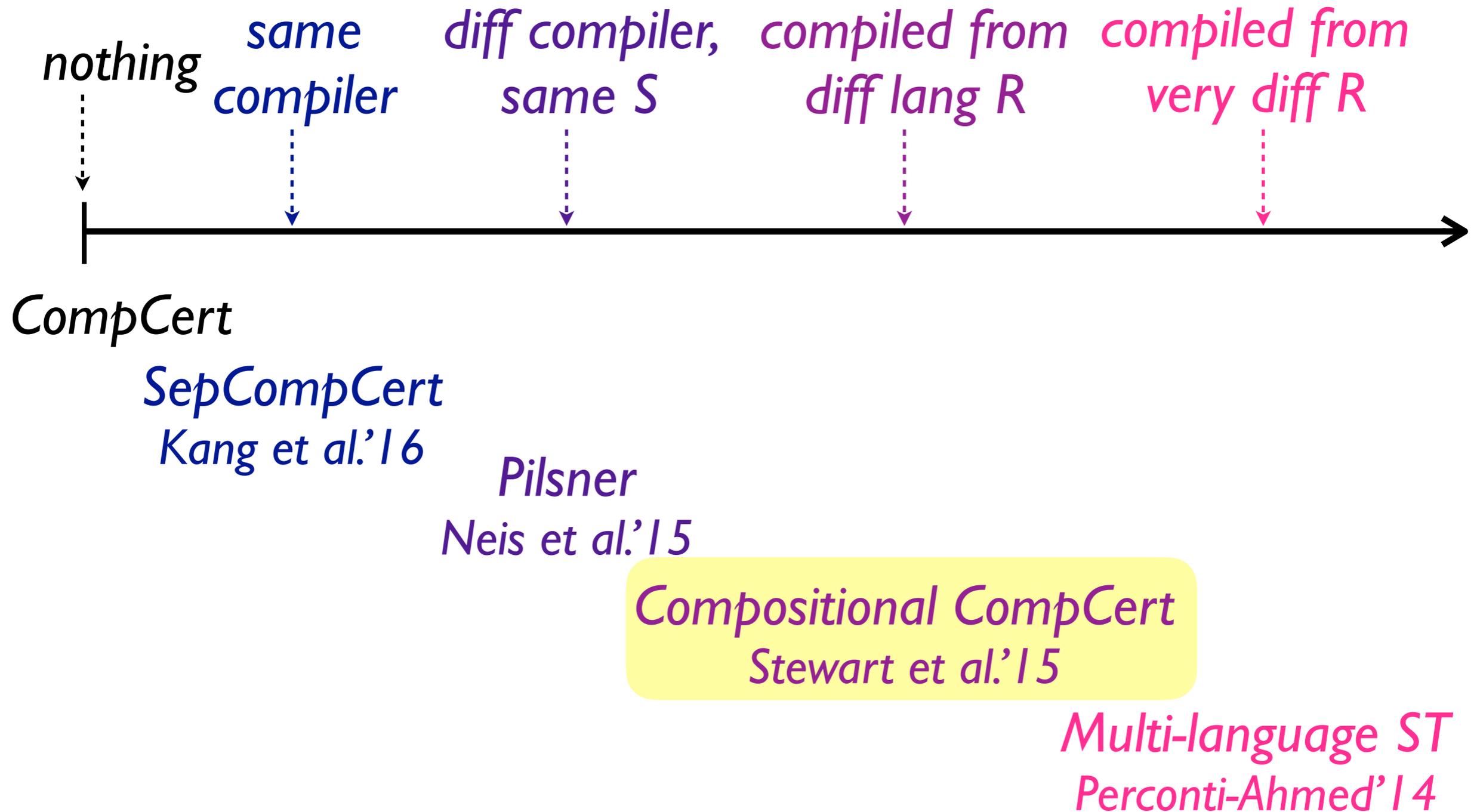
expressed how?

Need a semantics  
of source-target  
interoperability:

- *interaction semantics*
- *source-target multi-language*

# What we can link with

---



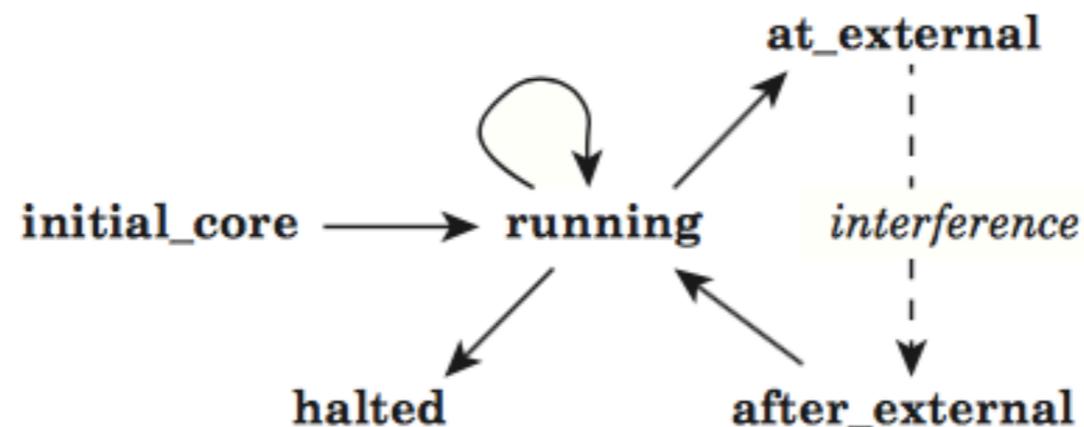
# Approach: Interaction Semantics

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## Compositional CompCert

[Stewart et al. '15]

- Language-independent linking



```
Semantics (G C M : Type) : Type  $\triangleq$   
{  
  initial_core   : G  $\rightarrow$   $\mathcal{V}$   $\rightarrow$  list  $\mathcal{V}$   $\rightarrow$  option C  
  at_external   : C  $\rightarrow$  option ( $\mathcal{F}$   $\times$  list  $\mathcal{V}$ )  
  after_external : option  $\mathcal{V}$   $\rightarrow$  C  $\rightarrow$  option C  
  halted       : C  $\rightarrow$  option  $\mathcal{V}$   
  corestep     : G  $\rightarrow$  C  $\rightarrow$  M  $\rightarrow$  C  $\rightarrow$  M  $\rightarrow$  Prop  
}
```

**Figure 2.** Interaction semantics interface. The types  $G$  (global environment),  $C$  (core state), and  $M$  (memory) are parameters to the interface.  $\mathcal{F}$  is the type of external function identifiers.  $\mathcal{V}$  is the type of CompCert values.

# Approach: Interaction Semantics

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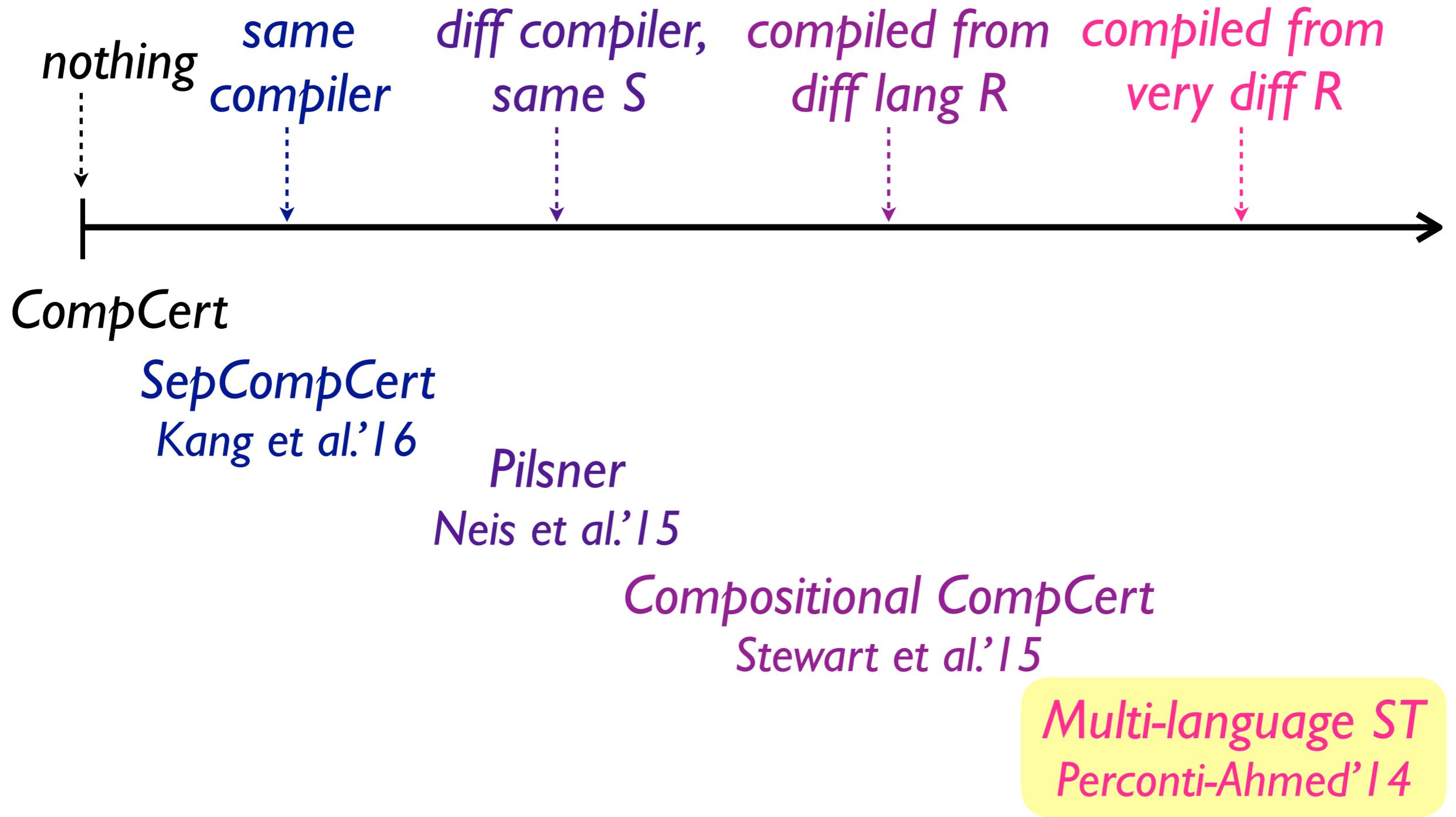
## Compositional CompCert

*[Stewart et al. '15]*

- Language-independent linking
- Structured simulation: support rely-guarantee relationship between the different languages while retaining vertical compositionality

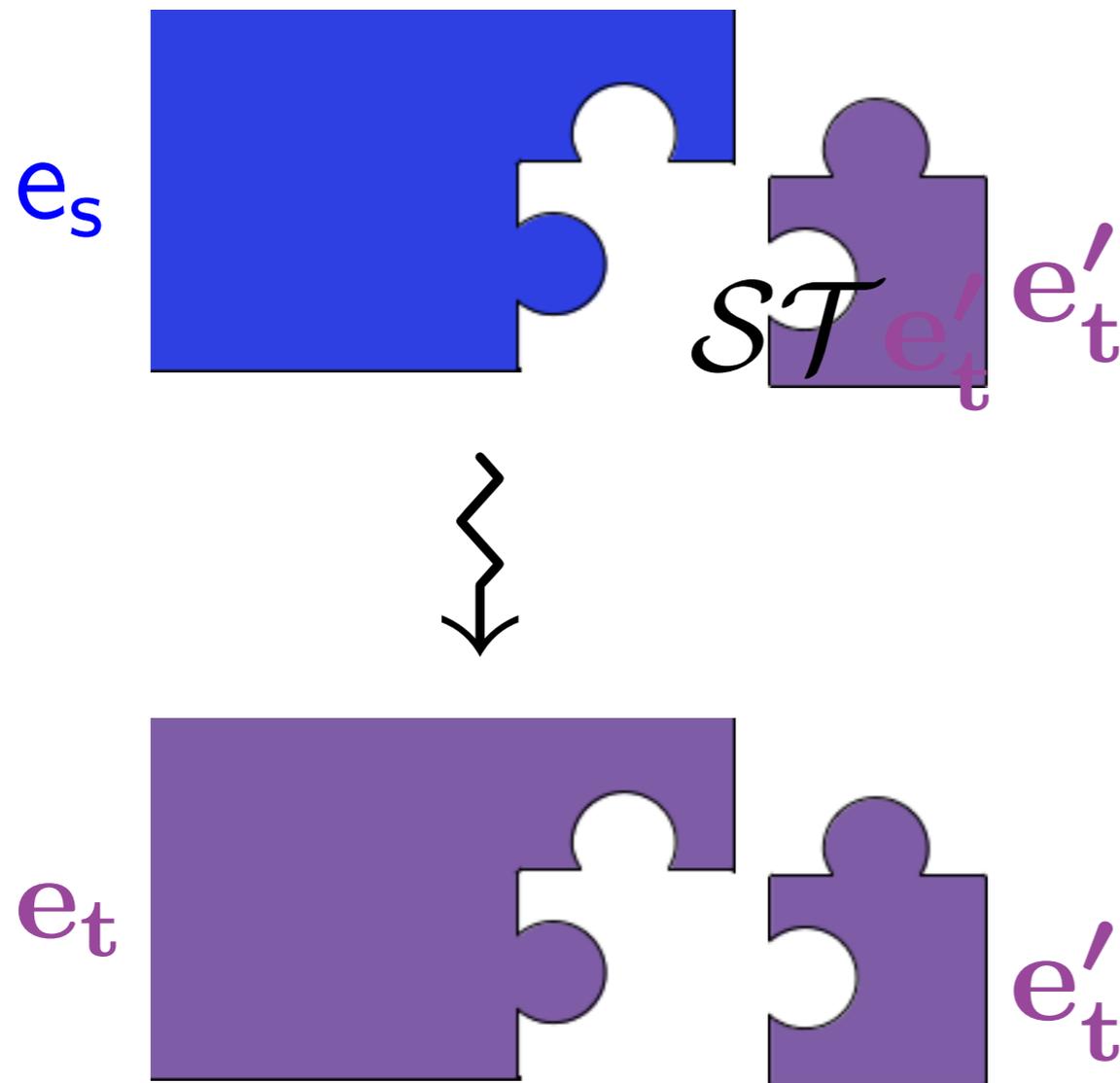
# What we can link with

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# Approach: Source-Target Multi-lang.

[Perconti-Ahmed'14]



Specify semantics  
of source-target  
interoperability:

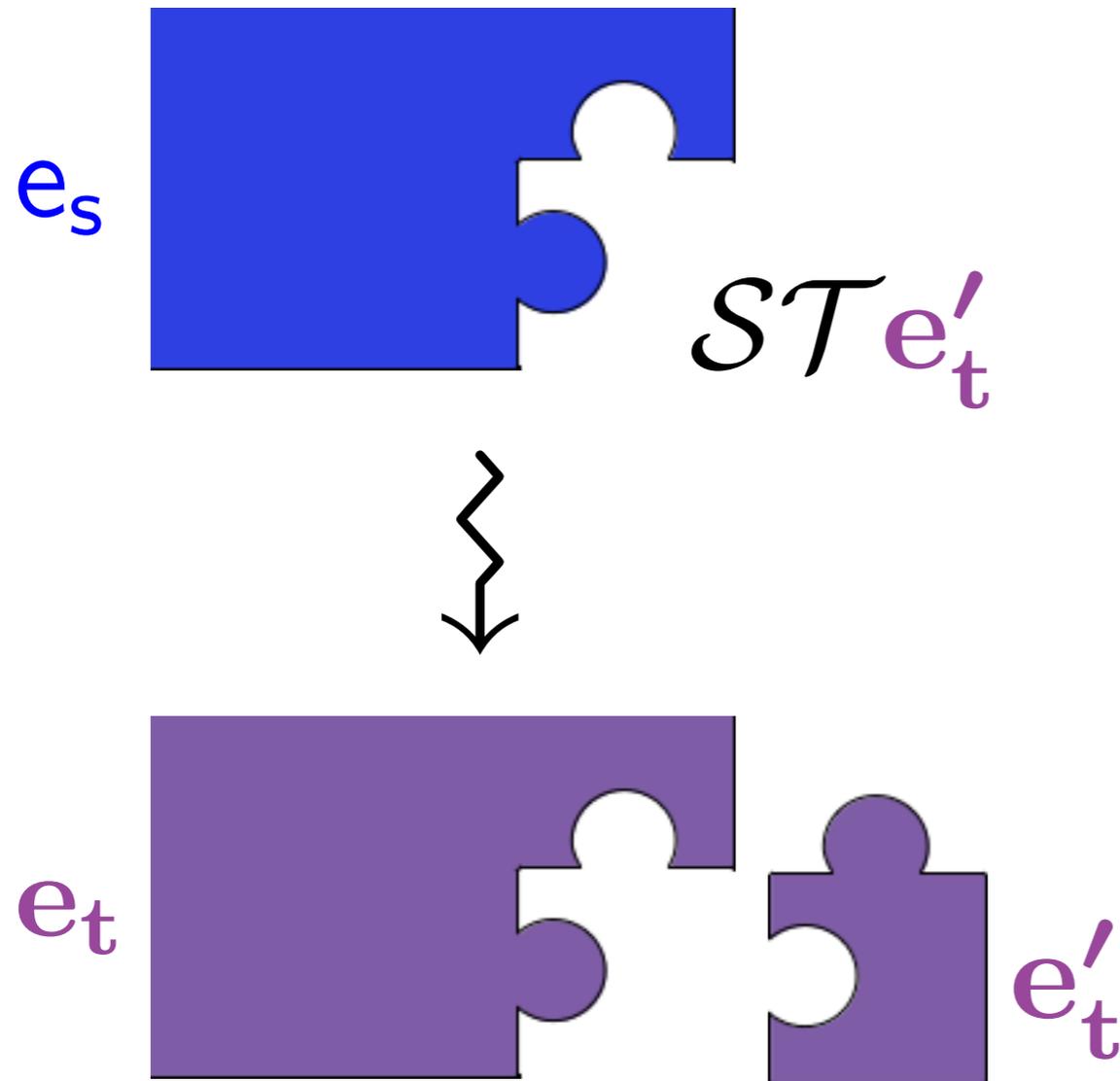
$ST e_t$      $TS e_s$

*Multi-language semantics:  
a la Matthews-Findler '07*

# Approach: Source-Target Multi-lang.

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[Perconti-Ahmed'14]

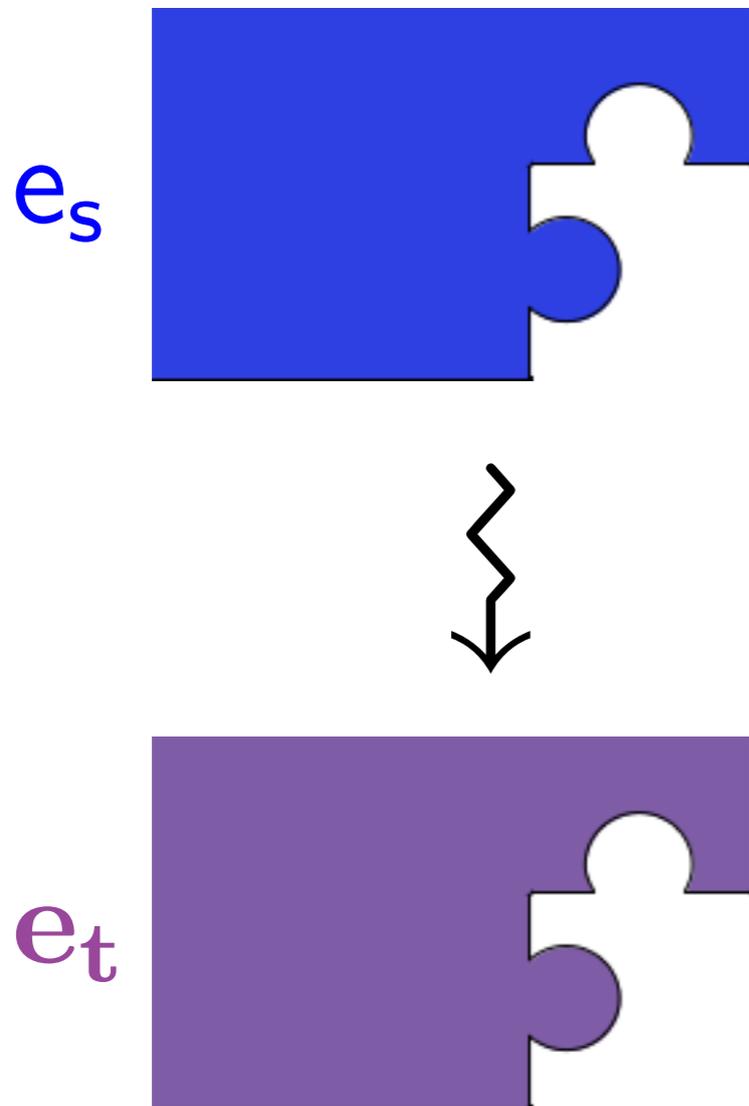


$$\mathcal{TS}(e_s (ST e'_t)) \approx^{ctx} e_t e'_t$$

# Approach: Source-Target Multi-lang.

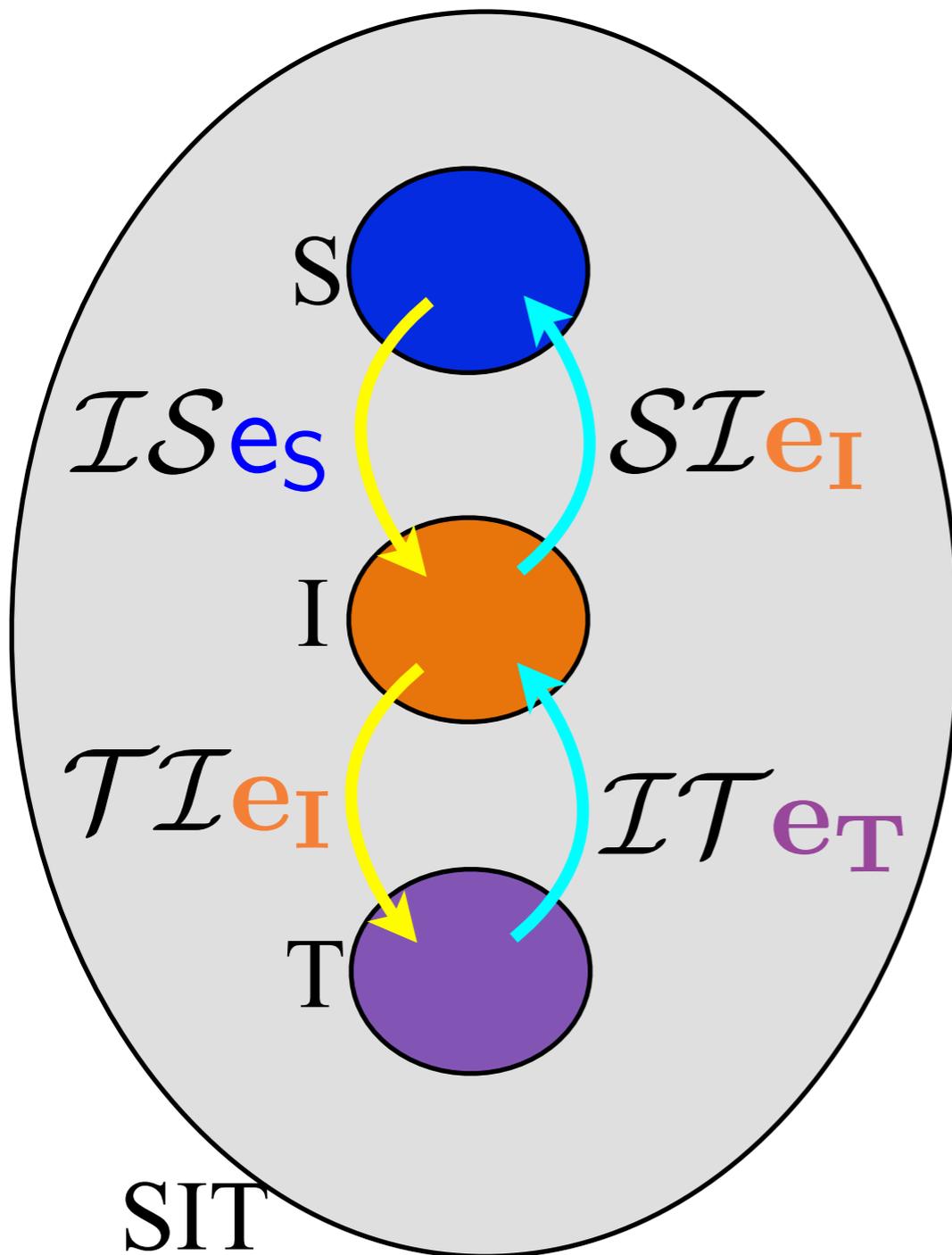
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[Perconti-Ahmed'14]

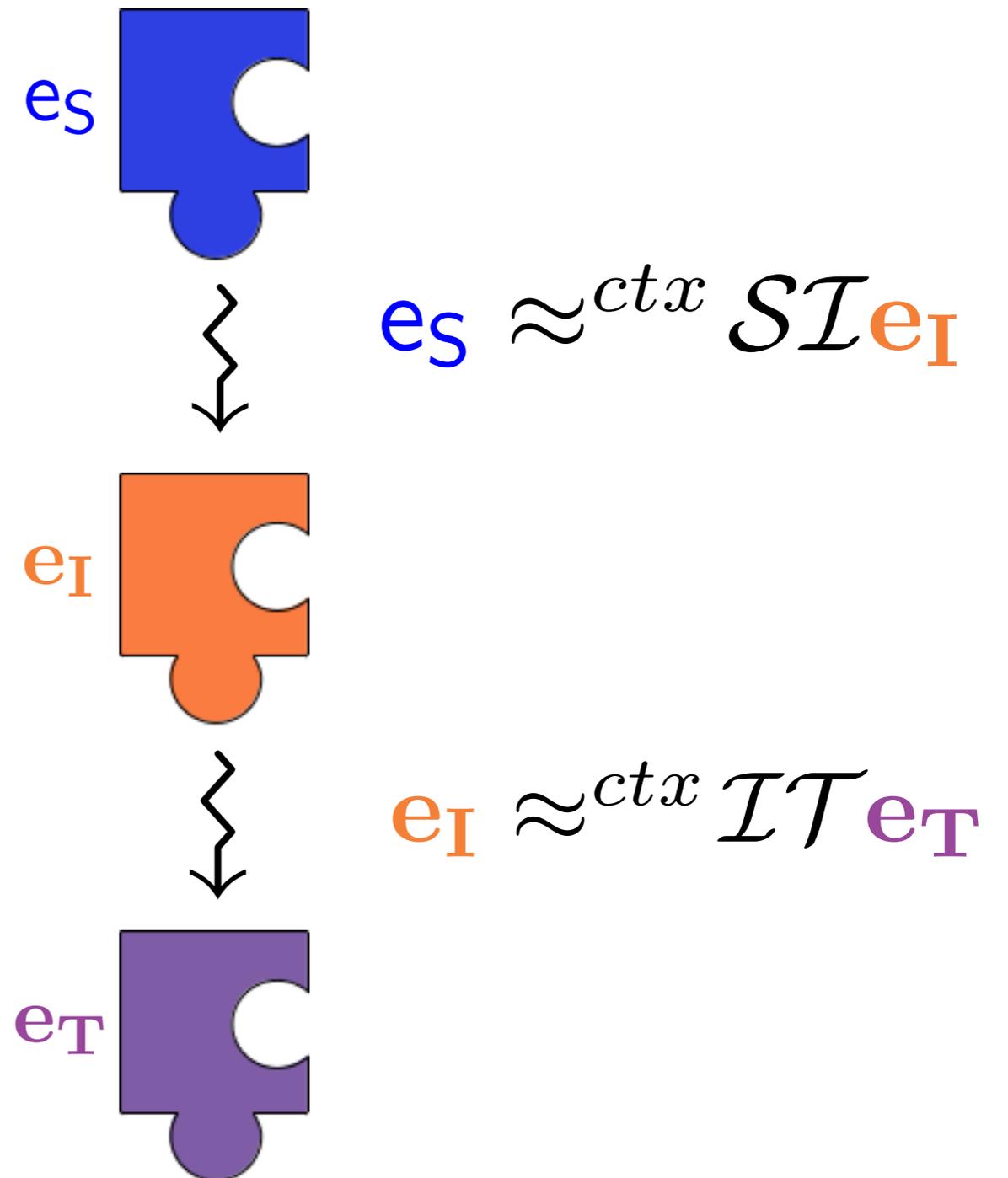


$$e_s \approx e_T \stackrel{\text{def}}{=} e_s \approx^{ctx} \mathcal{ST} e_T$$

# Multi-Language Semantics Approach



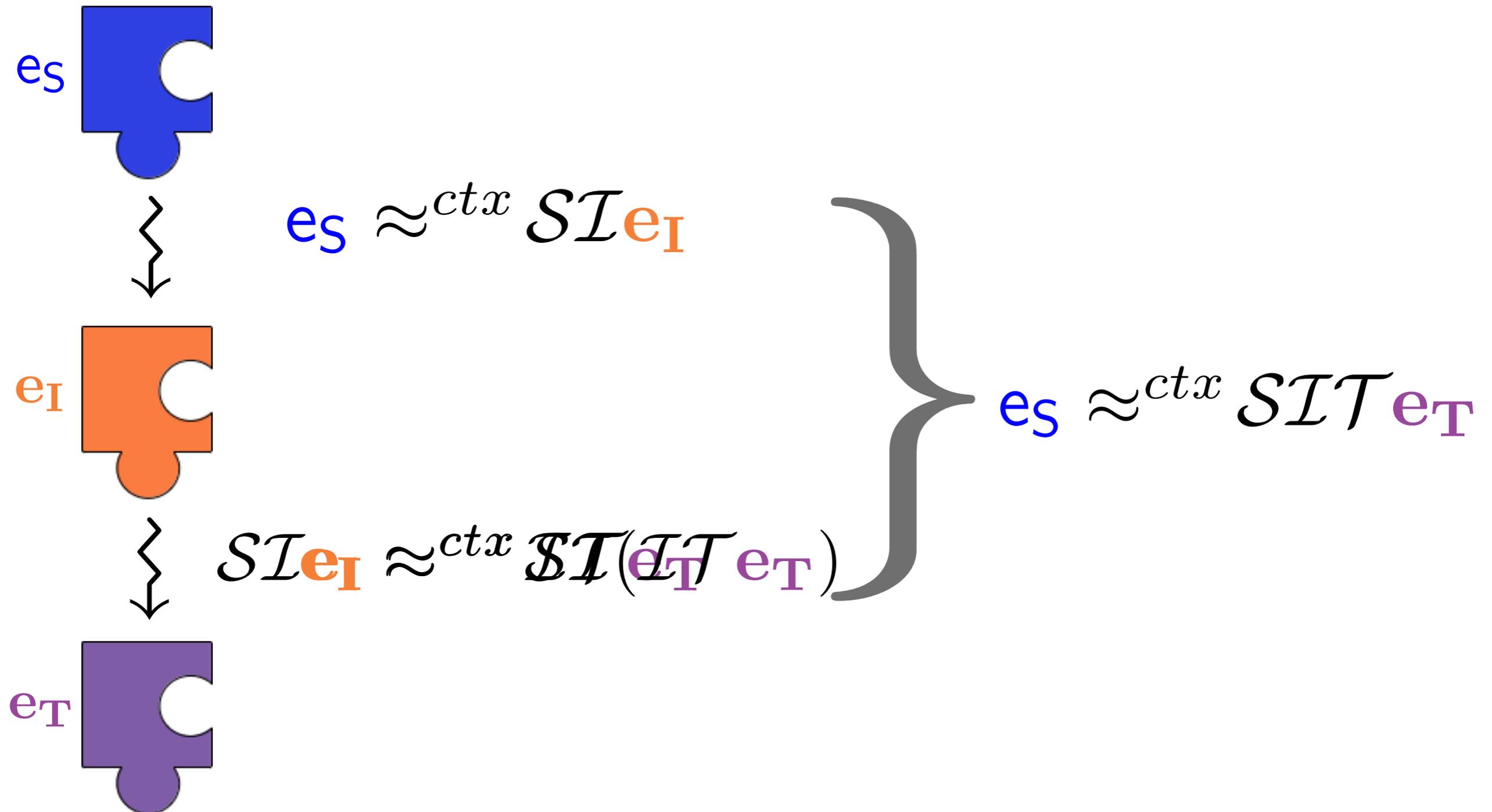
## Compiler Correctness



# Multi-Lang. Approach: Multi-pass ✓

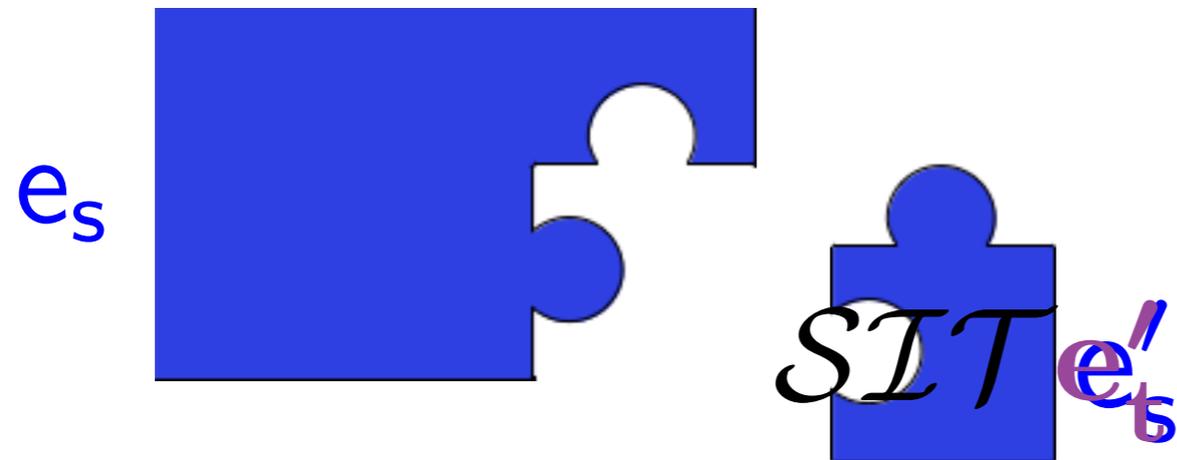
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## Compiler Correctness

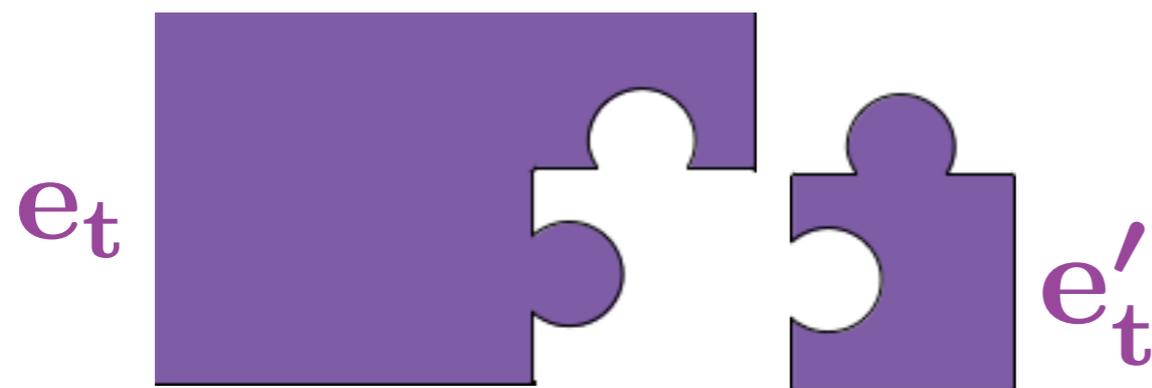


# Multi-Lang. Approach: Linking ✓

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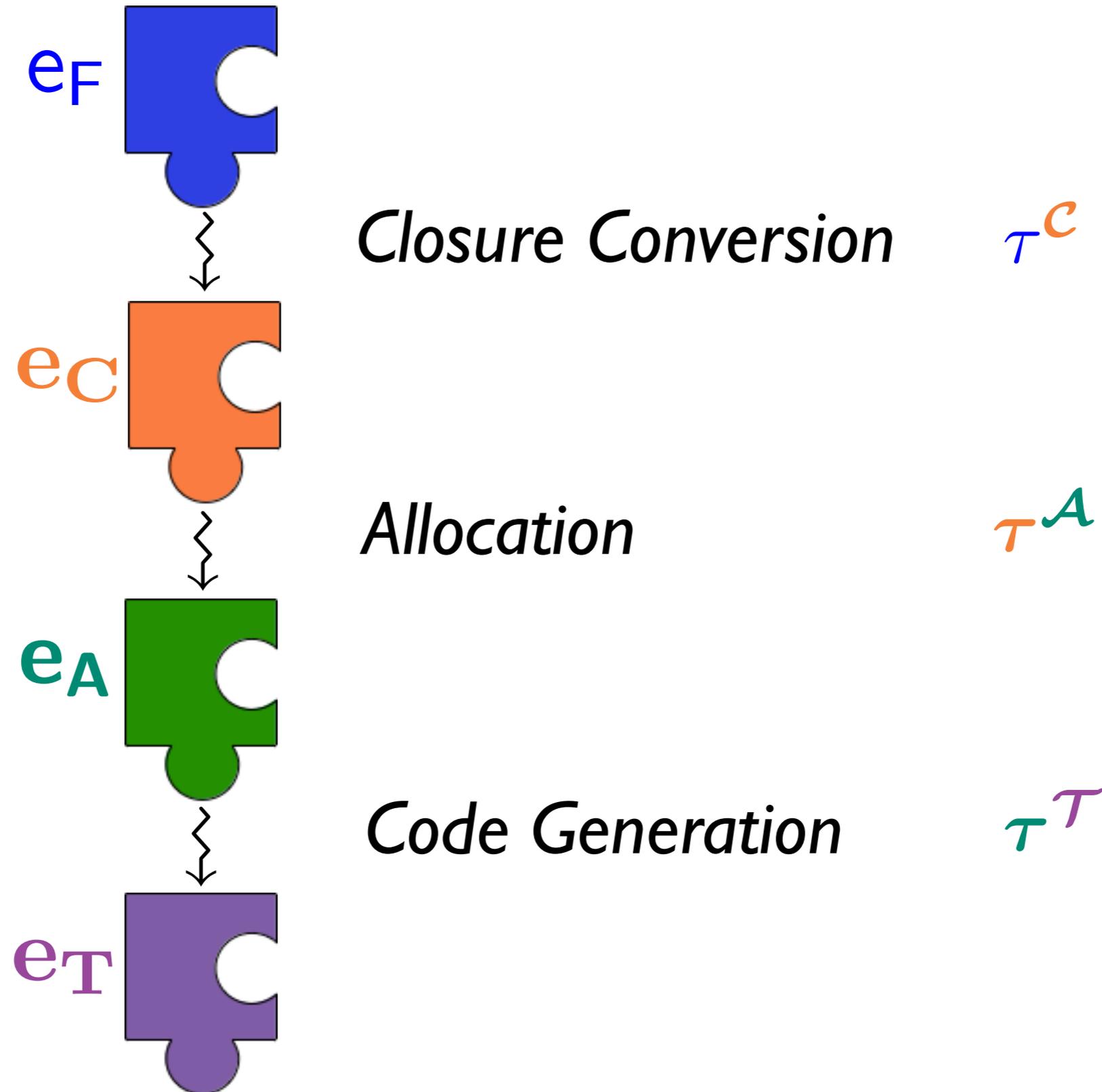


$$TIS(e_s (SIT e'_s)) \approx^{ctx} e_t e'_t$$



# Compiler Correctness: F to TAL

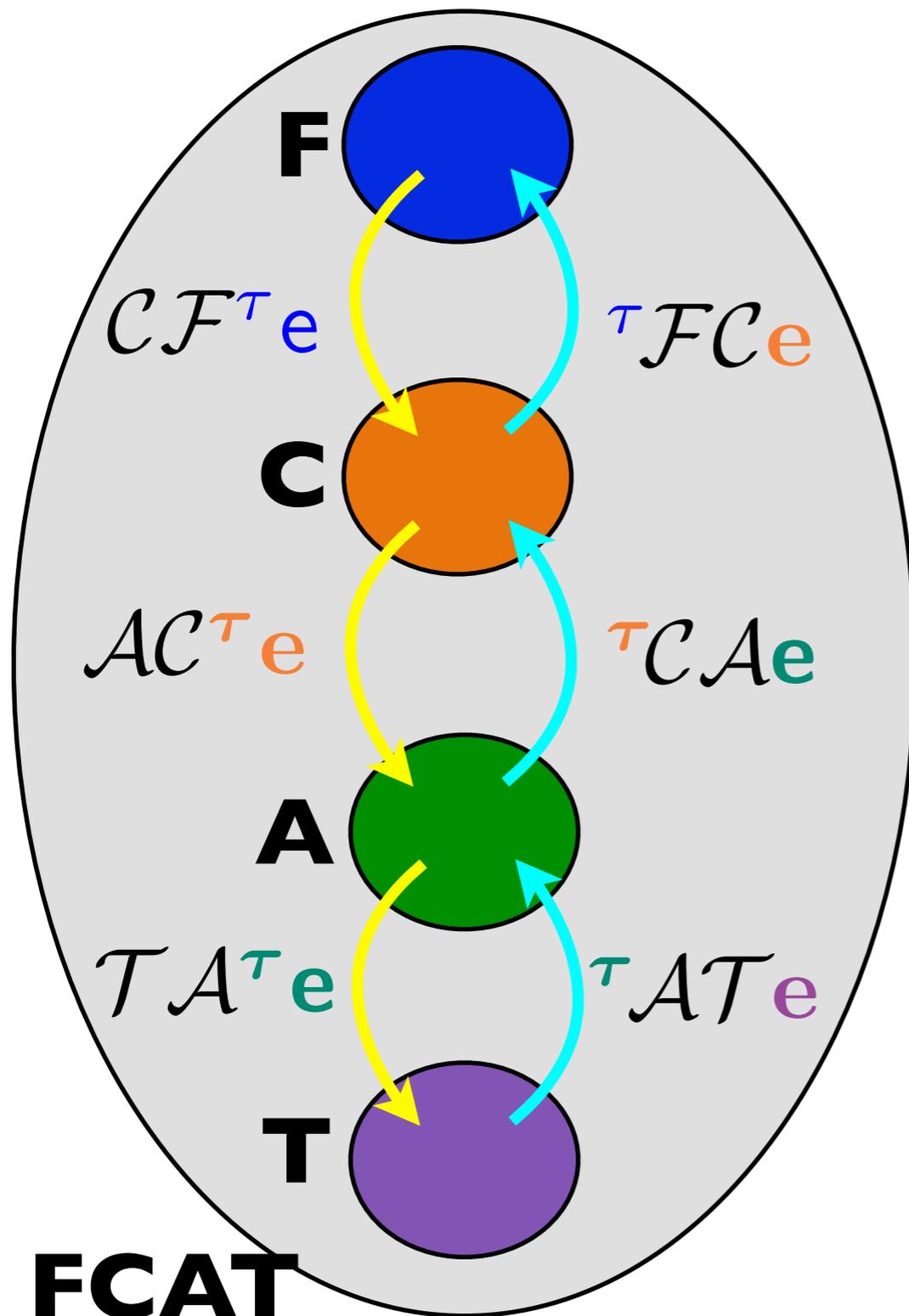
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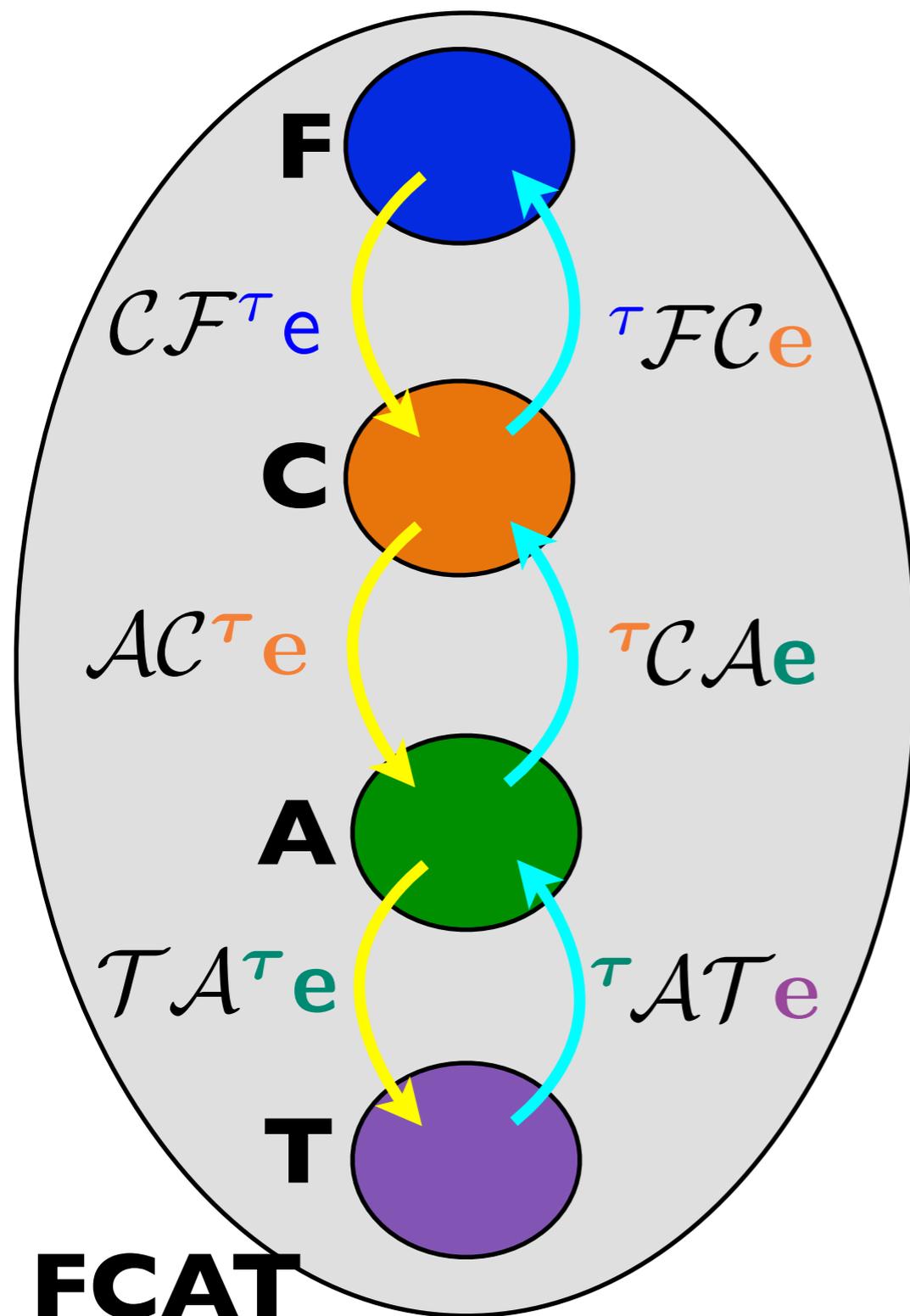
# Combined language **FCAT**

[Perconti-Ahmed'14]  
[Patterson et al.'17]

- Boundaries mediate between  
 $\tau$  &  $\tau^C$     $\tau$  &  $\tau^A$     $\tau$  &  $\tau^T$



# Challenges

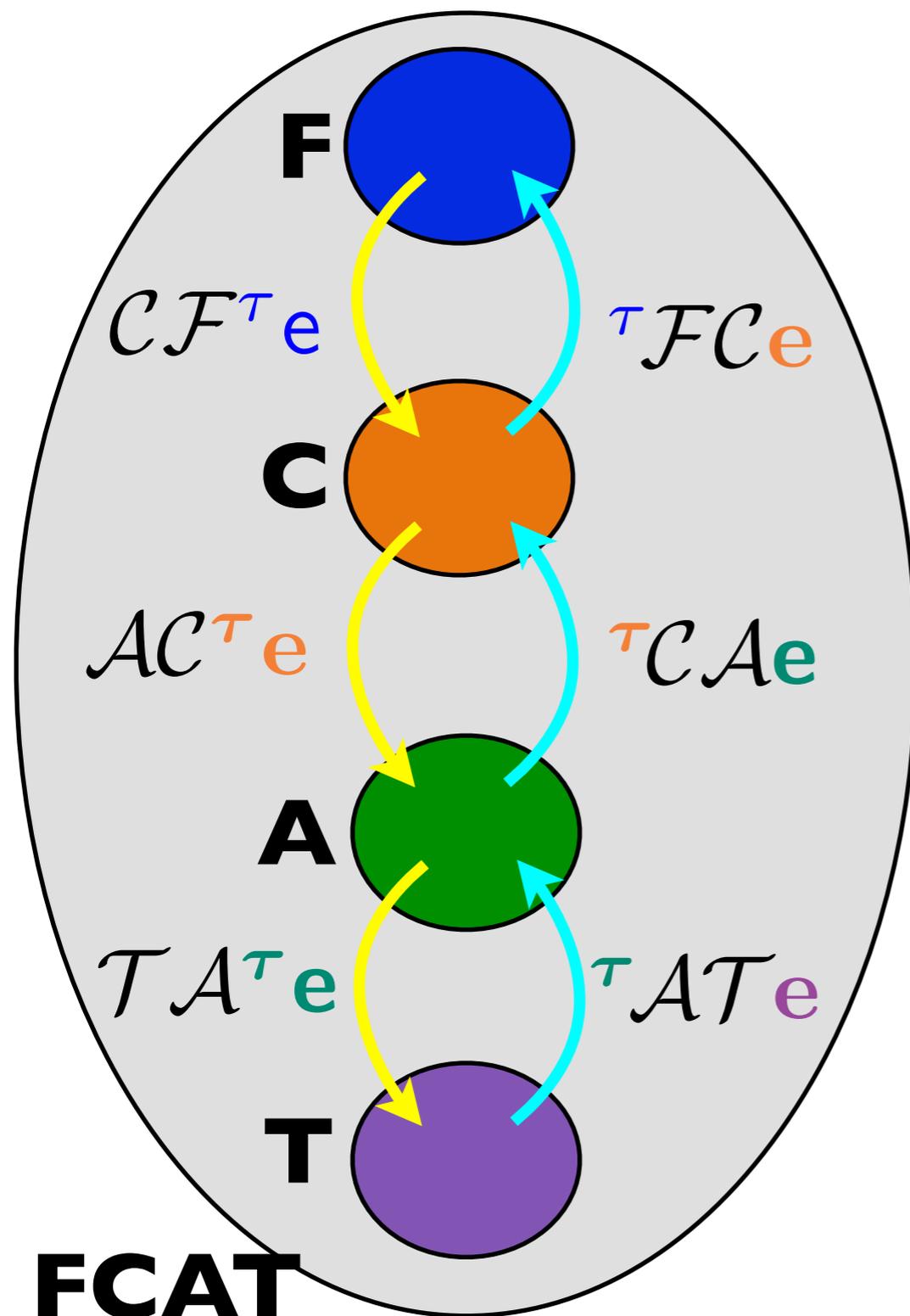


**F+C:** Interoperability semantics with type abstraction in both languages

**C+A:** Interoperability when compiler pass allocates code & tuples on heap

**A+T:** What is  $e$ ? What is  $v$ ?  
How to define contextual equiv. for TAL *components*?  
How to define logical relation?

# Challenges

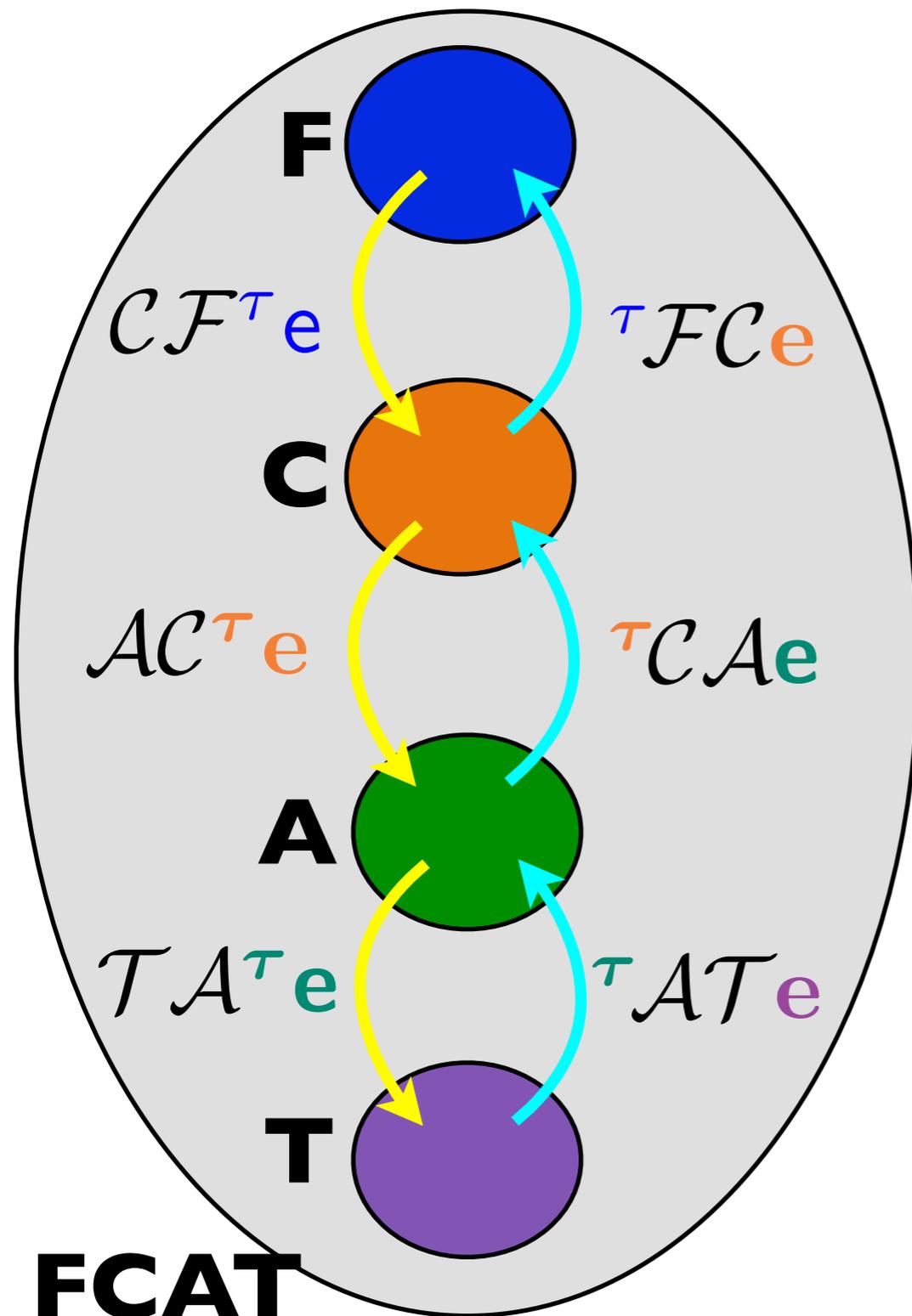


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# Challenges



F+C: Interoperability semantics with type abstraction in both languages

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A+T: What is  $e$ ? What is  $v$ ?  
How to define contextual equiv. for TAL components?  
How to define logical relation?

Central Challenge: interoperability between  
high-level (direct-style) language &  
assembly (continuation style)

**FunTAL**: Reasonably Mixing a Functional Language  
with Assembly [*Patterson et al. PLDI'17*]

# CompCompCert vs. Multi-language

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Transitivity:

- structured simulations

- all passes use multi-lang  $\approx^{ctx}$

Check okay-to-link-with:

- satisfies CompCert  
memory model

- satisfies expected type  
(translation of source type)

Contexts:

- semantic representation

- syntactic representation

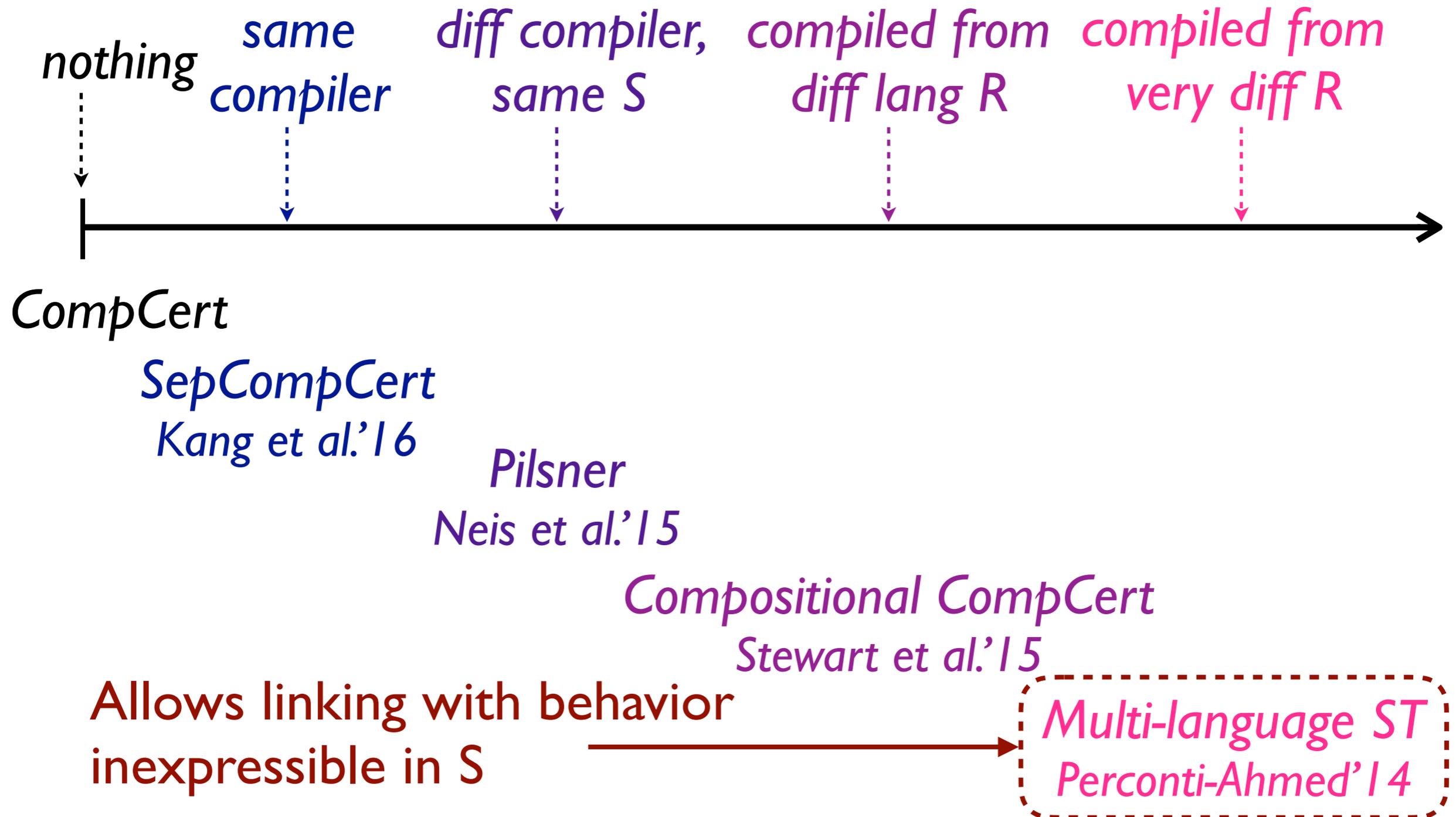
Requires uniform memory model across compiler IRs?

- yes

- no

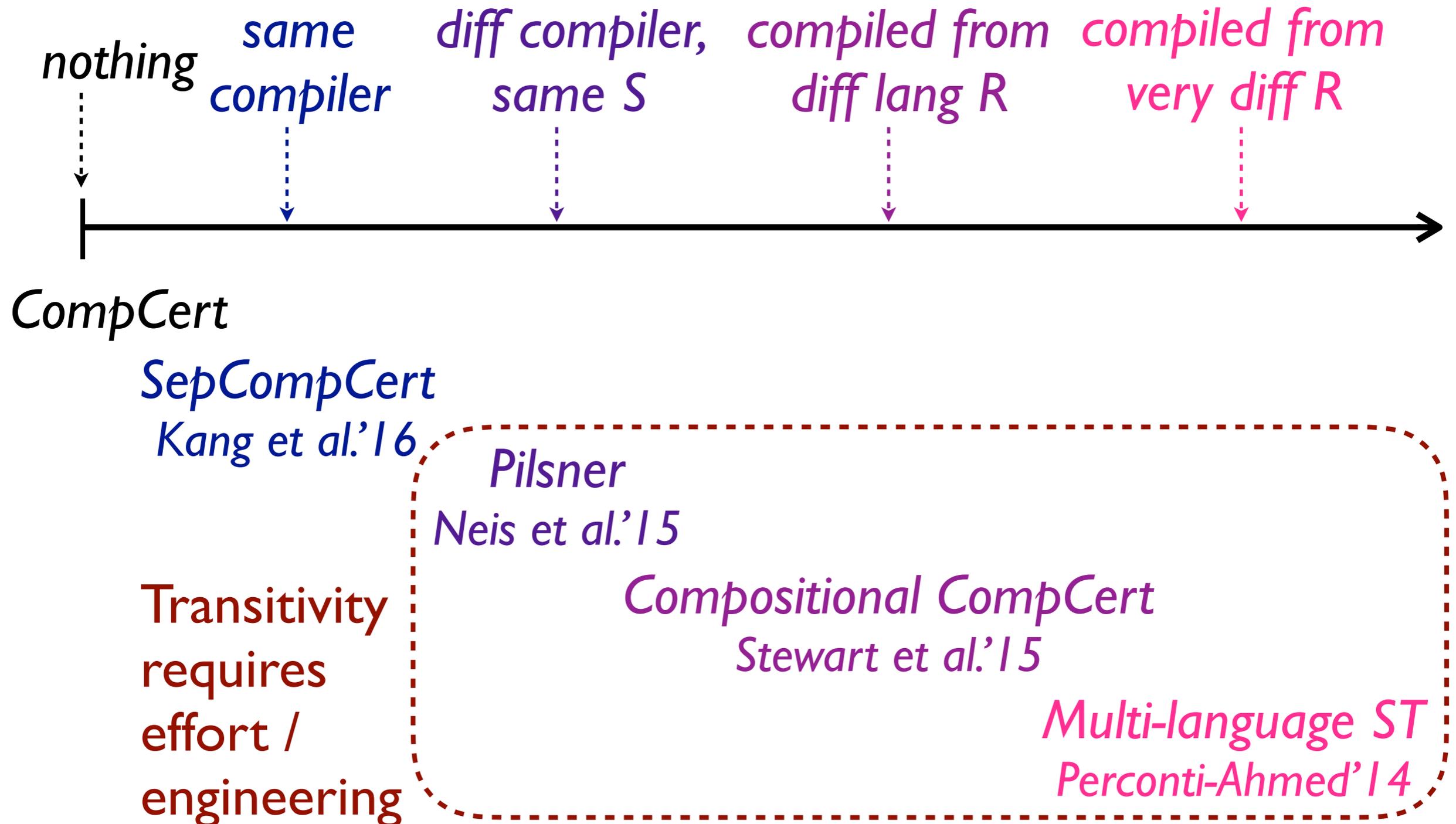
# What we can link with

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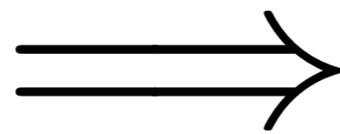
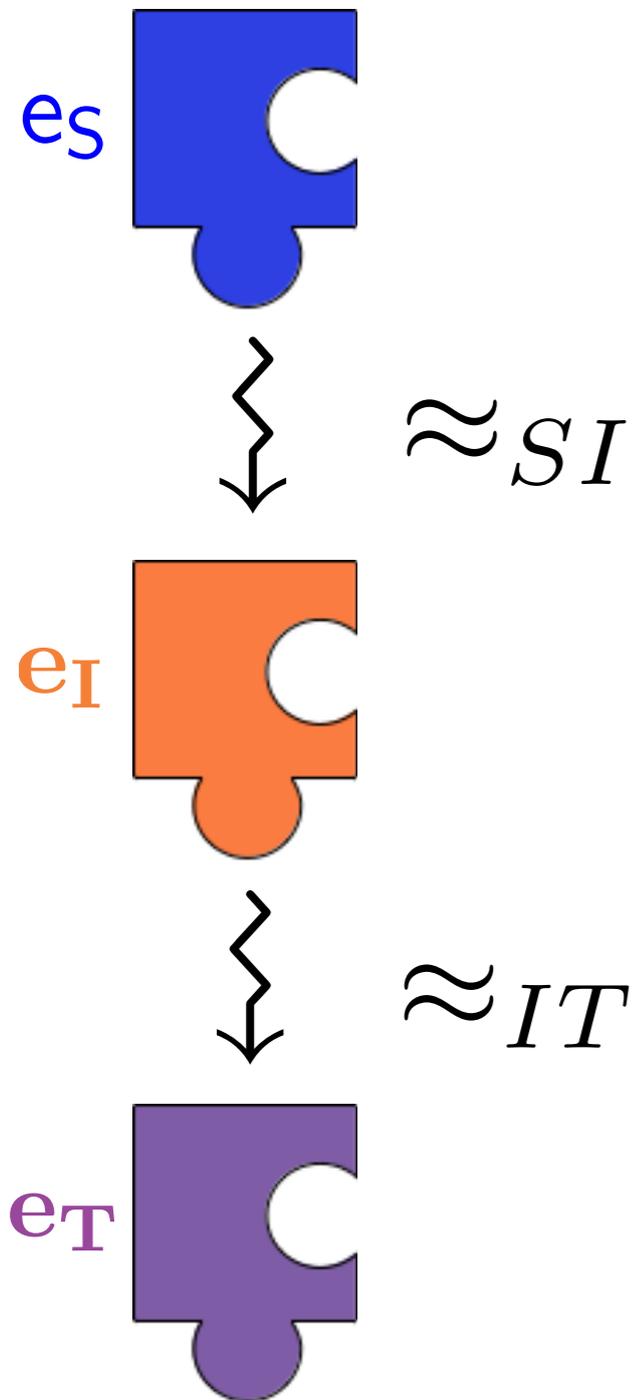


# Proving Transitivity

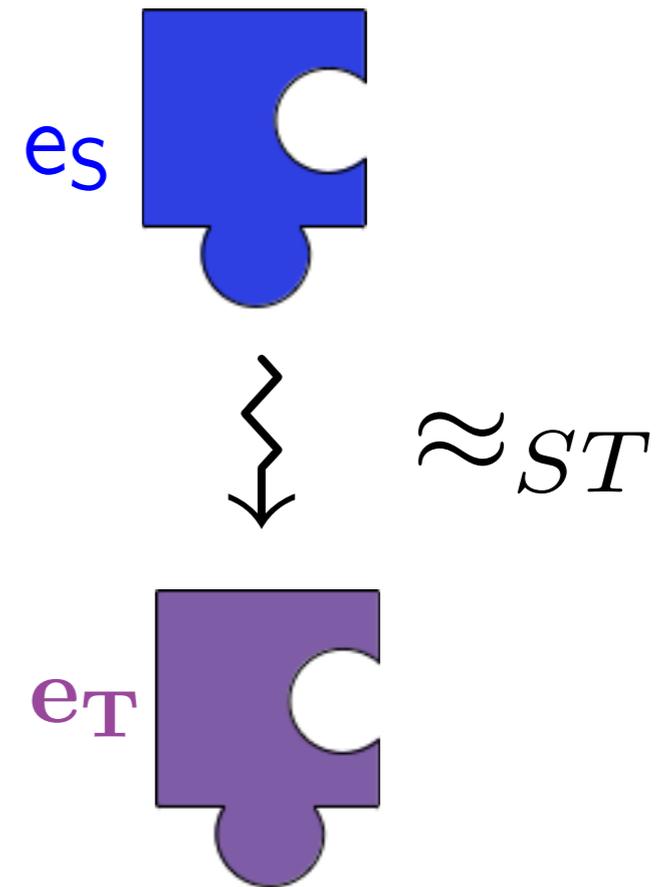
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# Vertical Compositionality

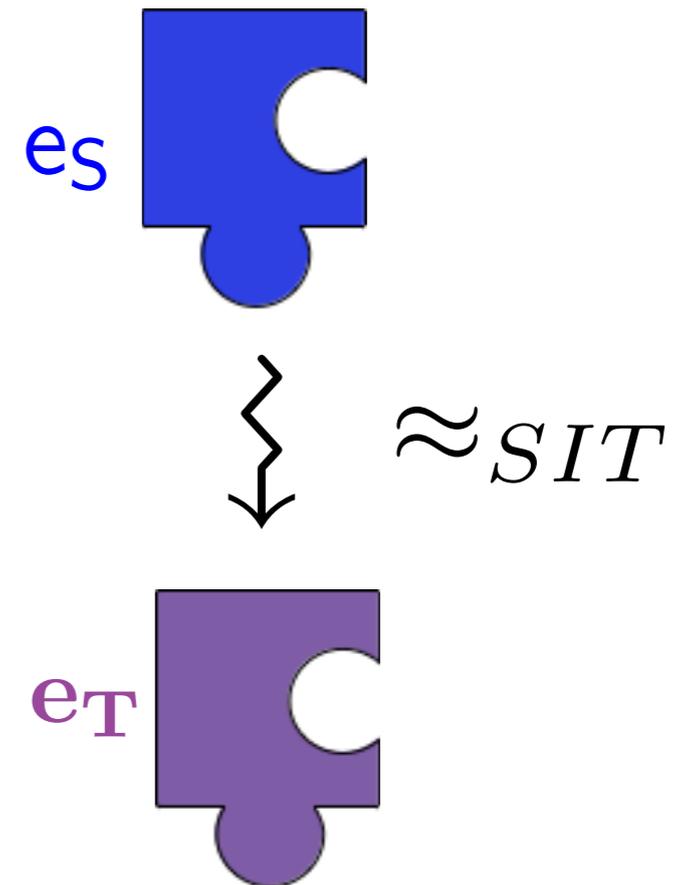
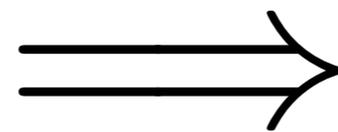
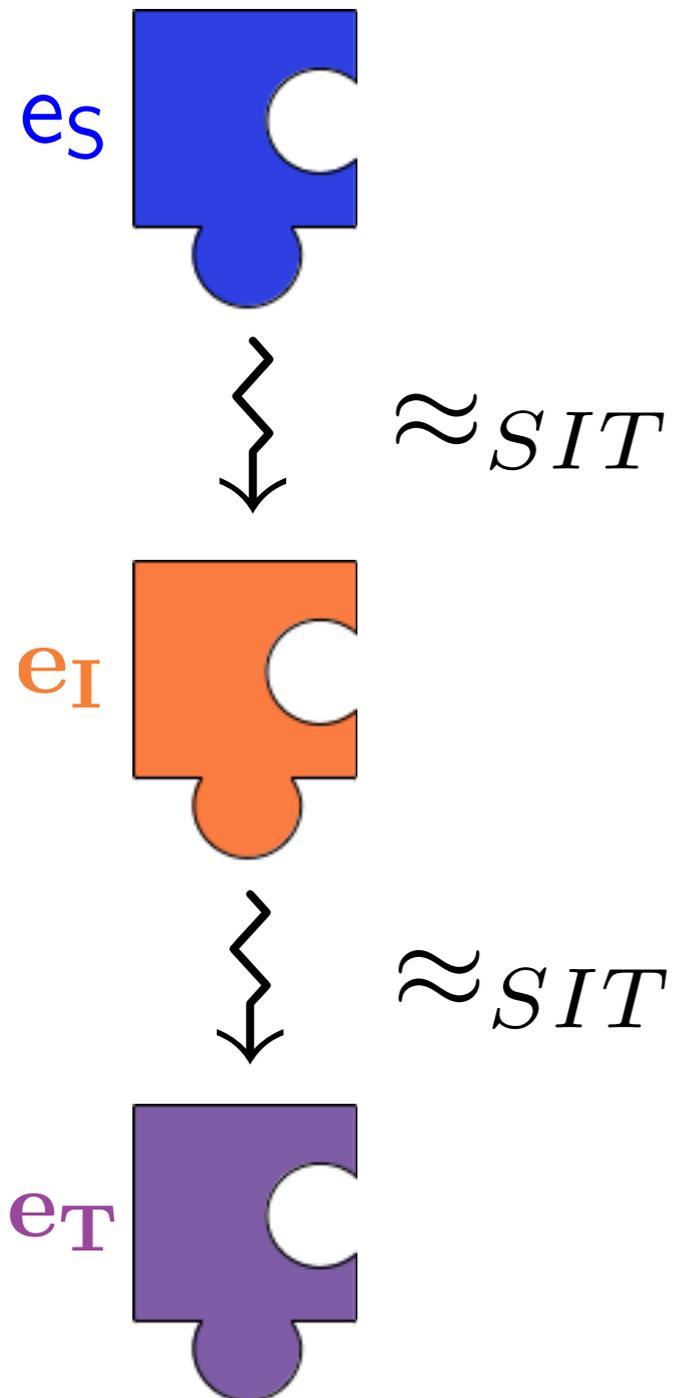


# Transitivity



# Transitivity

*CompCompCert & Multi-lang*



# Horizontal Compositionality

*Pilsner*  
*Neis et al.'15*

# *Source-Independent Linking*

*Compositional CompCert*  
*Stewart et al.'15*

*Multi-language ST*  
*Perconti-Ahmed'14*

# Vertical Compositionality

*Pilsner*  
*Neis et al.'15*

# Transitivity

*Compositional CompCert*  
*Stewart et al.'15*

*Multi-language ST*  
*Perconti-Ahmed'14*

# To Understand if Theorem is Correct...

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*Pilsner*  
*Neis et al.'15*

- source-target PLS

*Compositional CompCert*  
*Stewart et al.'15*

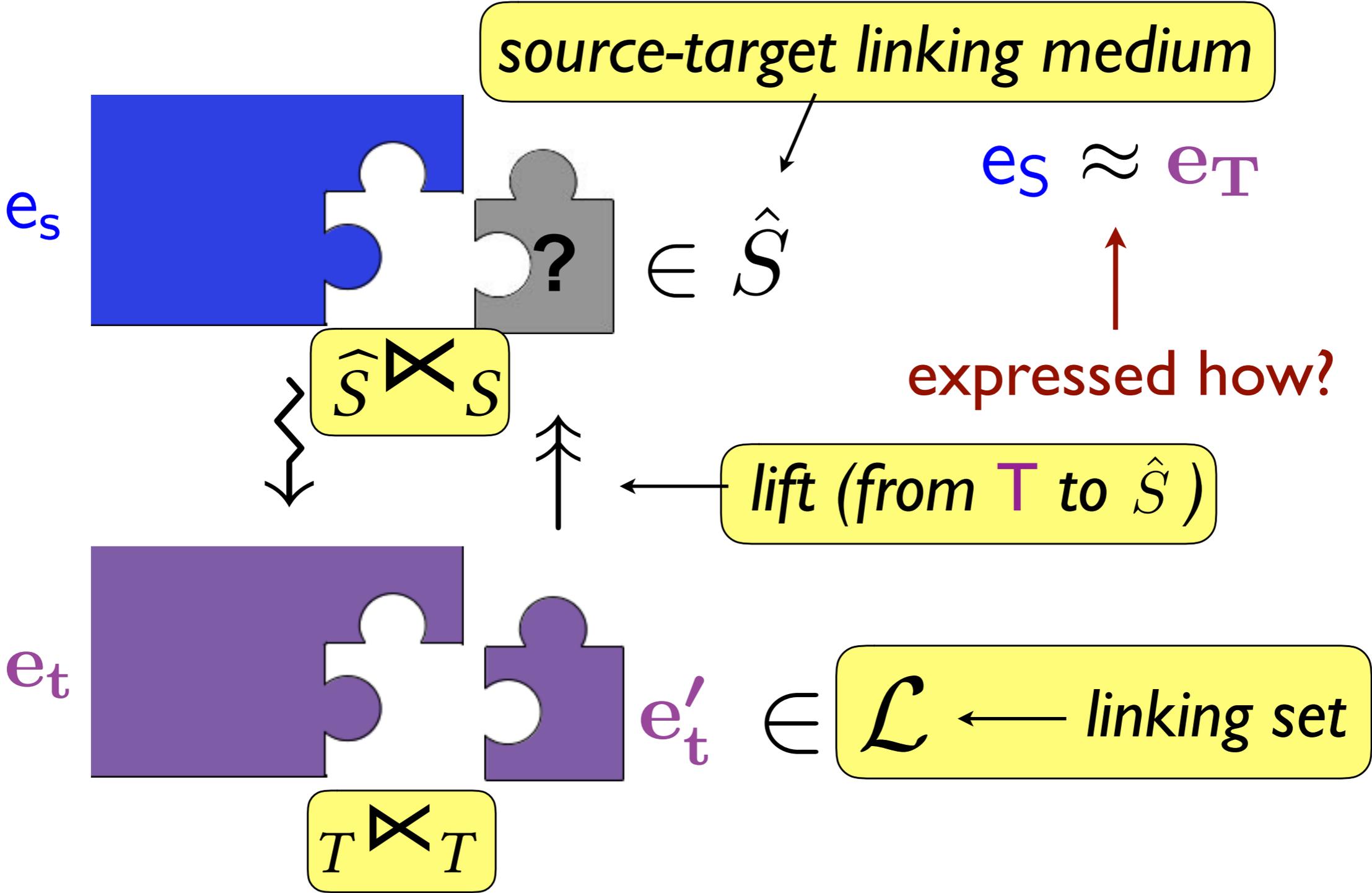
- interaction semantics  
& structured simulations

*Multi-language ST*  
*Perconti-Ahmed'14*

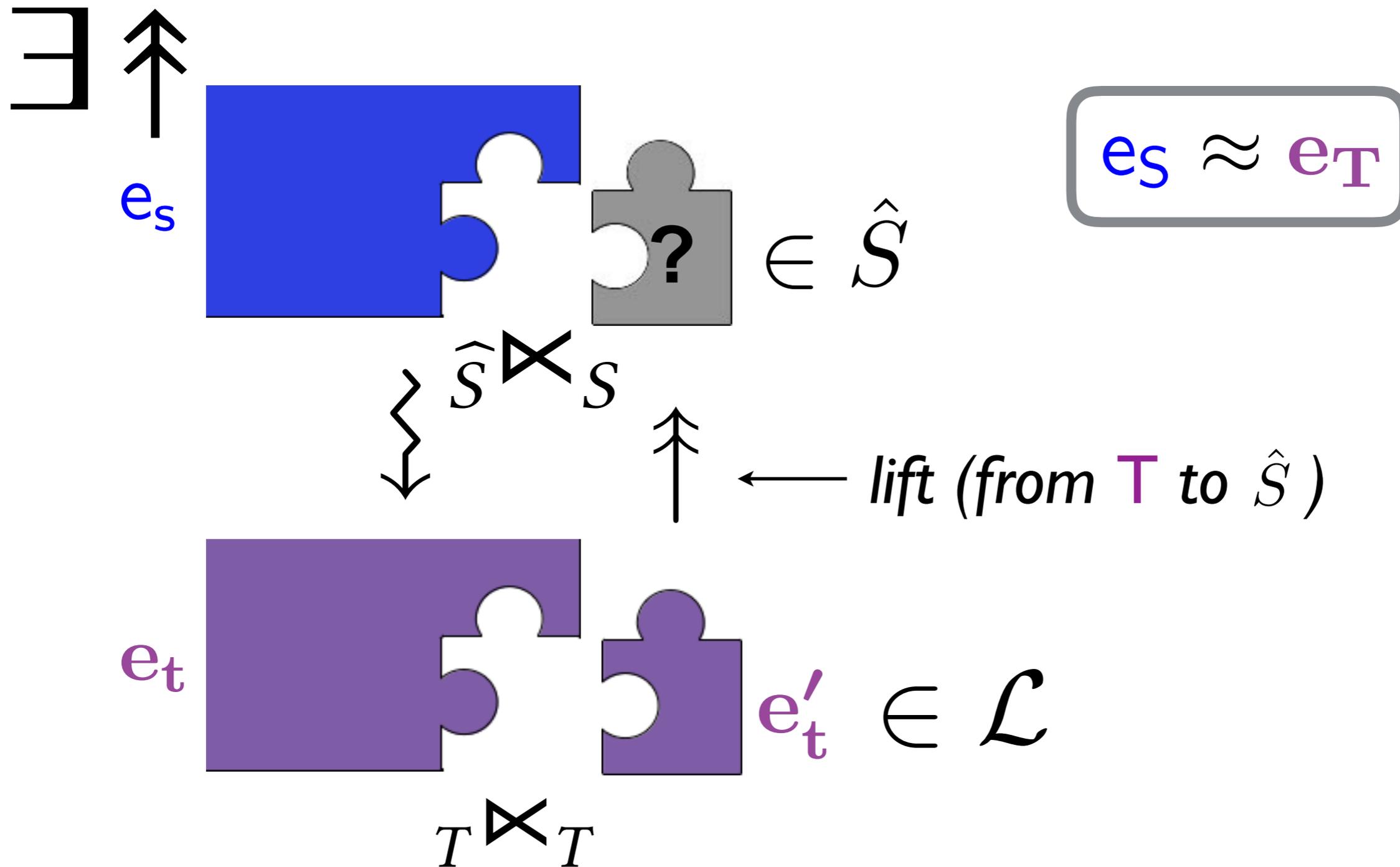
- source-target multi-language

Is there a generic CCC theorem?

# Generic CCC Theorem?



# Generic CCC Theorem



# Generic CCC Theorem

---

$$\exists \uparrow. \forall e_S, e_T. e_S \rightsquigarrow e_T \implies$$

$$\forall (e'_T, \varphi) \in \mathcal{L}. ok_{\blacktriangleright} (e'_T, e_T) \implies$$

$$e'_T \blacktriangleright_T e_T \sqsubset_{\hat{S}} \uparrow (e'_T, \varphi) \hat{S} \blacktriangleright_S e_S$$

# Generic CCC Theorem

---

$$\exists \uparrow. \forall e_S, e_T. e_S \rightsquigarrow e_T \implies$$

$$\forall (e'_T, \varphi) \in \mathcal{L}. \text{ok}_{\blacktriangleright} (e'_T, e_T) \implies$$

$$e'_T \text{ }_T \blacktriangleright_T e_T \text{ }_T \sqsubseteq_{\hat{S}} \uparrow(e'_T, \varphi) \hat{S} \blacktriangleright_S e_S$$

*...and “lift” is inverse of “compile” on compiler output*

$$\forall (e'_T, \varphi) \in \mathcal{L}. \forall e_S, e_T. e_S \rightsquigarrow e_T \implies$$

$$e'_T \text{ }_T \sqsubseteq_T e_T \implies \uparrow(e'_T, \varphi) \hat{S} \sqsubseteq_S e_S$$

# CCC Properties

---

Implies whole-program compiler correctness & correct separate compilation

Can be instantiated with different formalisms...

# CCC with Pilsner

---

$\mathcal{L}$   $\{(e_T, \varphi) \mid \varphi = \text{source component } e_S \text{ \& proof that } e_S \simeq e_T\}$

$\widehat{S}$  unchanged source language  $S$

$\widehat{S} \bowtie_S$  unchanged source language linking

$\widehat{S} \sqsubseteq_S$  source language (whole program) observational equivalence

$\uparrow(\cdot)$   $\uparrow(e_T, (e_S, \_)) = e_S$

# CCC with Multi-language

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$\mathcal{L}$   $\{(e_T, \_) \mid \text{where } e_T \text{ is any target component}\}$

$\hat{S}$  source-target multi-language ST

$\hat{S} \bowtie_S e_{ST} \bowtie_{ST} e_S$

$\hat{S} \sqsubset_S$  run  $\hat{S}$  according to multi-lang ST, compare with running  $S$

$\uparrow(\cdot)$   $\uparrow(e_T, \_) = \mathcal{ST}(e_T)$

# Vertical Compositionality for Free

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when  $\uparrow_{ST} = \uparrow_{SI} \circ \uparrow_{IT}$

i.e., when **lift**  $\uparrow$  is a **back-translation** that maps every  $e_T \in \mathcal{L}$  to some  $e_S$  (or an **approximate back-translation** that takes the interaction between  $e_T$  and some compiled  $e_S$  into account).

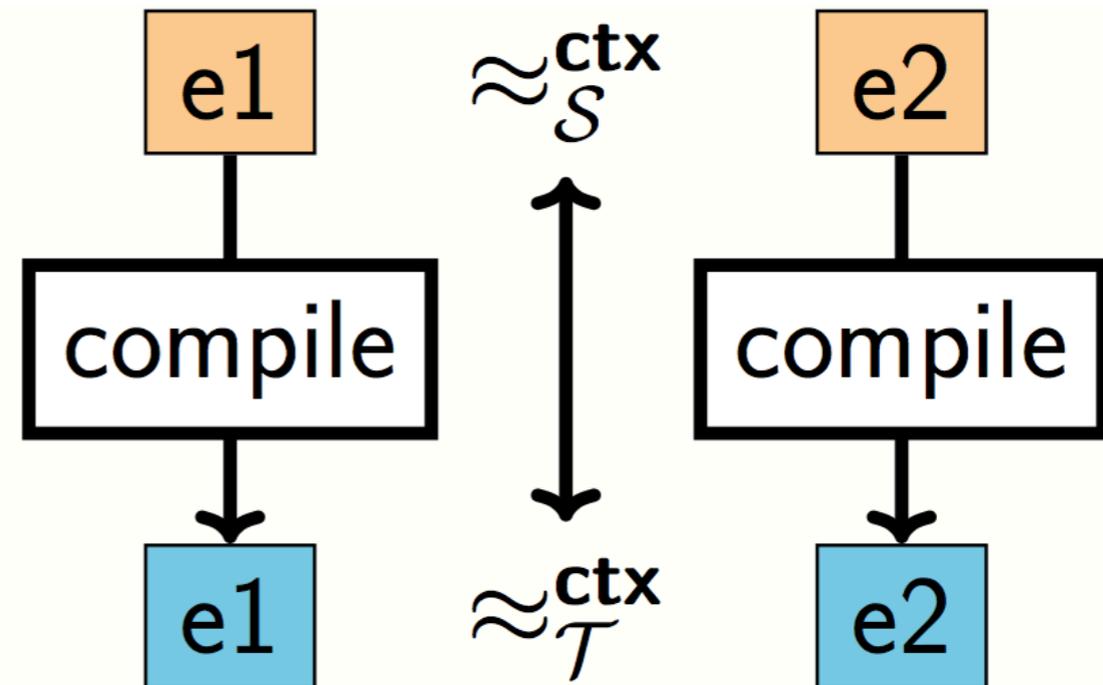
**Fully abstract compilers have such back-translations!**

***Bonus of vertical comp:*** can verify different passes using different formalisms to instantiate CCC

# Fully Abstract Compilers

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preserve equivalence



- ensure a compiled component does not interact with any target behavior that is inexpressible in  $S$
- Do we want to link with behavior inexpressible in  $S$ ?  
Or do we want fully abstract compilers?
  - We want both!

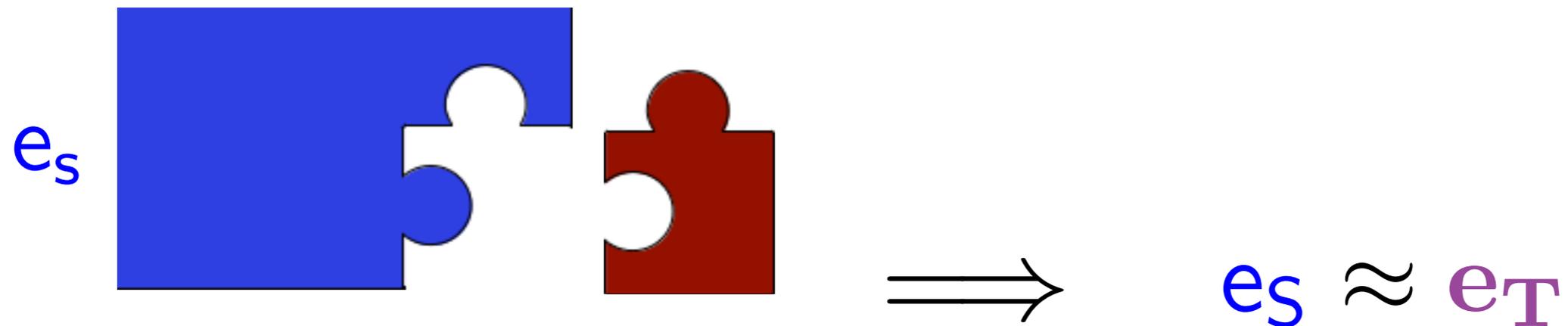
**Linking types** are about raising  
programmer reasoning back to the  
source level

Linking Types for Multi-Language Software:  
Have Your Cake and Eat it Too  
*[Patterson-Ahmed SNAPL'17]*

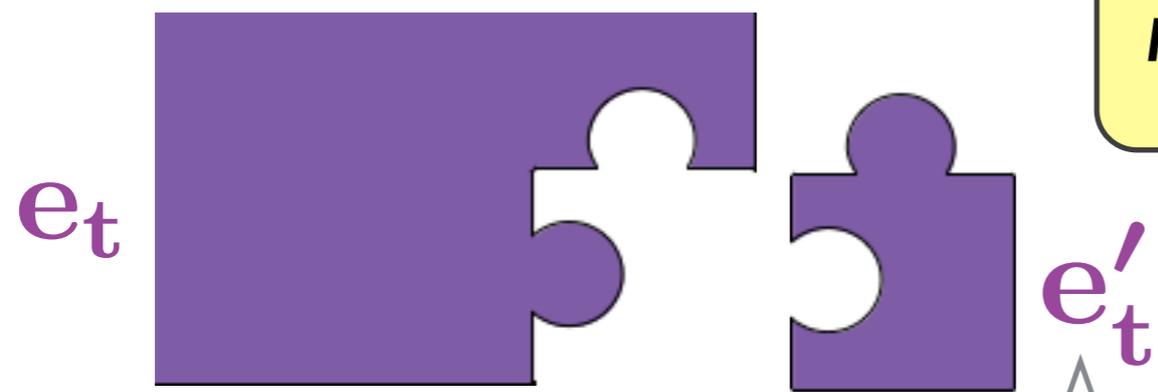
Stepping back...

# Correct Compilation: Multi-Language

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*Problem: programmer cannot reason at source level!*



**inexpressible in S**

# Fully Abstract Compilation?

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*escape  
hatches*

ML  
C FFI

Rust  
unsafe

Java  
JNI

*Language specifications are incomplete!  
Don't account for linking*

Target

# Rethink PL Design with Linking Types

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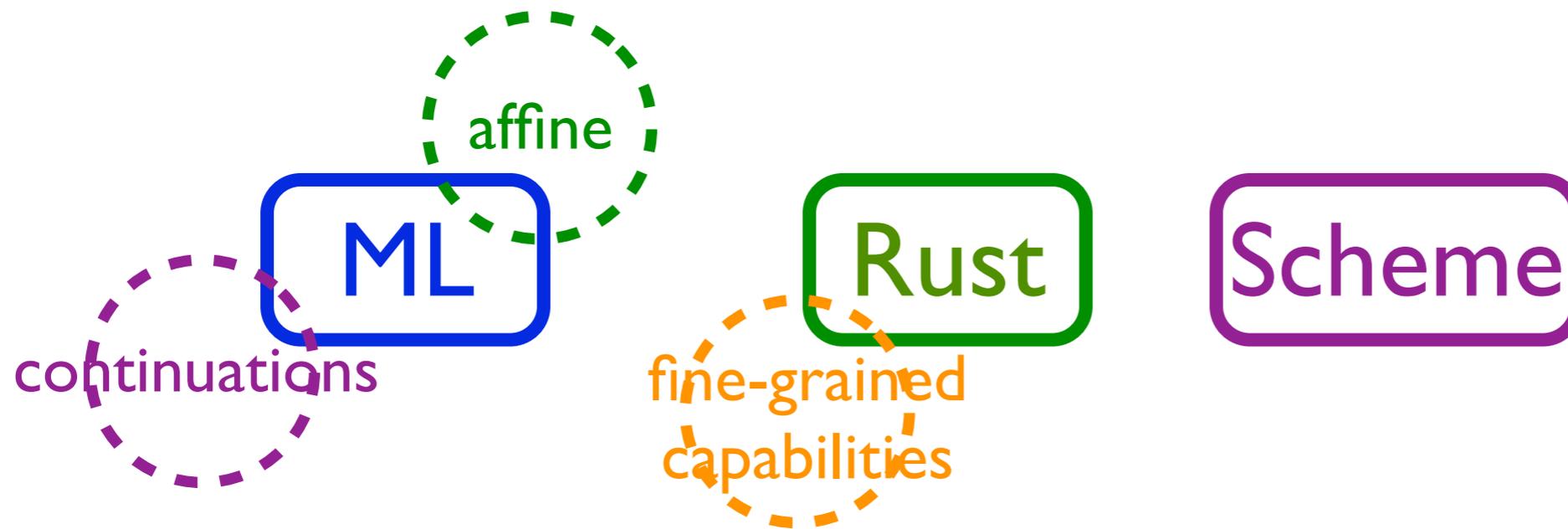
*escape  
hatches*



Design linking types extensions that support  
safe interoperability with other languages

# PL Design, Linking Types

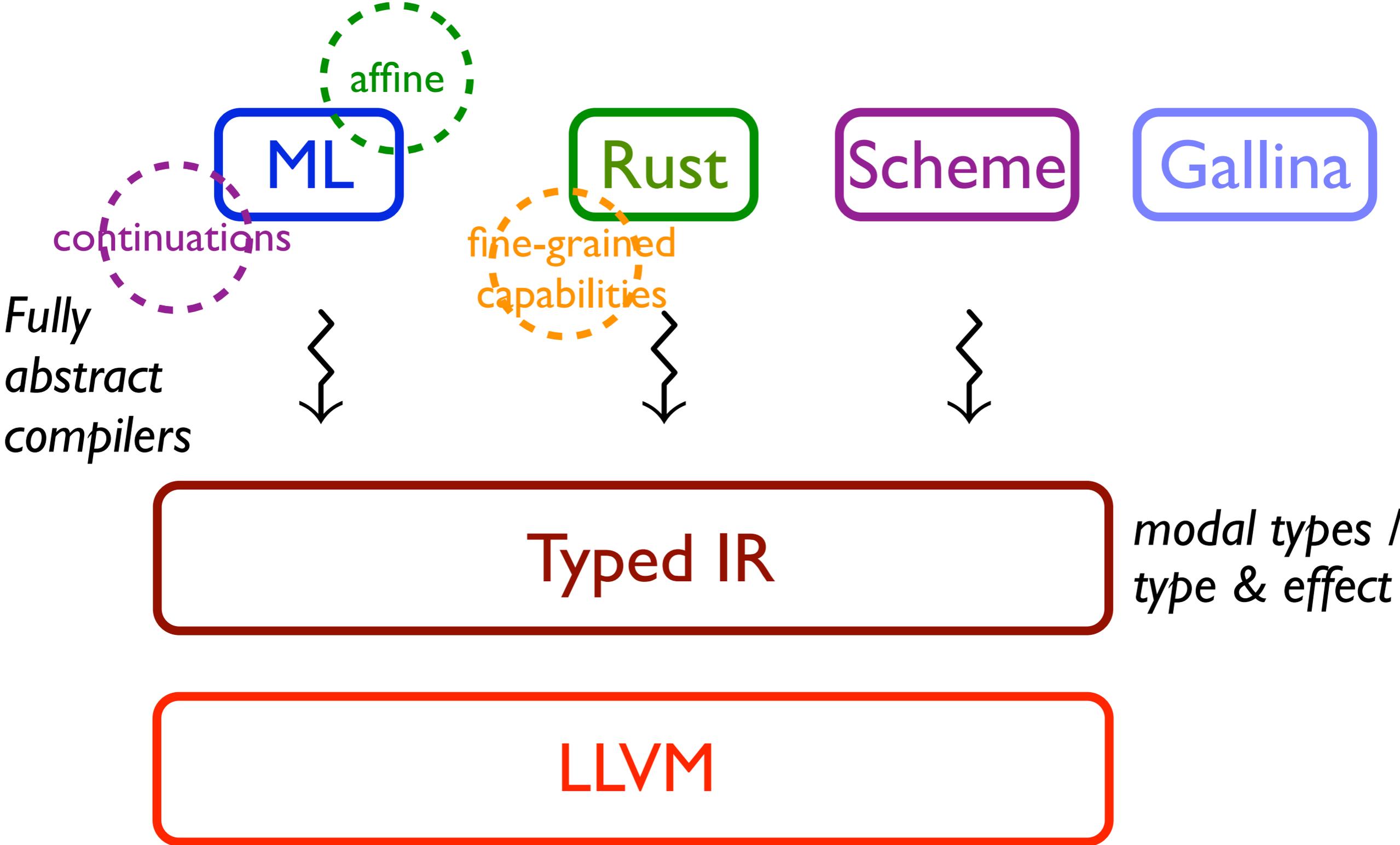
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Only need linking types extensions to interact with behavior inexpressible in your language.

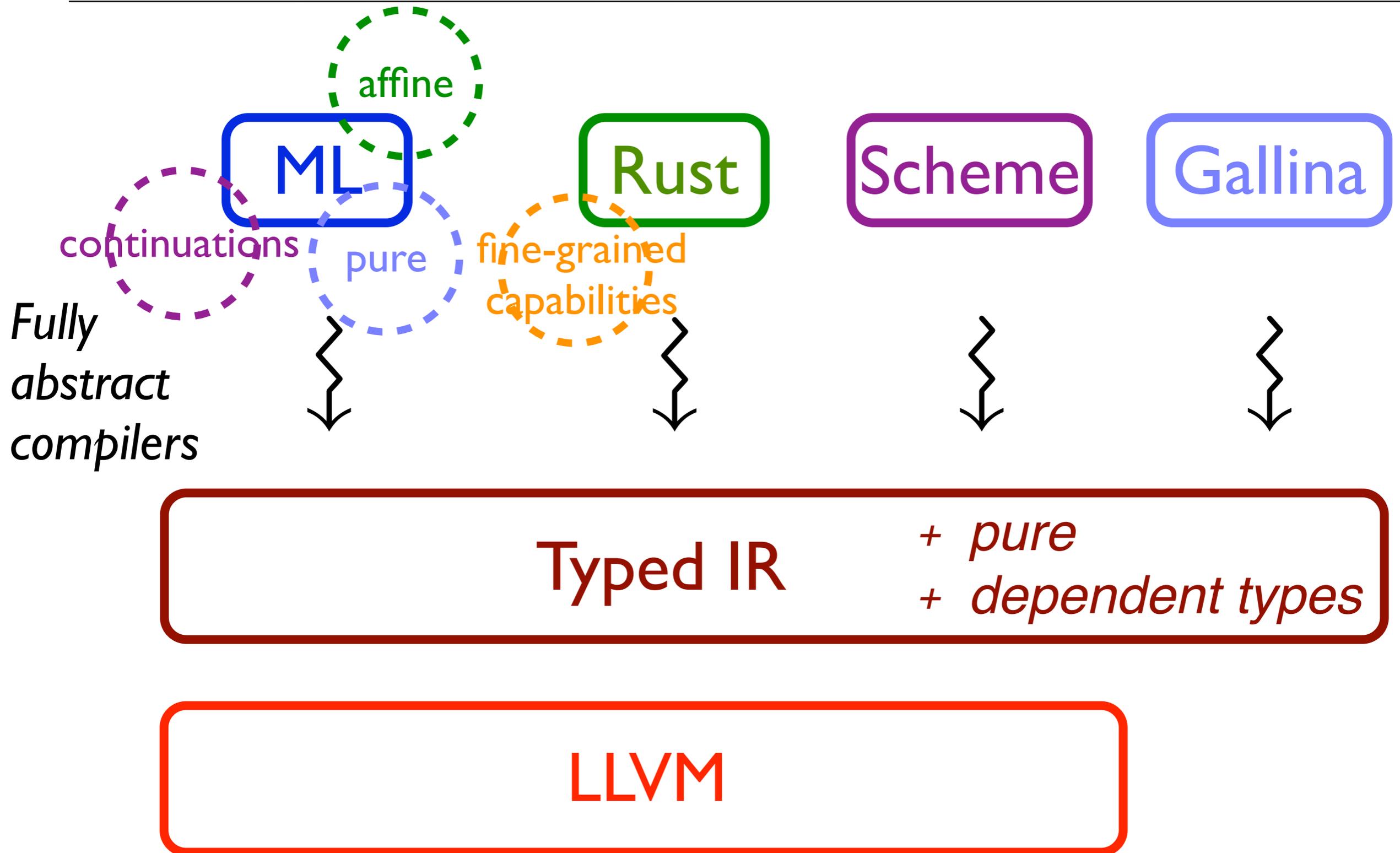
# PL Design, Linking Types, Compilers

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# PL Design, Linking Types, Compilers

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# Linking Types

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- Allow programmers to reason in *almost* their own source languages, even when building multi-language software
- Allow compilers to be fully abstract, yet support multi-language linking

# Compositional Compiler Verification

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- CompCert started a renaissance in compiler verification
  - major advances in mechanized proof
- Now we need: Compositional Compiler Correctness
  - that applies to world of multi-language software...
  - but **source-independent linking** and **vertical compositionality** are at odds
  - **fully abstract compilation** and **linking types** could help improve multi-language software toolchains